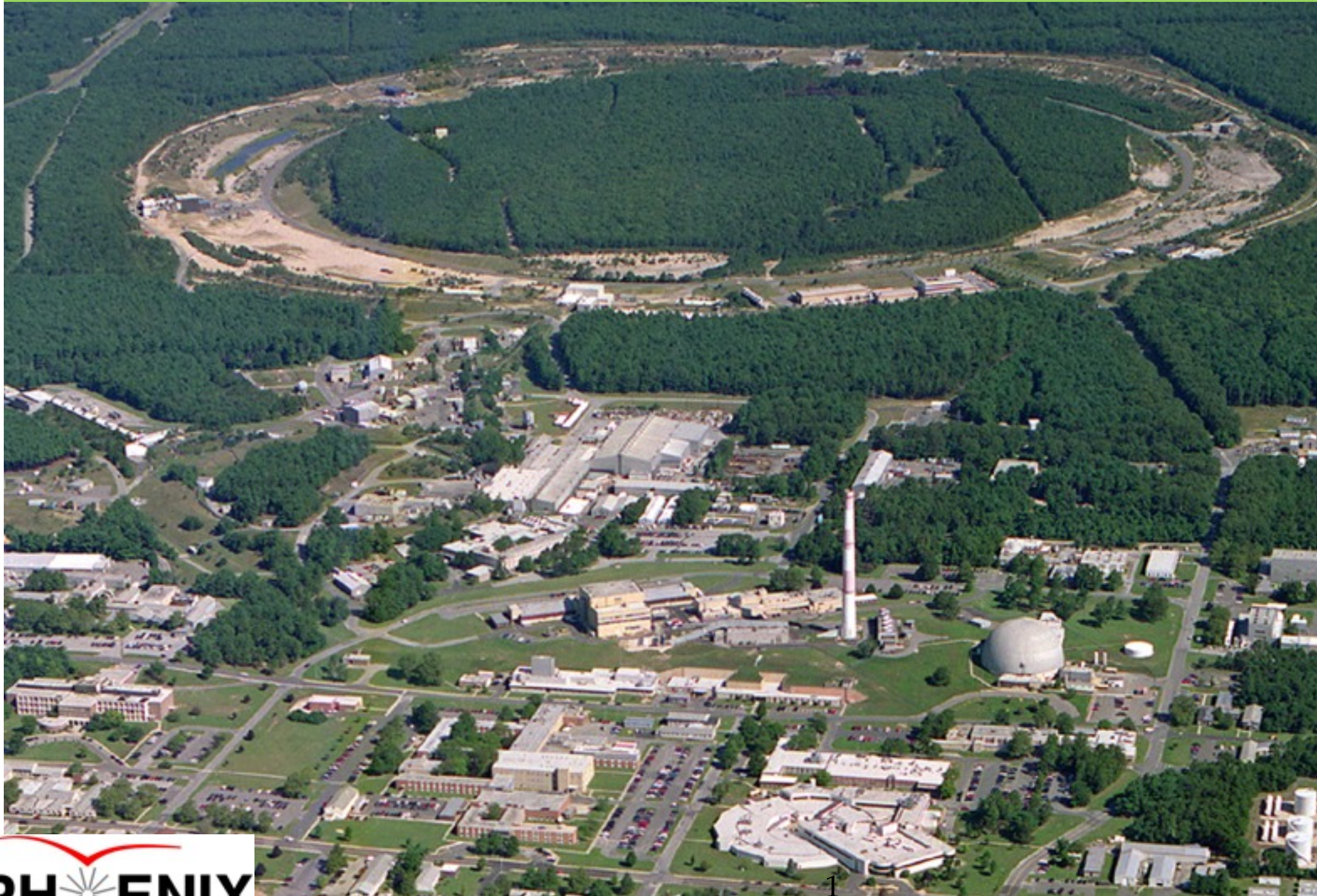


A new forward spectrometer for PHENIX

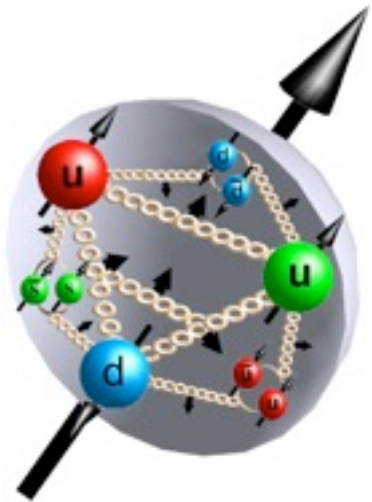
SPIN 2012, Dubna, Russia

Francesca Giordano
for the PHENIX collaboration



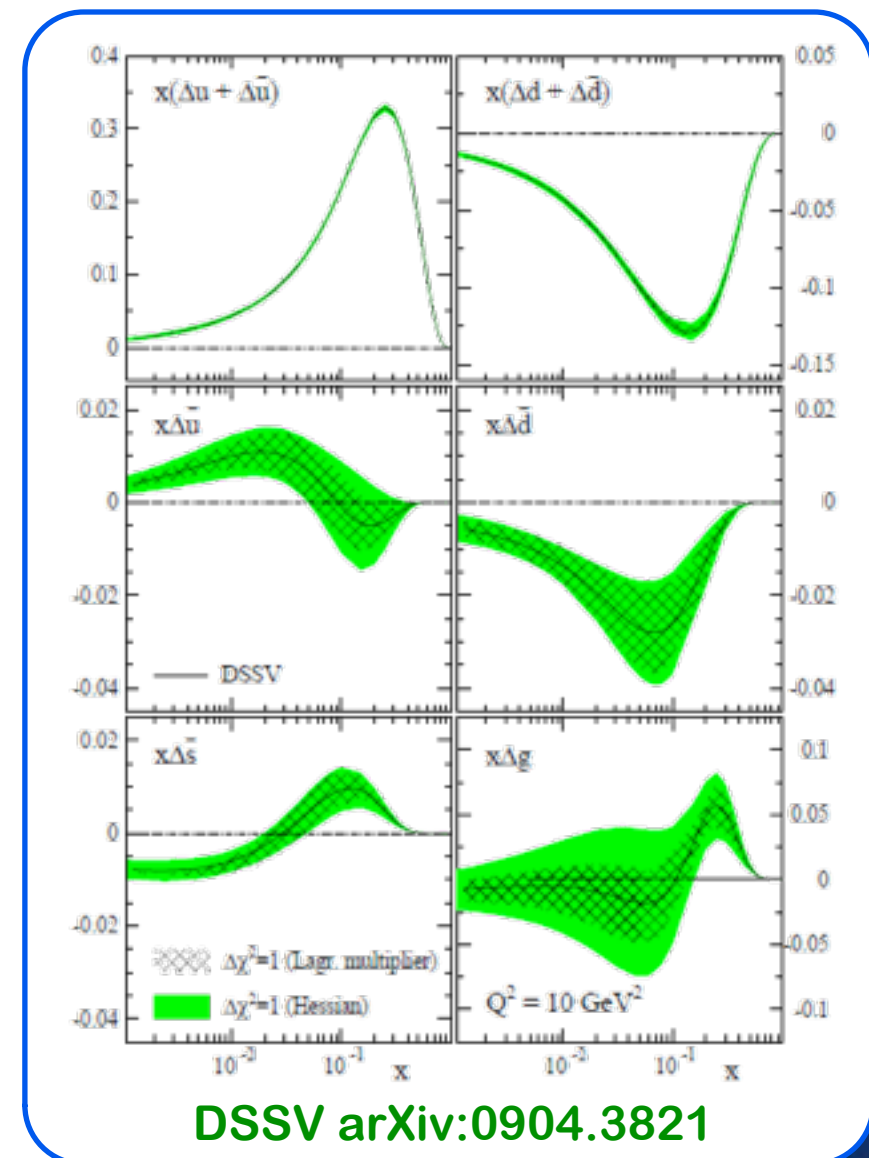
The proton spin structure:

Spin crisis



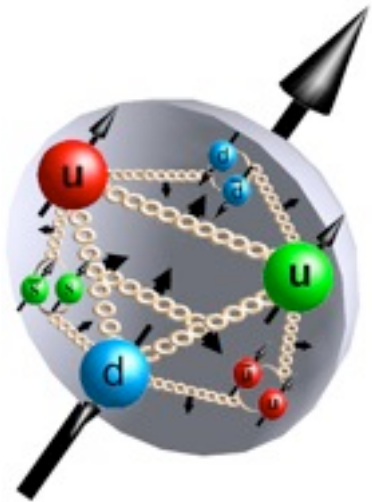
$$\frac{1}{2} = \frac{1}{2} \overset{\substack{\text{quark} \\ \text{spins}}}{\Delta\Sigma} + \overset{\substack{\text{gluon} \\ \text{spins}}}{\Delta G} + \overset{\substack{\text{quark\&gluon} \\ \text{orbital motion}}}{L_z}$$

$$\Delta u_v \quad \Delta d_v \quad \Delta q_{sea}$$



The proton spin structure:

Spin crisis

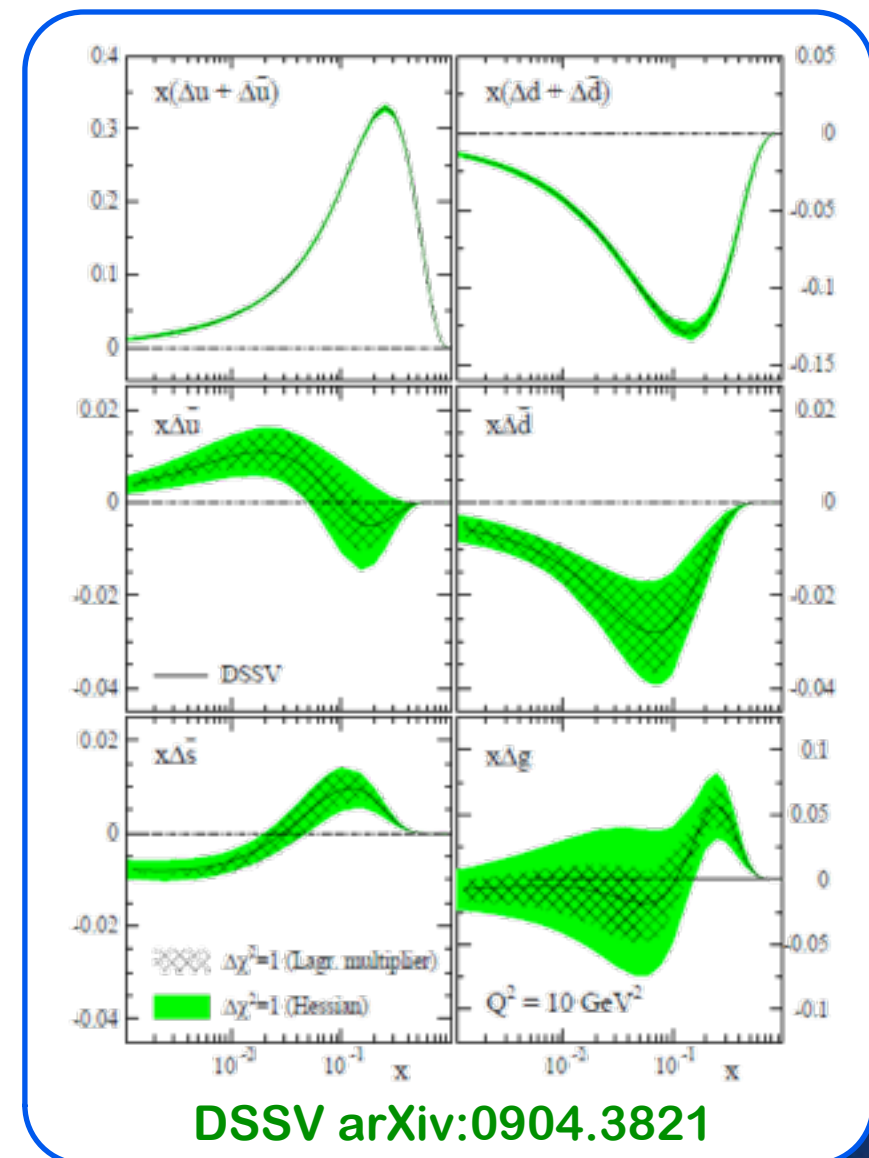


$$\frac{1}{2} = \frac{1}{2} \overset{\substack{\text{quark} \\ \text{spins}}}{\Delta\Sigma} + \overset{\substack{\text{gluon} \\ \text{spins}}}{\Delta G} + \overset{\substack{\text{quark\&gluon} \\ \text{orbital motion}}}{L_z}$$

$$\Delta u_v \quad \Delta d_v \quad \Delta q_{sea}$$

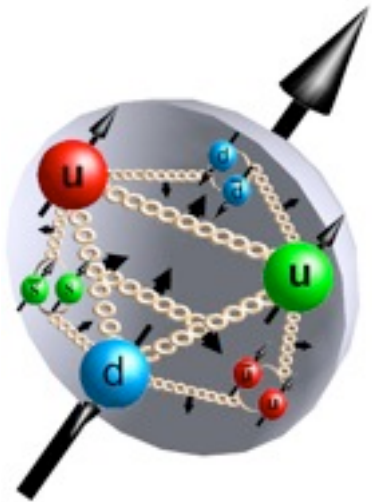
First spin crisis:

quarks only provide $\approx 30\%$ of the proton spin



The proton spin structure:

Spin crisis



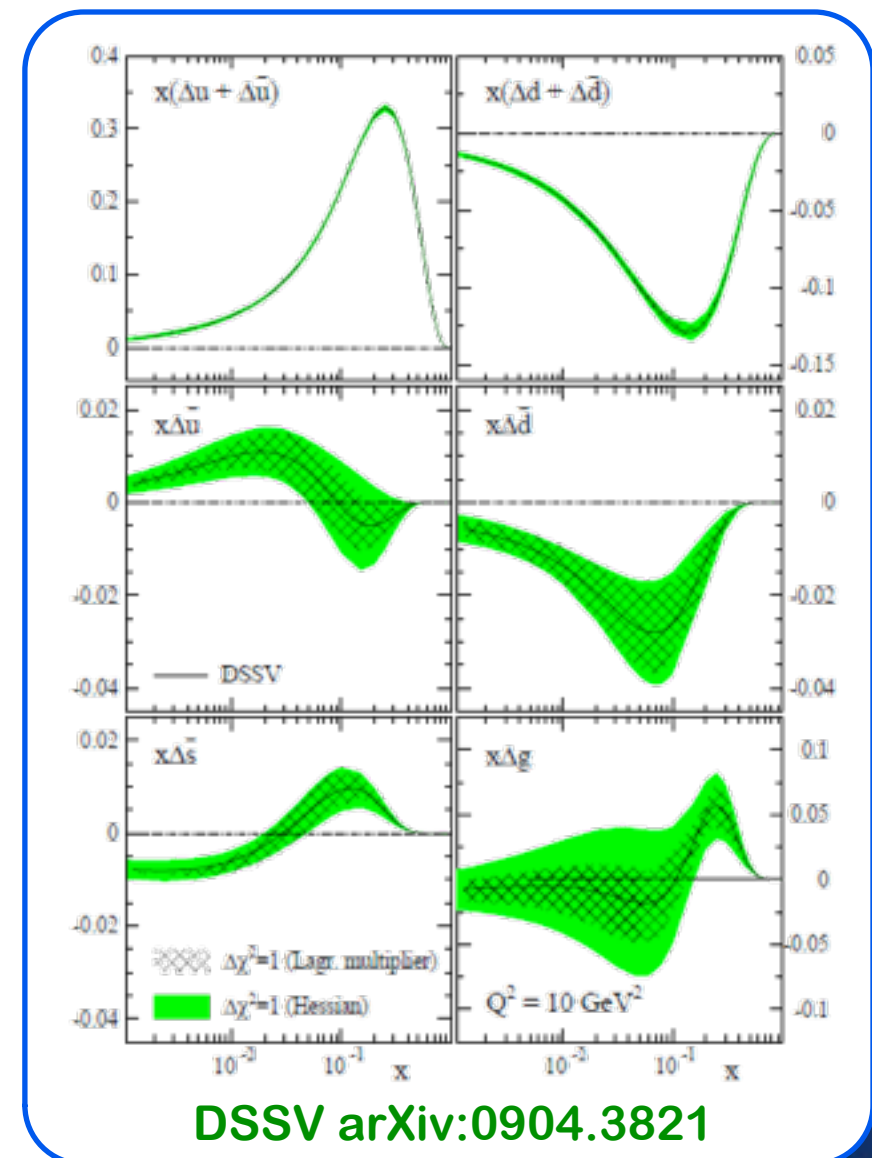
$$\frac{1}{2} = \frac{1}{2} \overset{\substack{\text{quark} \\ \text{spins}}}{\Delta\Sigma} + \overset{\substack{\text{gluon} \\ \text{spins}}}{\Delta G} + \overset{\substack{\text{quark\&gluon} \\ \text{orbital motion}}}{L_z}$$

Δu_v Δd_v Δq_{sea}

First spin crisis:

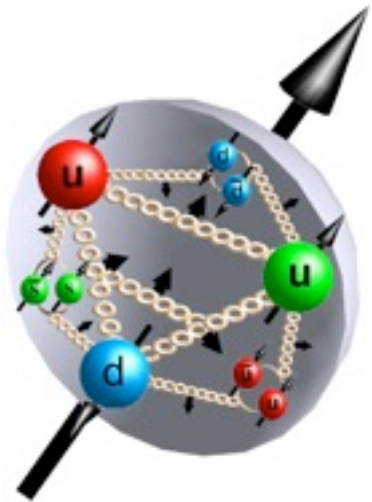
quarks only provide $\approx 30\%$ of the proton spin

Where is the rest of the proton spin?



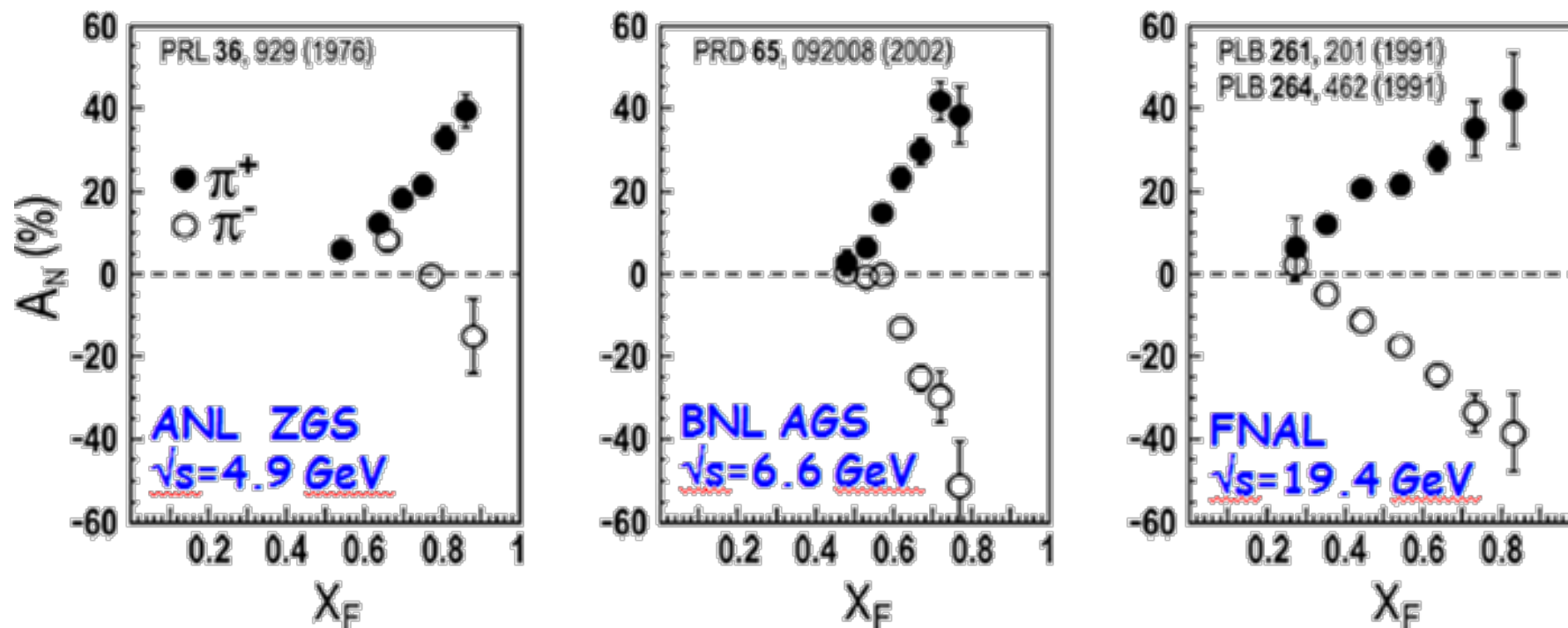
The proton spin structure:

Spin crisis 1.1



A_N predicted to be small by collinear pQCD

$$\Rightarrow A_N \approx \frac{m_q \alpha_s}{p_T}$$



Second spin crisis:

transverse effect not small!



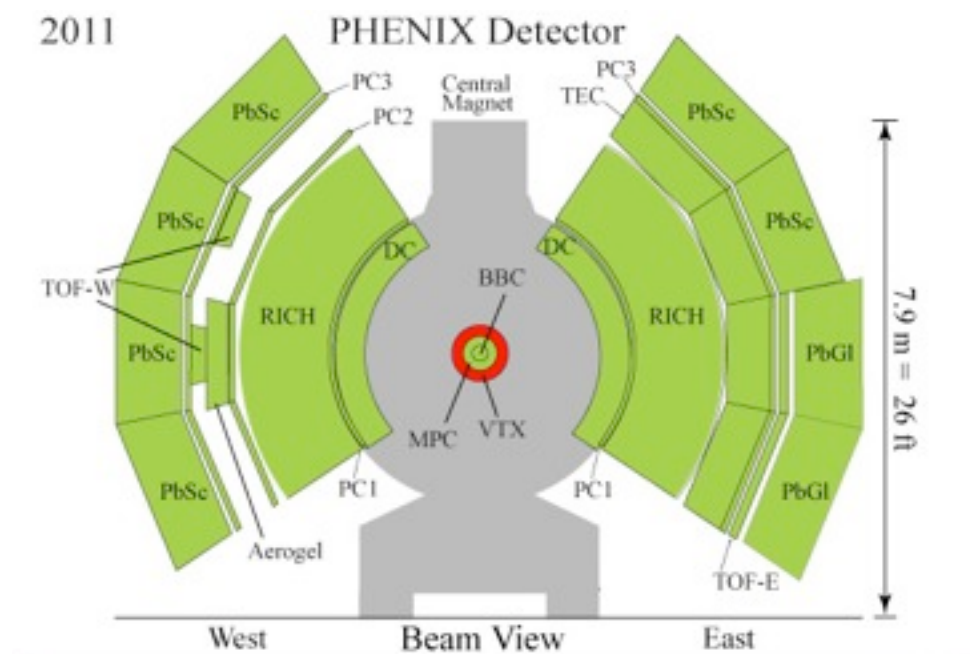
... PHENIX so far ...



PHENIX

Central Arms:

$$|\eta| < 0.35$$



Tracking, Momentum and PID for:

★charged and neutral hadrons

★direct photons

★e⁺e⁻

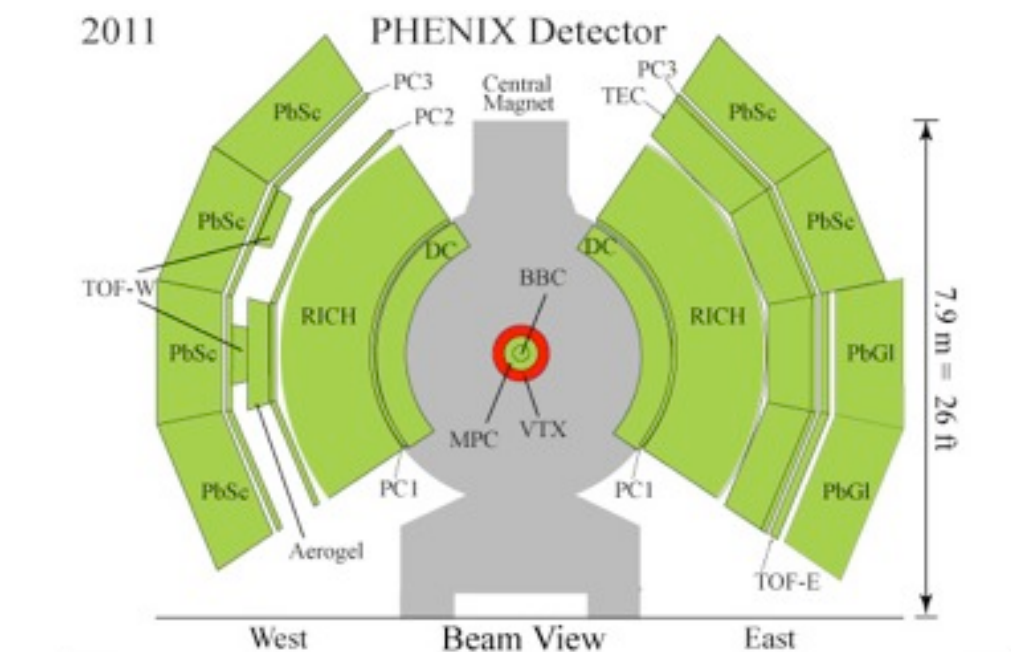


PHENIX

Central Arms:

$$|\eta| < 0.35$$

$$|\eta| < 0.35$$



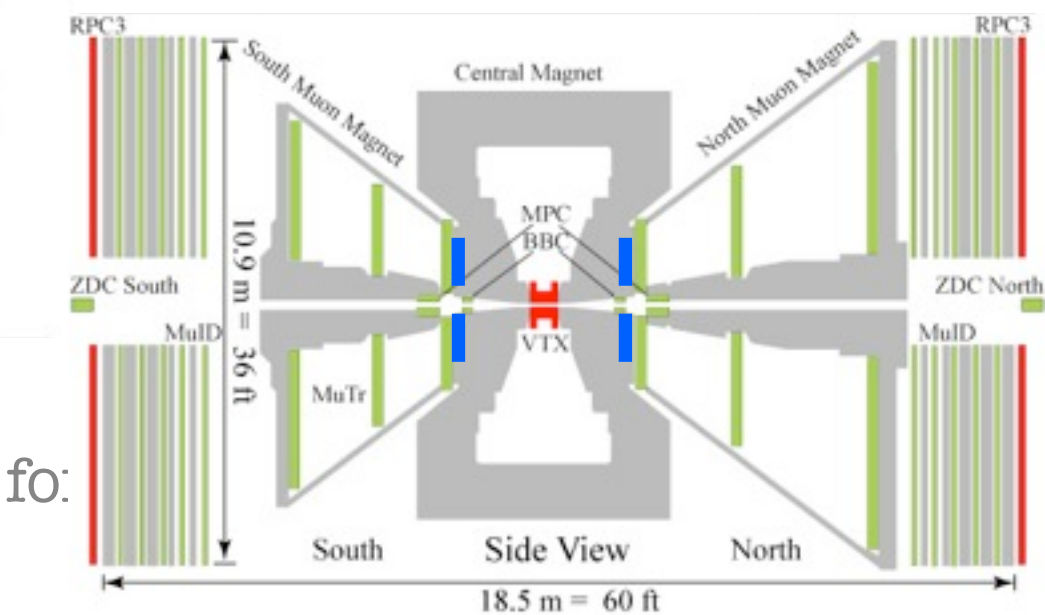
Forward Arms:

$$1.2 < |\eta| < 2.4$$

$$1.2 < |\eta| < 2.4$$

Tracking and Momentum for:

$\star \mu^\pm$



Tracking, Momentum and PID for:

- ★ charged and neutral hadrons
- ★ direct photons
- ★ e^+e^-

★charged and neutral hadrons

☆direct photons

☆e+e-



PHENIX

Central Arms:

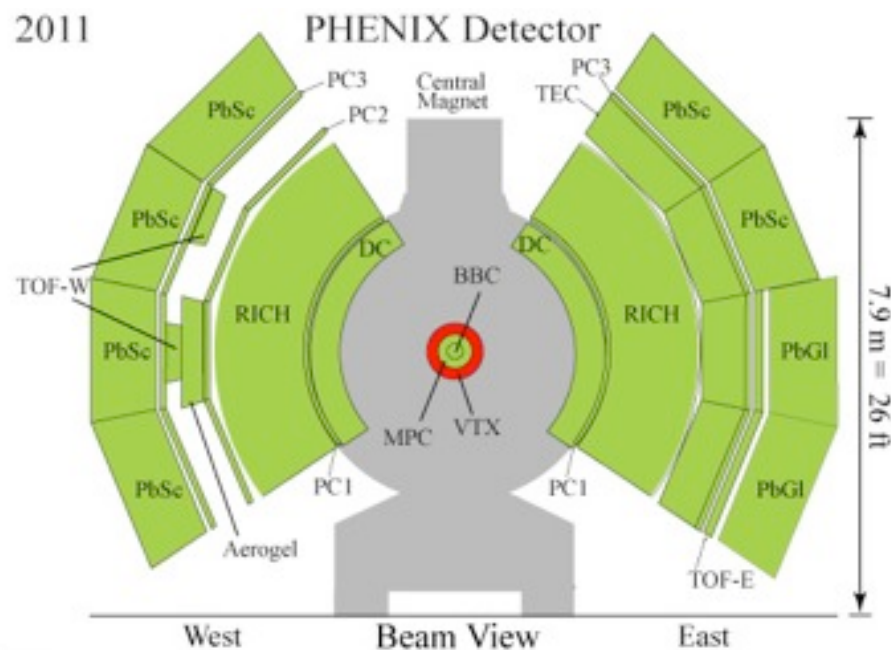
$$|\eta| < 0.35$$

Forward Arms:

$$1.2 < |\eta| < 2.4$$

Even more forward:
MPC

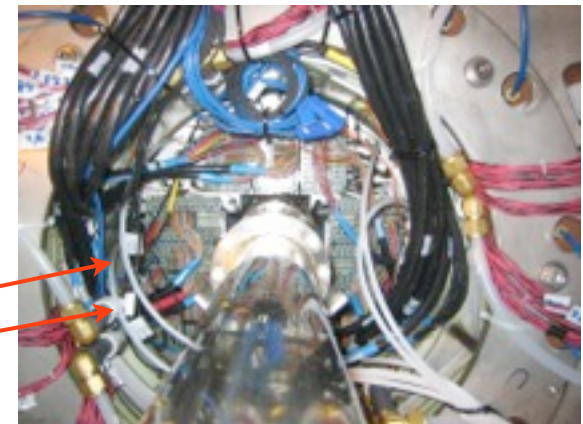
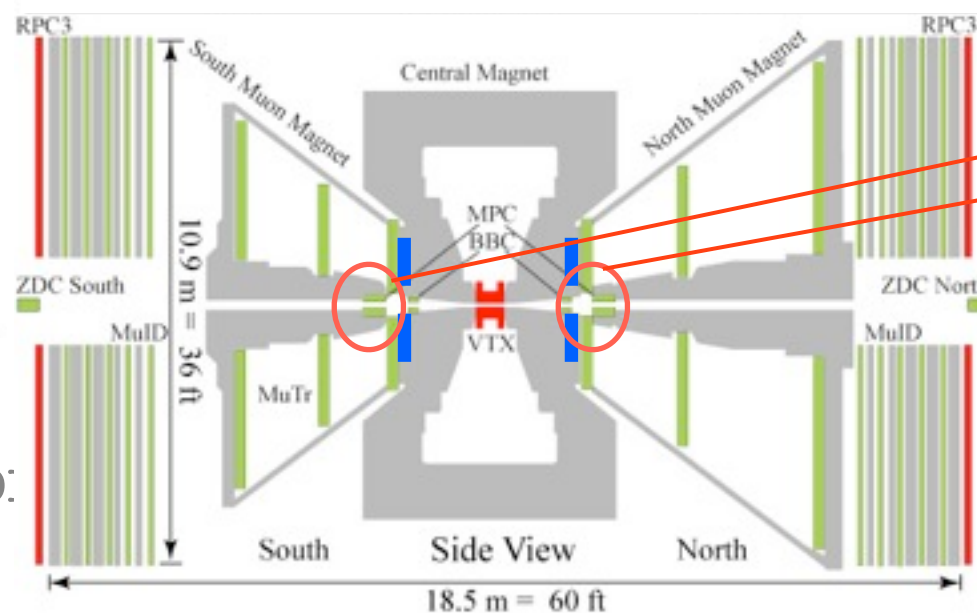
$$3.1 < |\eta| < 3.9$$



Tracking and Momentum for:

$$\star \mu^\pm$$

$$\gamma_0 \rightarrow \gamma\gamma$$



Tracking, Momentum and PID for:

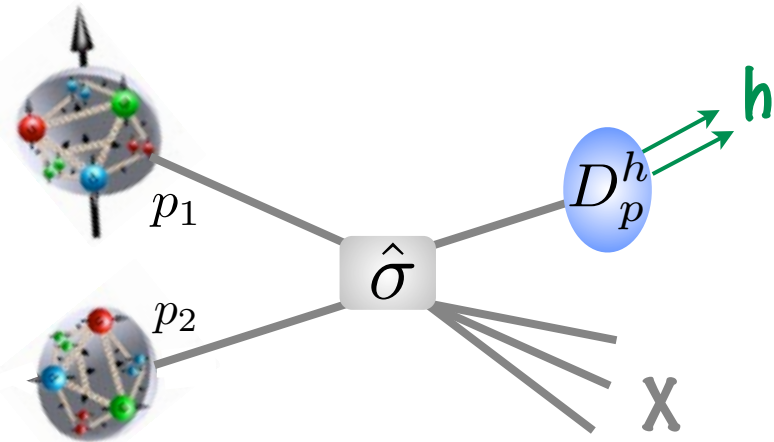
★ charged and neutral hadrons

★ direct photons

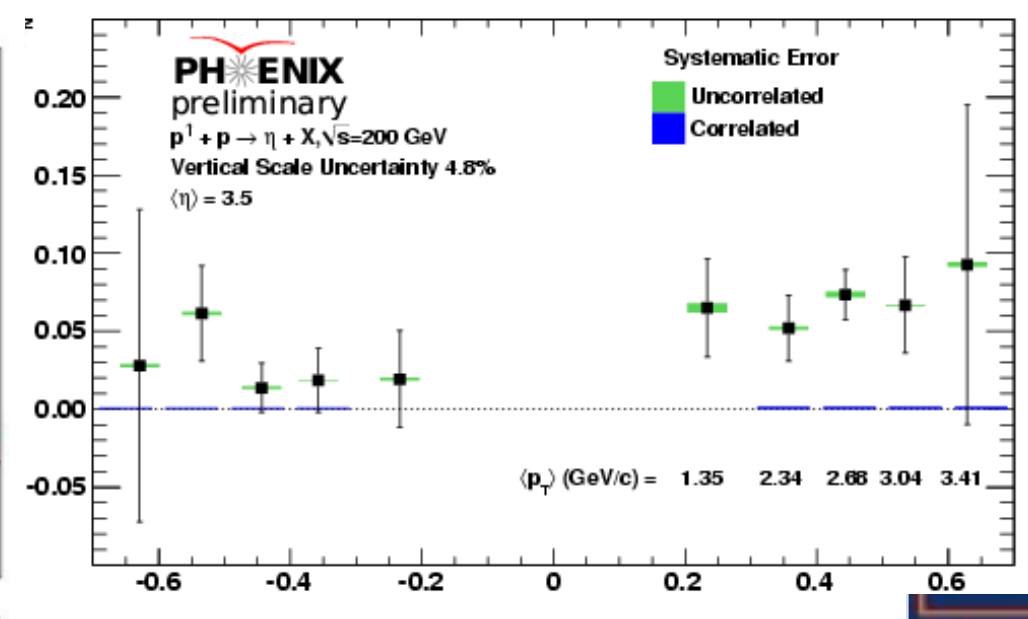
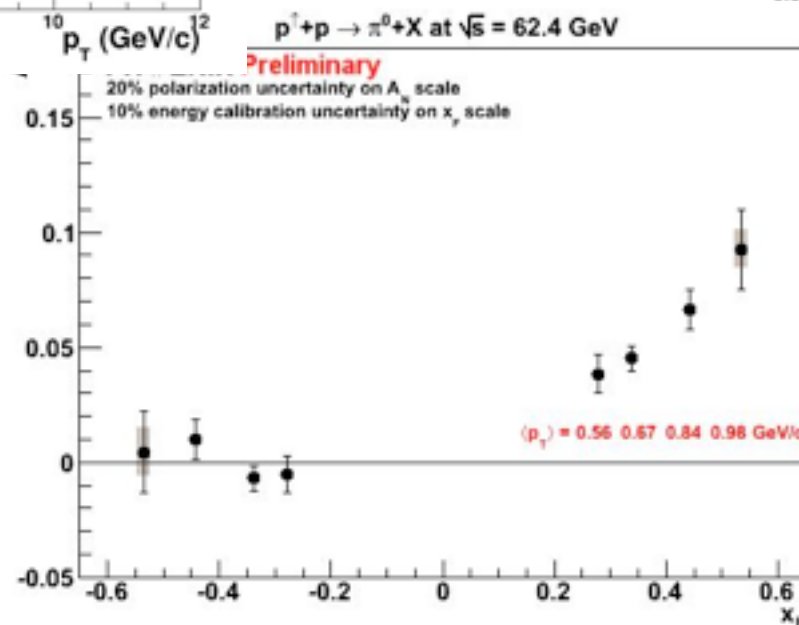
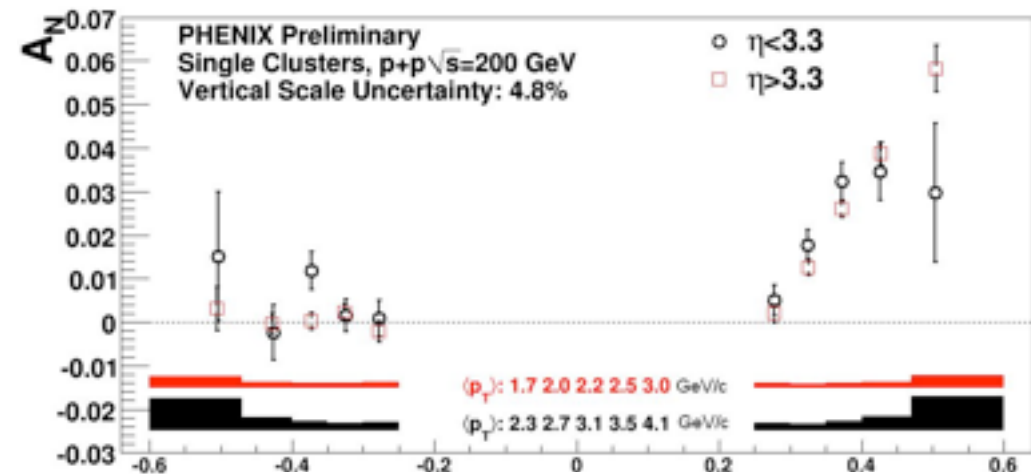
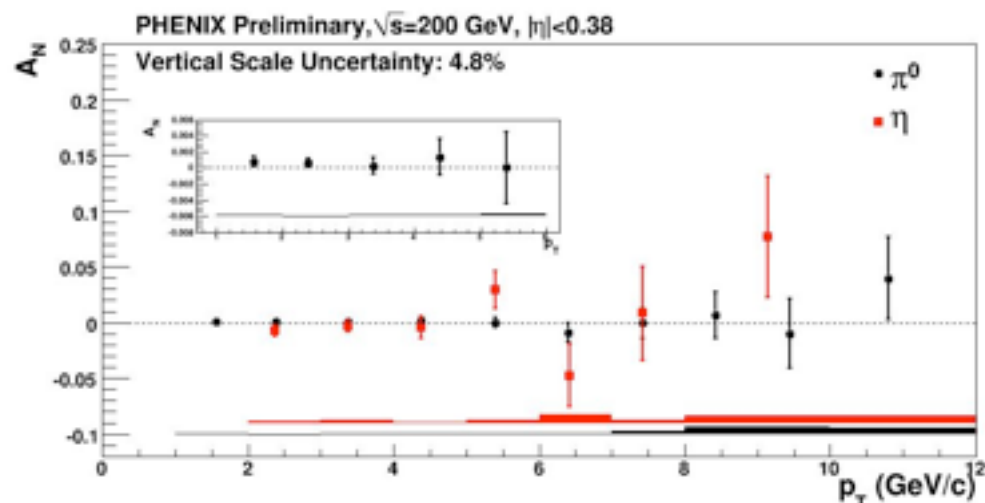
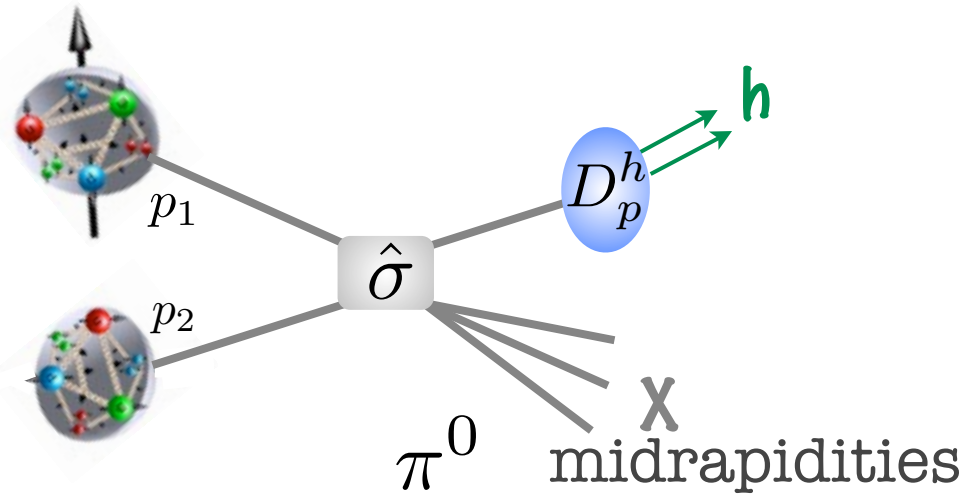
★ e^+e^-



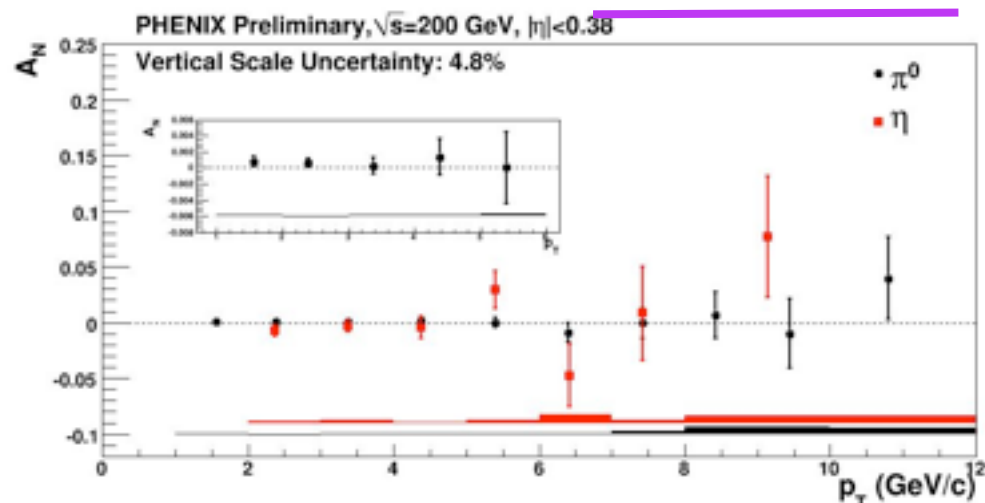
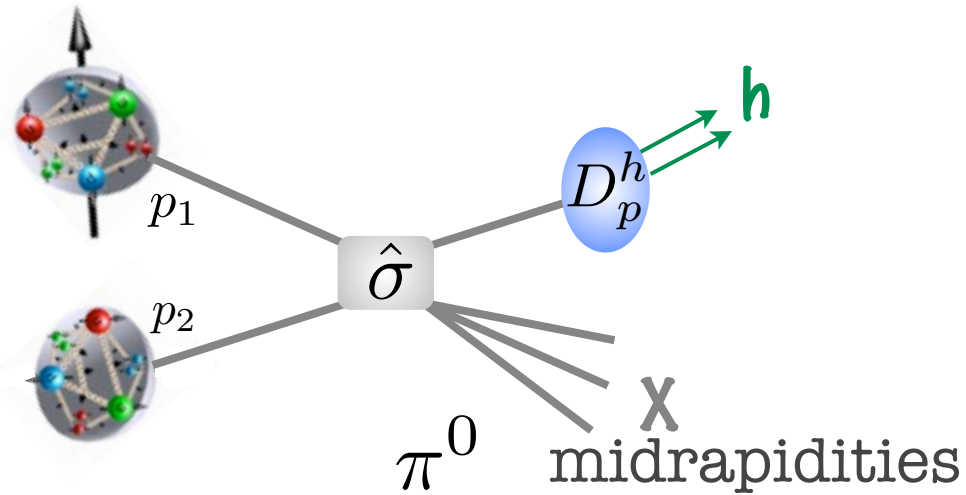
Transverse Single Spin Asymmetries



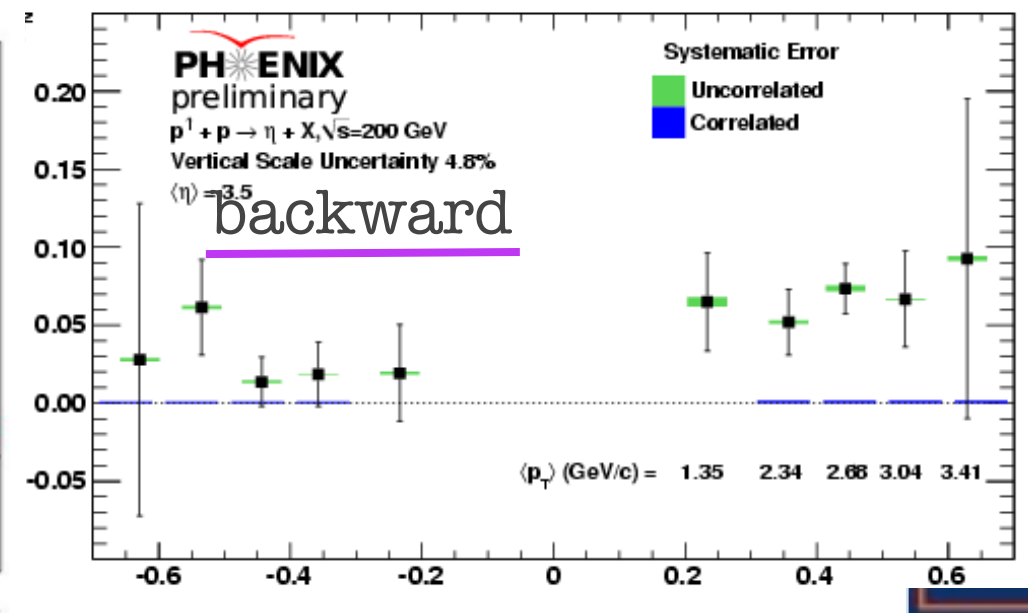
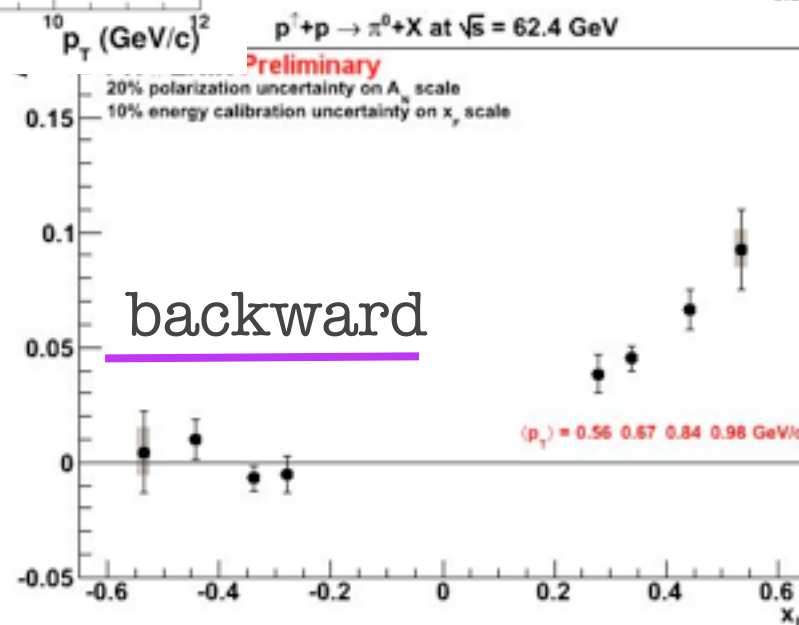
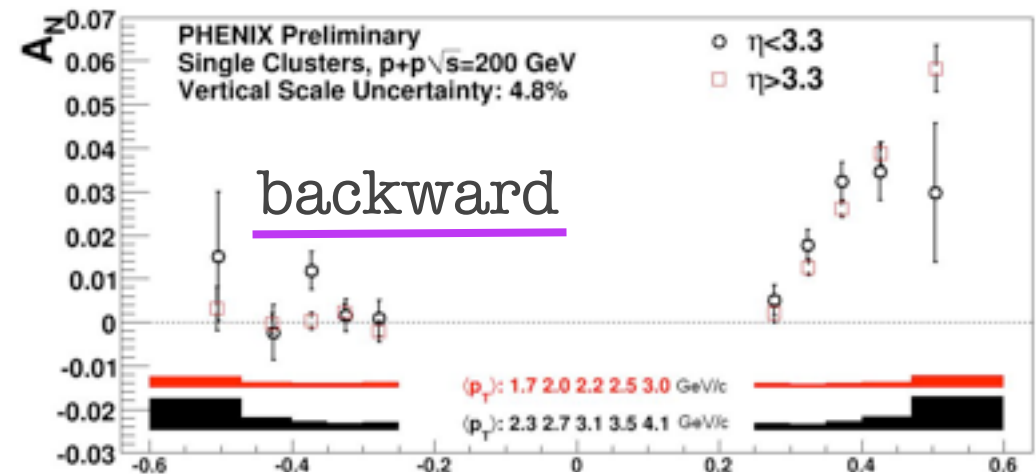
Transverse Single Spin Asymmetries



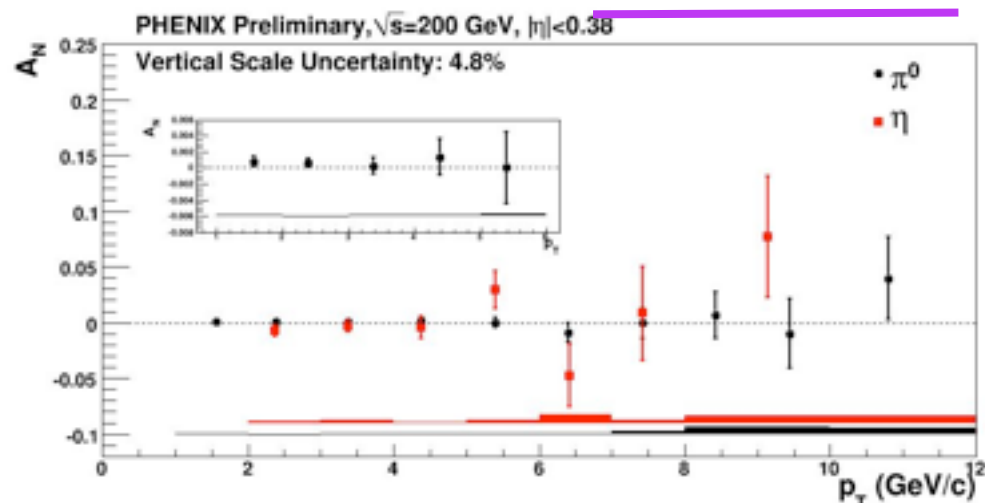
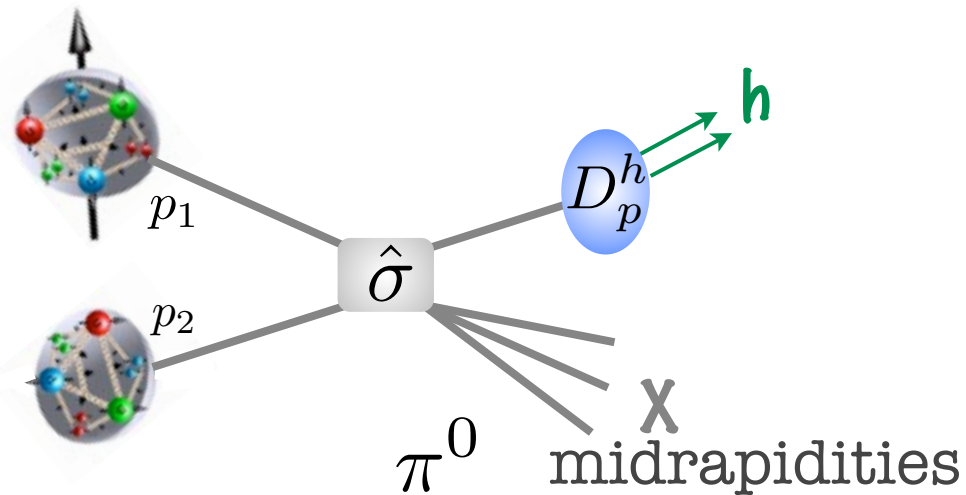
Transverse Single Spin Asymmetries



Zero asymmetry in the midrapidity and backward regions

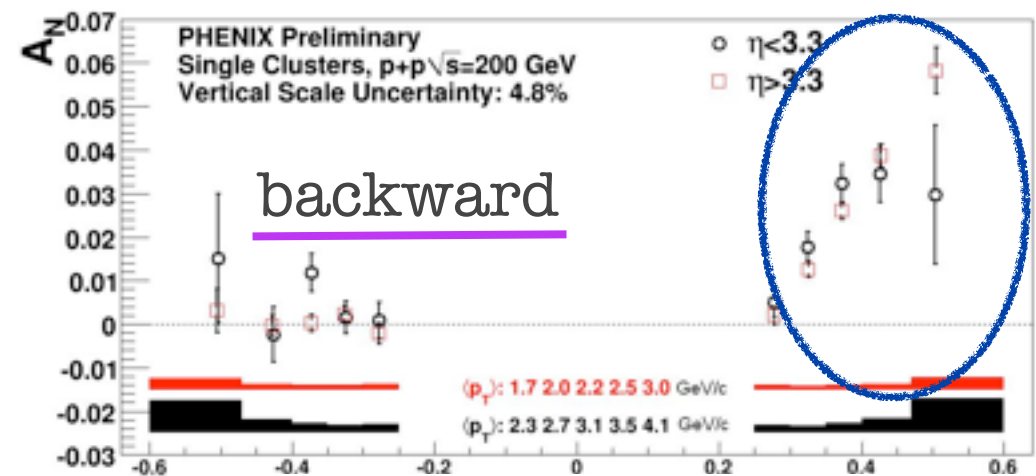


Transverse Single Spin Asymmetries

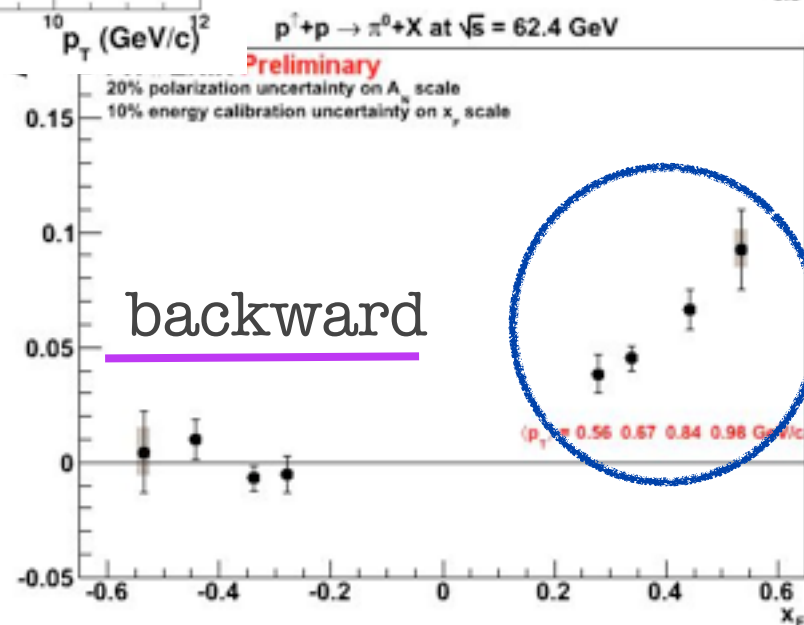


Zero asymmetry in the midrapidity and backward regions

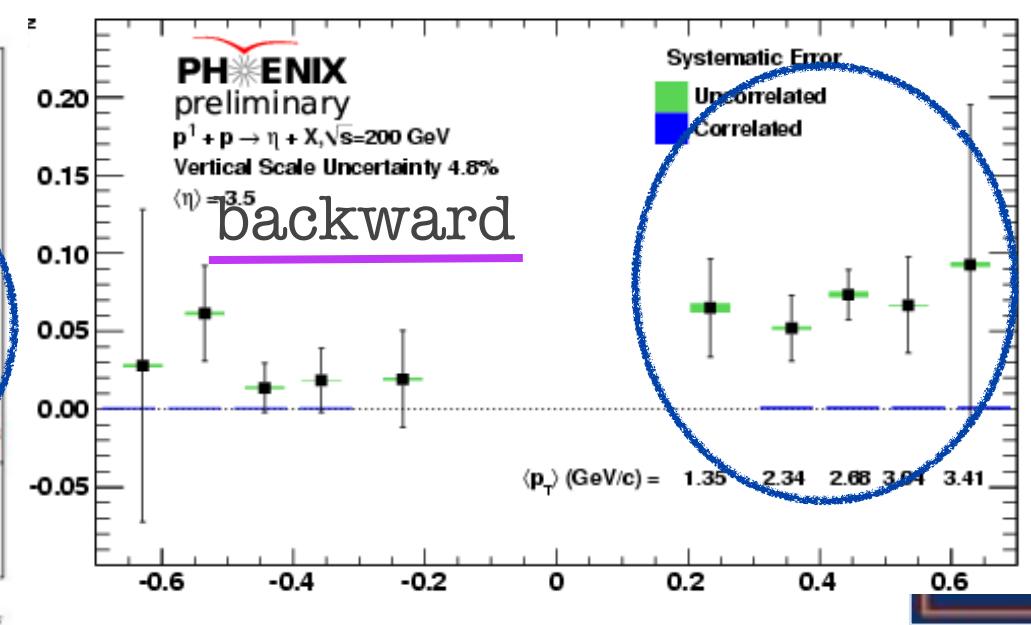
Large asymmetry in the forward region !!



backward

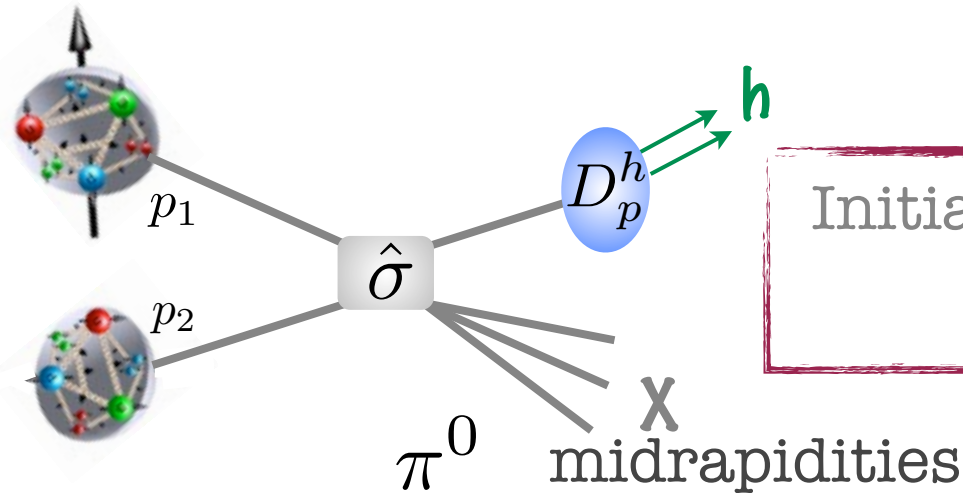


backward



backward

Transverse Single Spin Asymmetries

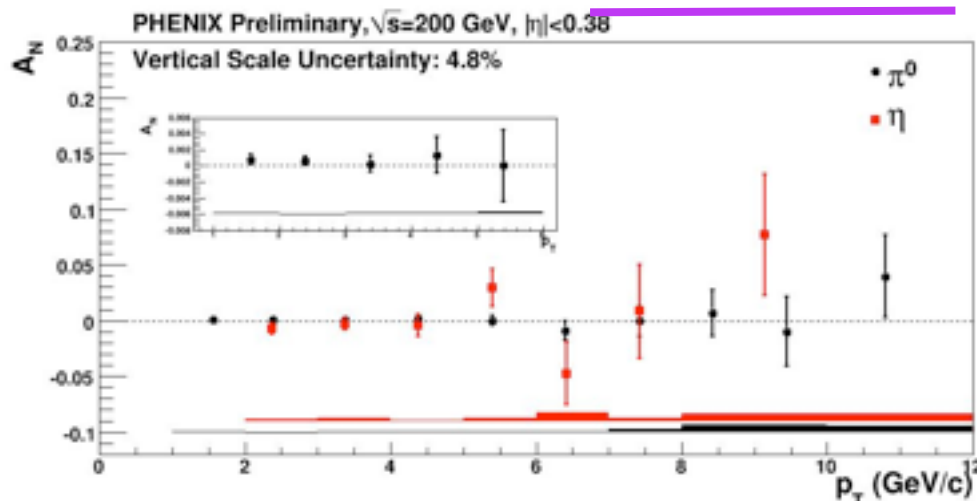


What generates these large asymmetries?

Initial State Interaction
SIVERS effect

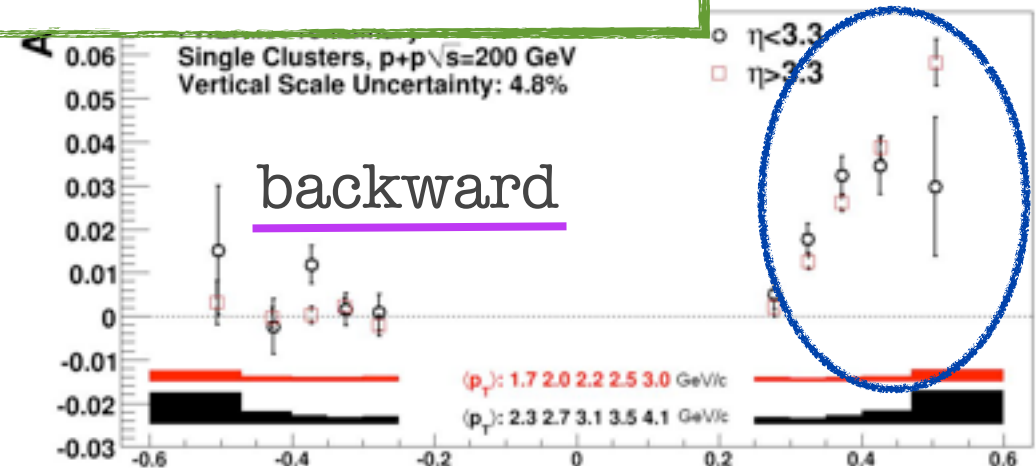
Final State Interaction
TRANSVERSITY \times COLLINS

Collinear Twist-3 effects

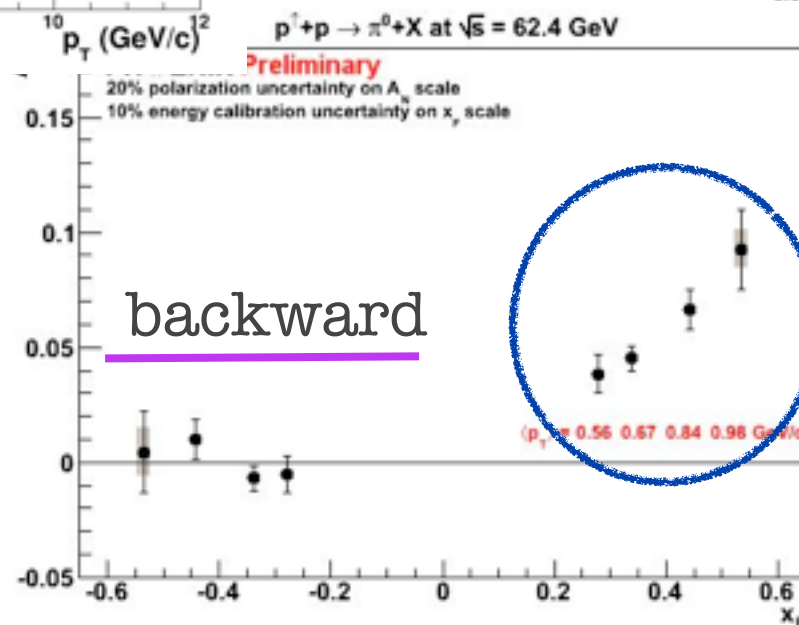


Zero asymmetry in the midrapidity and backward regions

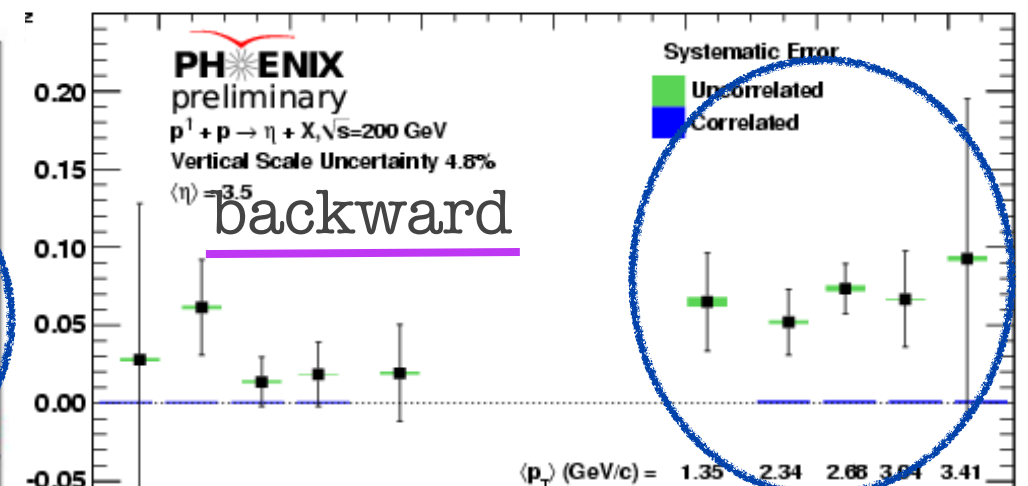
Large asymmetry in the forward region !!



backward



backward

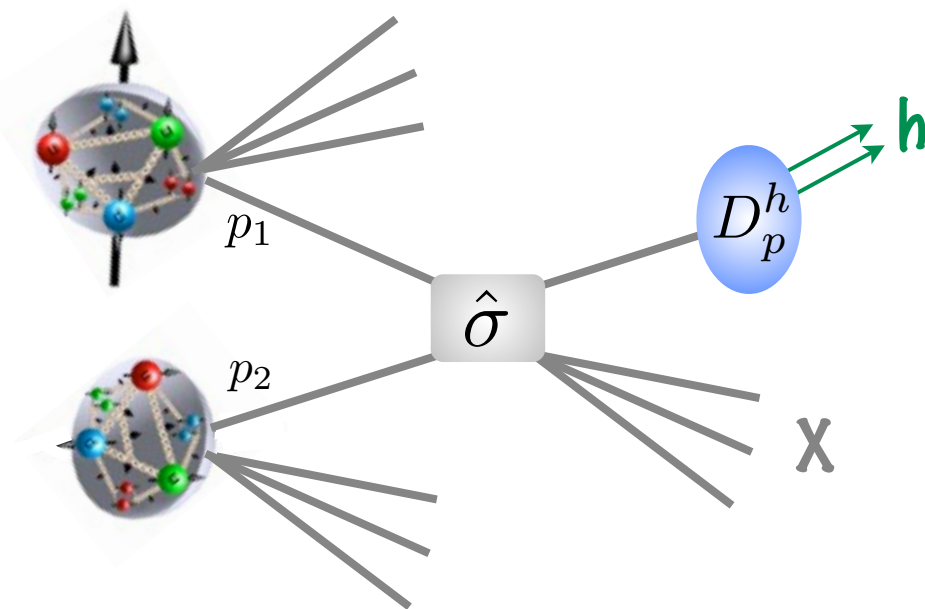


backward

Sivers mechanism

Sivers mechanism:

Azimuthal asymmetry generated by correlations between quark transverse momentum and the proton transverse spin



| | | quark | | |
|---------|---|----------------|----------------|----------------------|
| | | U | L | T |
| nucleon | U | f_1 | | h_1^\perp |
| | L | | g_1 | h_{1L}^\perp |
| | T | f_{1T}^\perp | g_{1T}^\perp | h_1 h_{1T}^\perp |

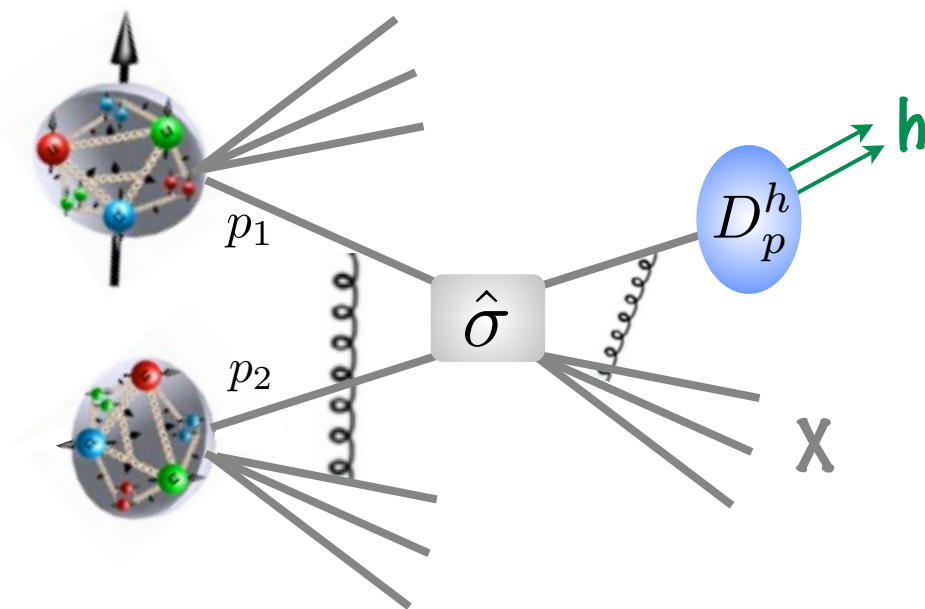


Sivers mechanism

Sivers mechanism:

Azimuthal asymmetry generated by correlations between quark transverse momentum and the proton transverse spin

naive T-odd function



| | | quark | | |
|---------|---|----------------|----------------|----------------------|
| | | U | L | T |
| nucleon | U | f_1 | | h_1^\perp |
| | L | | g_1 | h_{1L}^\perp |
| | T | f_{1T}^\perp | g_{1T}^\perp | h_1 h_{1T}^\perp |



Sivers mechanism

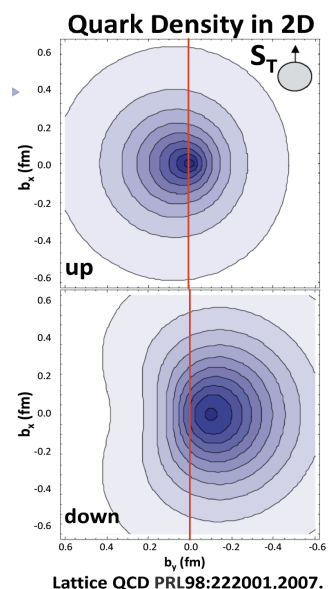
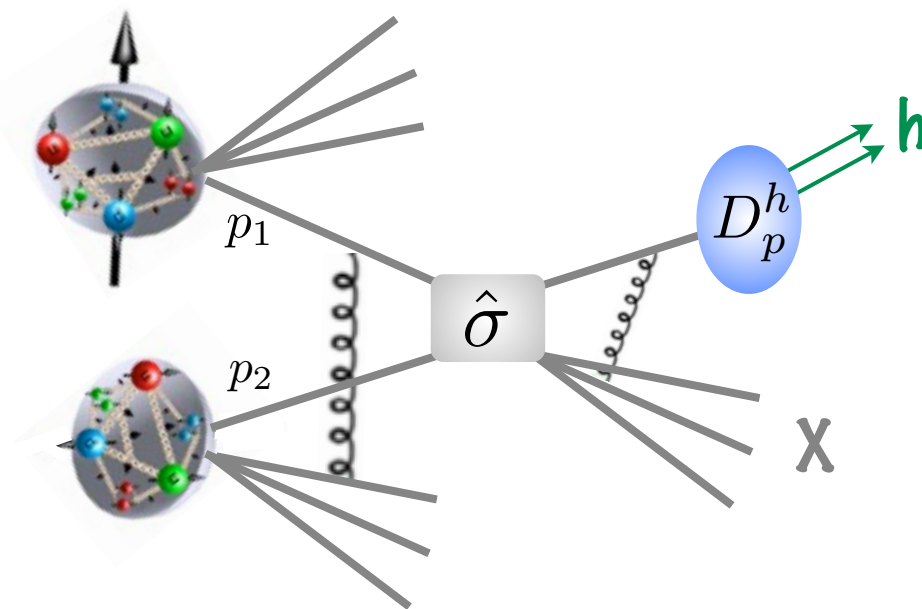
Sivers mechanism:

Azimuthal asymmetry generated by correlations between quark transverse momentum and the proton transverse spin

naive T-odd function

Spatial deformation due to spin-orbit correlations:

=> non zero Orbital Angular Momentum!



| | | quark | | |
|---------|---|----------------|----------------|----------------------|
| | | U | L | T |
| nucleon | U | f_1 | | h_1^\perp |
| | L | | g_1 | h_{1L}^\perp |
| | T | f_{1T}^\perp | g_{1T}^\perp | h_1 h_{1T}^\perp |



Sivers mechanism

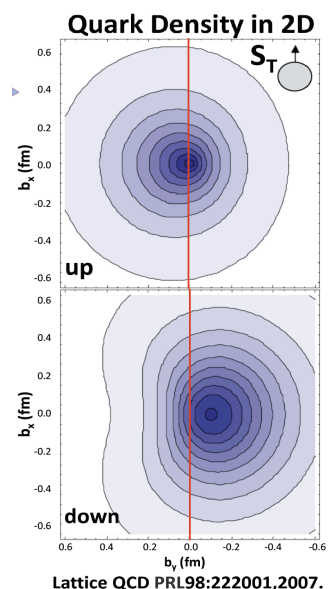
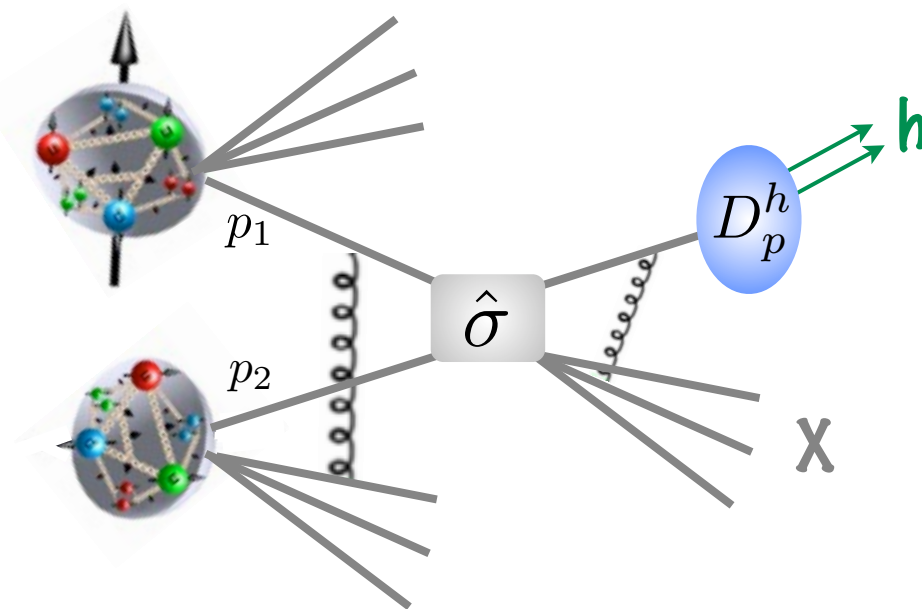
Sivers mechanism:

Azimuthal asymmetry generated by correlations between quark transverse momentum and the proton transverse spin

naive T-odd function

Spatial deformation due to spin-orbit correlations:

=> non zero Orbital Angular Momentum!



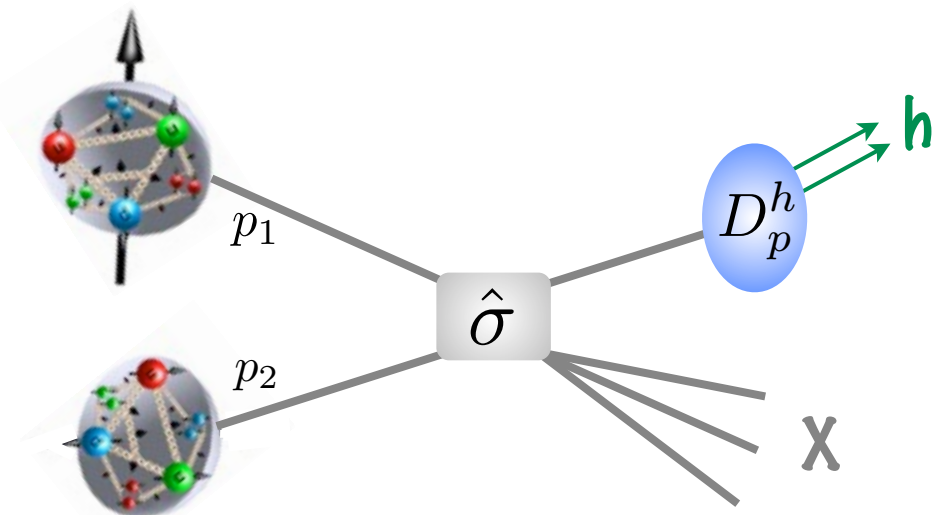
| | | quark | | |
|---------|---|----------------|----------------|----------------------|
| | | U | L | T |
| nucleon | U | f_1 | | h_1^\perp |
| | L | | g_1 | h_{1L}^\perp |
| | T | f_{1T}^\perp | g_{1T}^\perp | h_1 h_{1T}^\perp |

BUT!!

**TMD factorization not valid in
pp => hadron reaction!**



Transversity via Collins

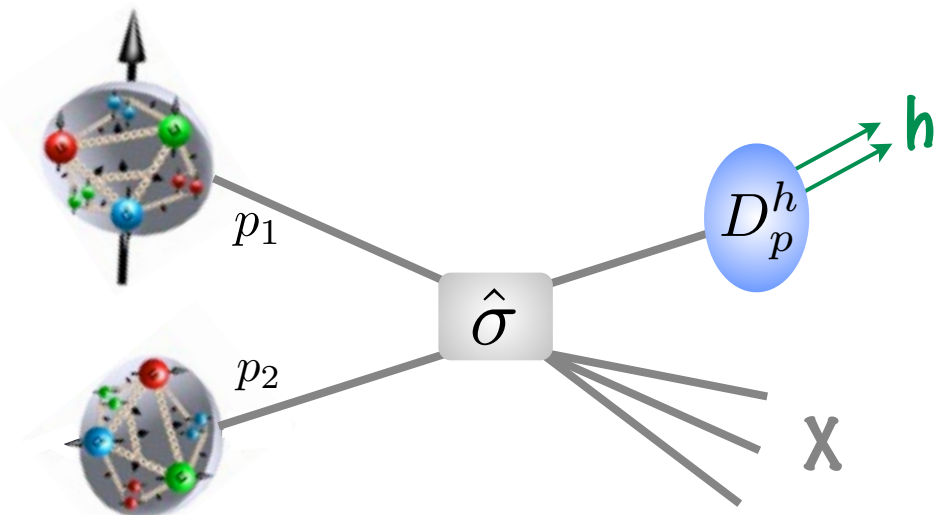


$$A_N \propto h_1 \times H_1^\perp$$

| | | quark | | |
|---------|---|----------------|----------------|-------------------------|
| | | U | L | T |
| nucleon | U | f_1 | | h_1^\perp |
| | L | | g_1 | h_{1L}^\perp |
| | T | f_{1T}^\perp | g_{1T}^\perp | h_1 h_{1T}^\perp |



Transversity via Collins



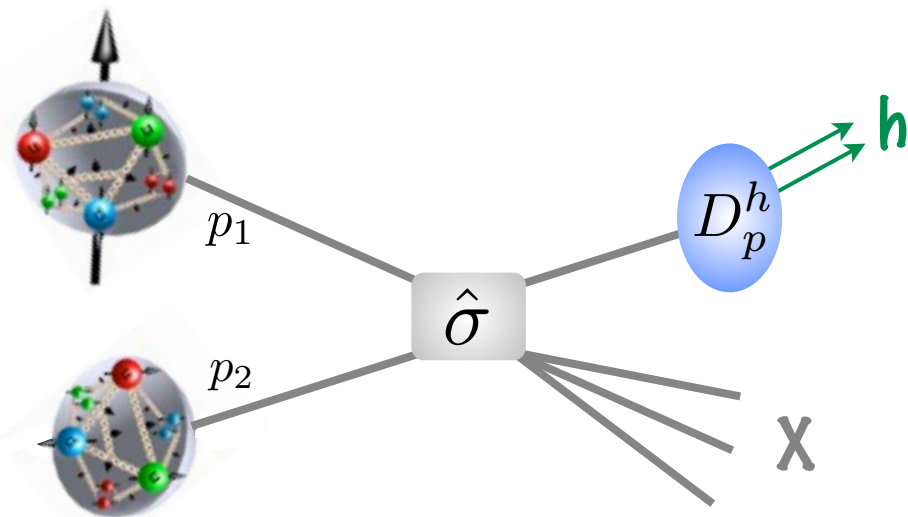
$$A_N \propto h_1 \times H_1^\perp$$

$$g_1 \text{ (diagram)} \neq h_1 \text{ (diagram)}$$

| | | quark | | |
|---------|---|--------------------------------------|--------------------------------------|---|
| | | U | L | T |
| nucleon | U | f_1 (diagram) | | h_1^\perp (diagram) - (diagram) |
| | L | | g_1 (diagram) - (diagram) | h_{1L}^\perp (diagram) - (diagram) |
| | T | f_{1T}^\perp (diagram) - (diagram) | g_{1T}^\perp (diagram) - (diagram) | h_1 (diagram) - (diagram) h_{1T}^\perp (diagram) - (diagram) |



Transversity via Collins



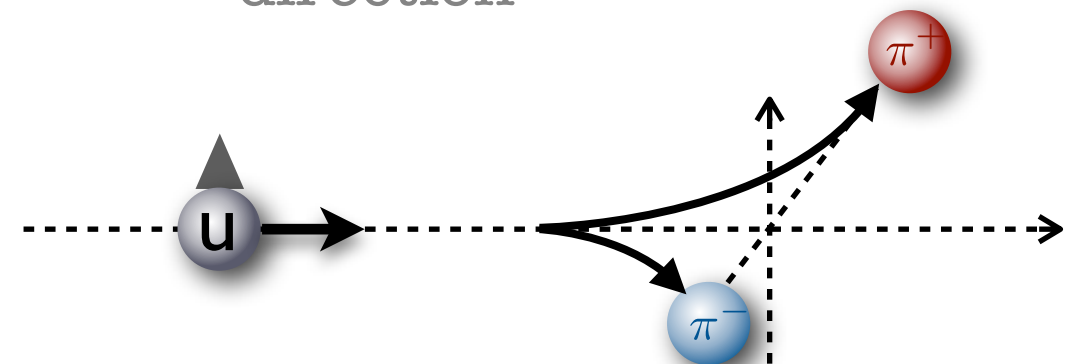
$$A_N \propto h_1 \times H_1^\perp$$

Collins mechanism:

left-right asymmetry from correlations between quark transverse spin and outgoing hadron direction

$$g_1 \text{ (diagram)} \neq h_1 \text{ (diagram)}$$

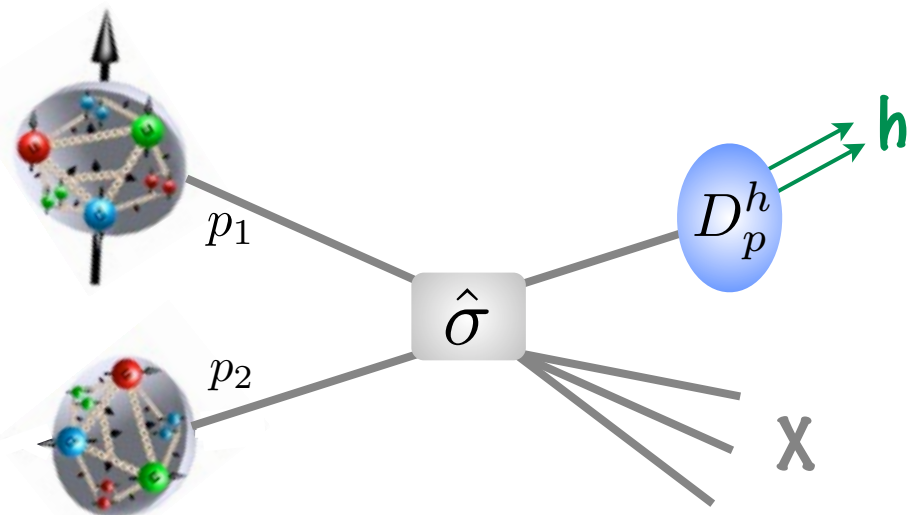
Diagram illustrating the difference between the Collins mechanism (h_1) and the Sivers mechanism (g_1). The Collins mechanism involves a quark with transverse spin and a hadron with transverse momentum, while the Sivers mechanism involves a quark with transverse spin and a hadron with transverse momentum.



| | | quark | | |
|---------|---|--------------------------------------|--------------------------------------|--------------------------------------|
| | | U | L | T |
| nucleon | U | f_1 (diagram) | | h_1^\perp (diagram) - (diagram) |
| | L | | g_1 (diagram) - (diagram) | h_{1L}^\perp (diagram) - (diagram) |
| | T | f_{1T}^\perp (diagram) - (diagram) | g_{1T}^\perp (diagram) - (diagram) | h_{1T}^\perp (diagram) - (diagram) |



Transversity via Collins

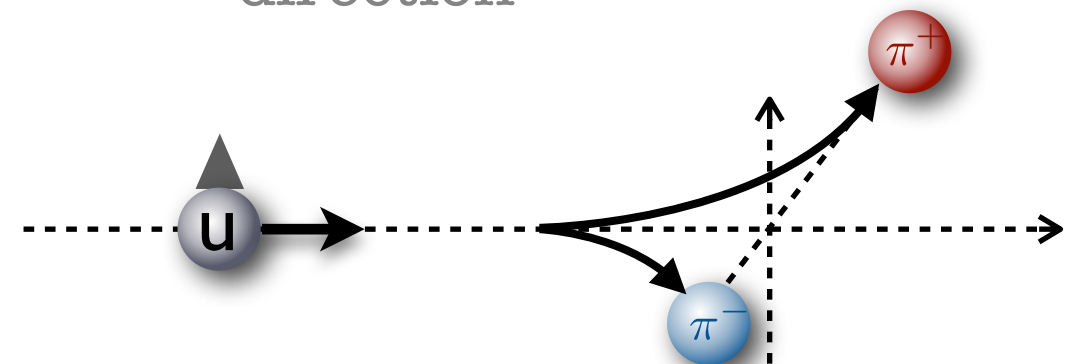


$$A_N \propto h_1 \times H_1^\perp$$

Collins mechanism:

left-right asymmetry from correlations between quark transverse spin and outgoing hadron direction

$$g_1 \text{ (spin circles) } \neq h_1 \text{ (spin arrows) }$$



| | | quark | | |
|---------|---|------------------------------|-------------------------------|------------------------------|
| | | U | L | T |
| nucleon | U | f_1 (spin circle) | | h_1^\perp (spin arrows) |
| | L | | g_1 (spin circles) | h_{1L}^\perp (spin arrows) |
| | T | f_{1T}^\perp (spin arrows) | g_{1T}^\perp (spin circles) | h_{1T}^\perp (spin arrows) |

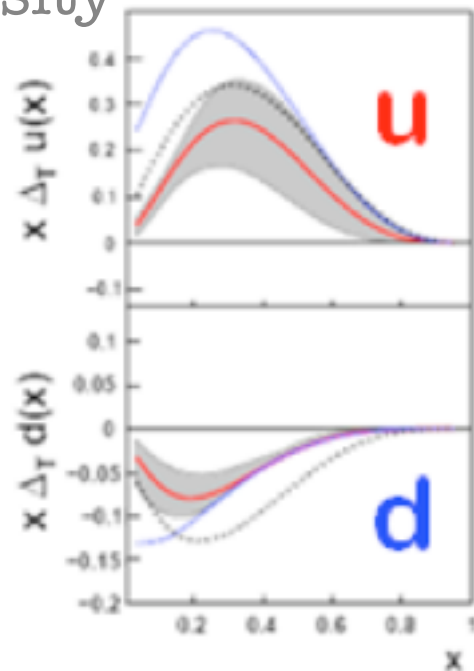
BUT!!

**TMD factorization not valid in
pp => hadron reaction!**

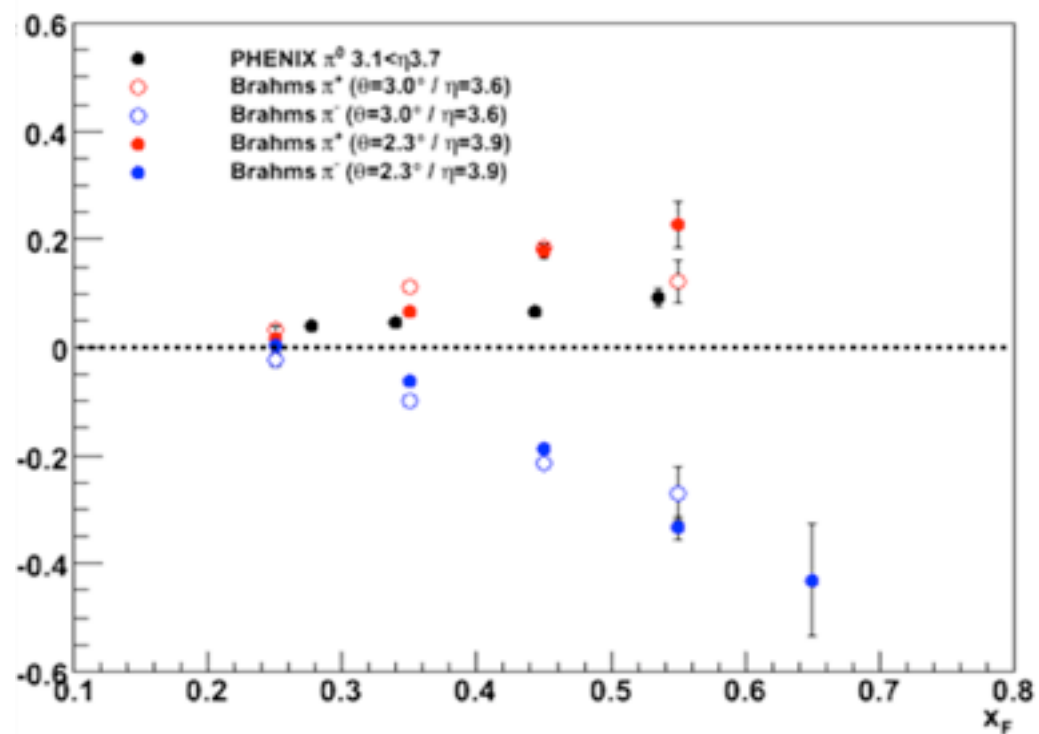
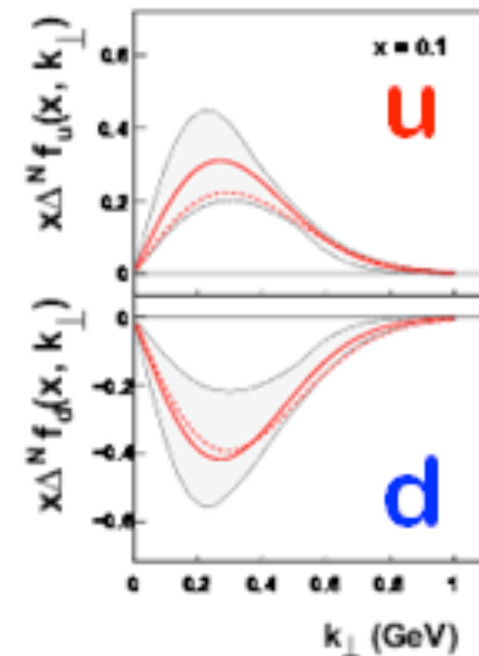


Which effect?

Transversity



Sivers



$$\pi^+ (u\bar{d})$$

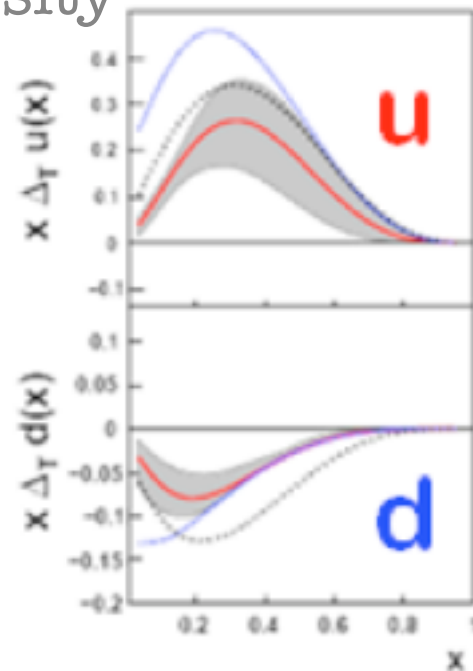
$$\pi^0 (u\bar{u} + d\bar{d})$$

$$\pi^- (\bar{u}d)$$

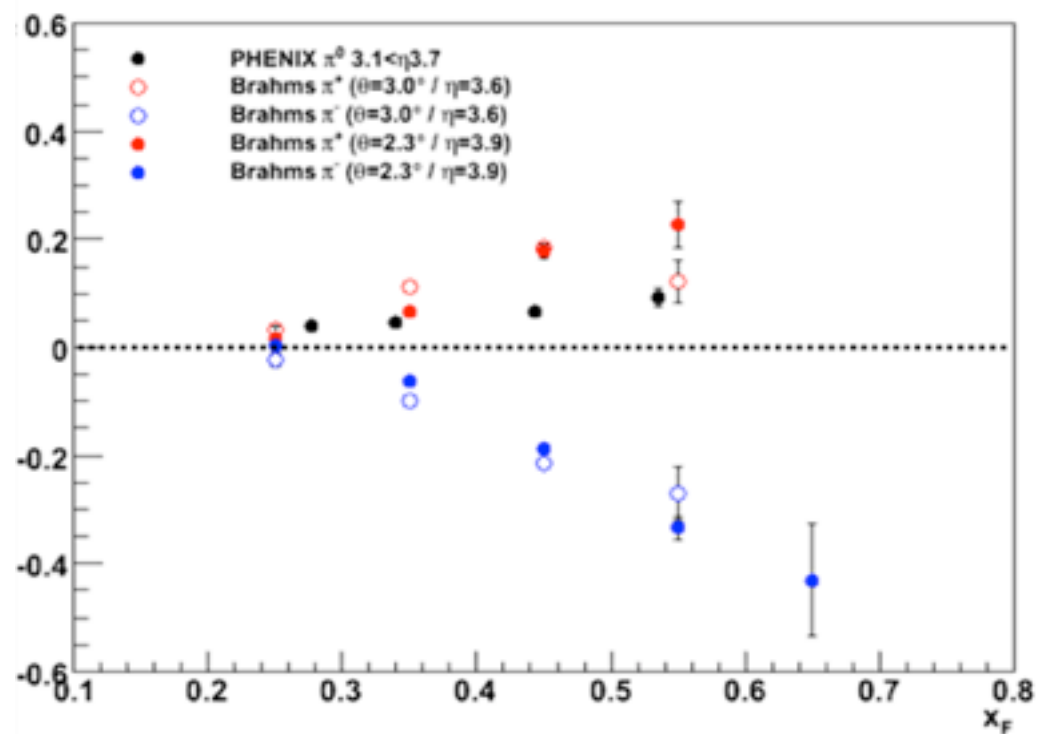
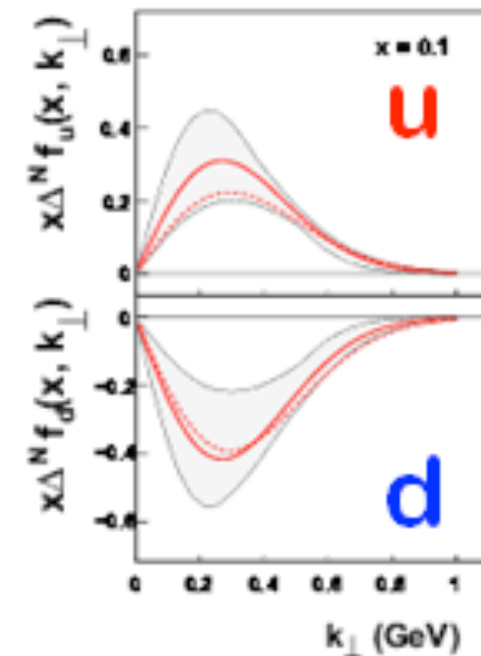


Which effect?

Transversity



Sivers



$$\pi^+ (u\bar{d}) \quad u : d \rightarrow \pi^+ \quad 1 : 0$$

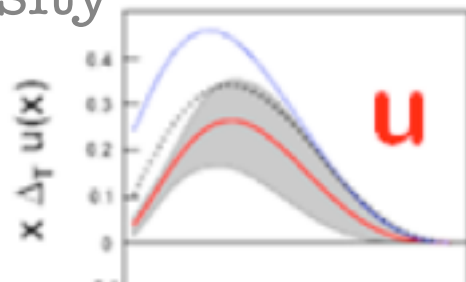
$$\pi^0 (u\bar{u} + d\bar{d}) \quad u : d \rightarrow \pi^0 \quad 2 : 1$$

$$\pi^- (\bar{u}d) \quad u : d \rightarrow \pi^- \quad 1 : 1$$

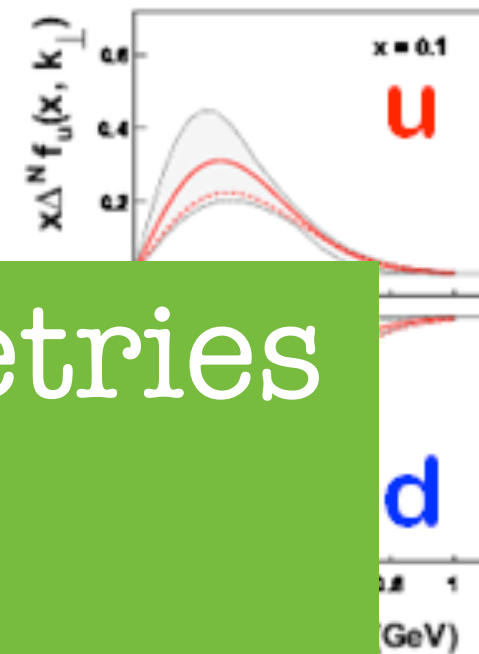


Which effect?

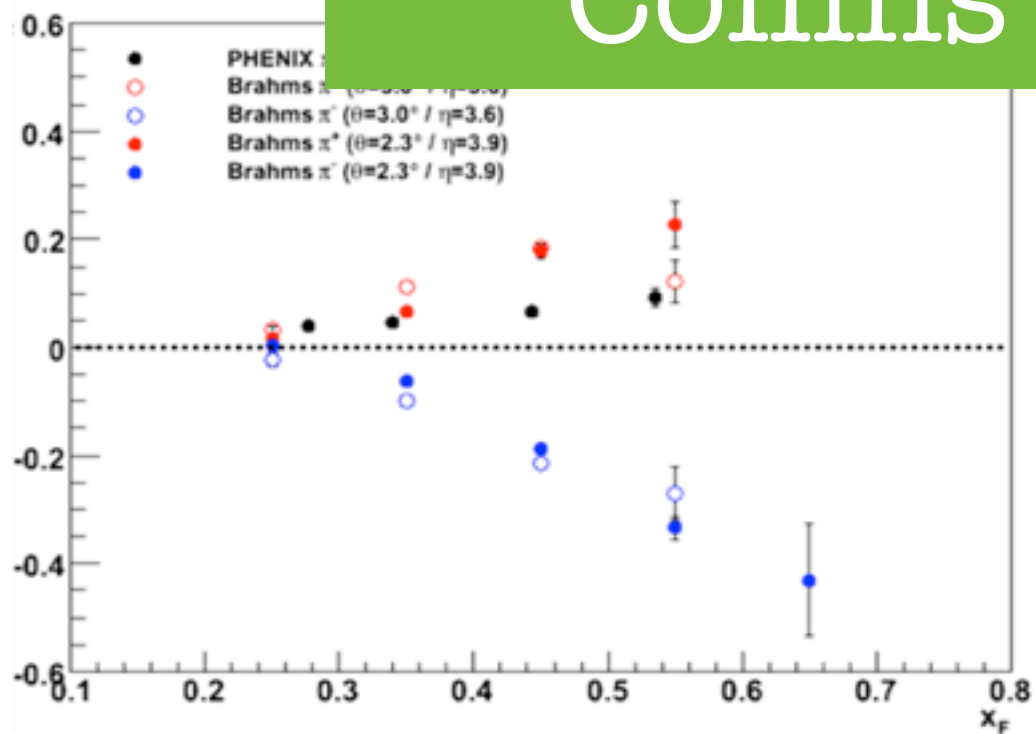
Transversity



Sivers



Single Spin Asymmetries
=
Collins + Sivers +



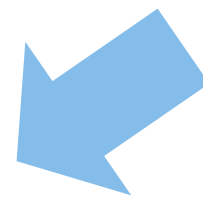
1 : 0

$\pi^0 (u\bar{u} + d\bar{d}) \quad u : d \rightarrow \pi^0 \quad 2 : 1$

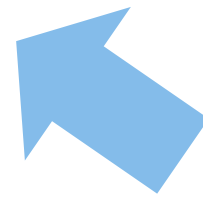
$\pi^- (\bar{u}d) \quad u : d \rightarrow \pi^- \quad 1 : 1$



How to separate the contributions?



Initial State Interaction
SIVERS effect

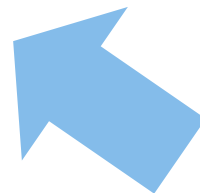
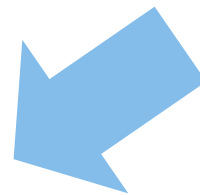


Final State Interaction
TRANSVERSITY x COLLINS



How to separate the contributions?

- Transversity via IFF
- Sivers in Drell-Yan
- Jet asymmetries (Sivers)
- Asymmetries within a jet (Collins)



Initial State Interaction
SIVERS effect

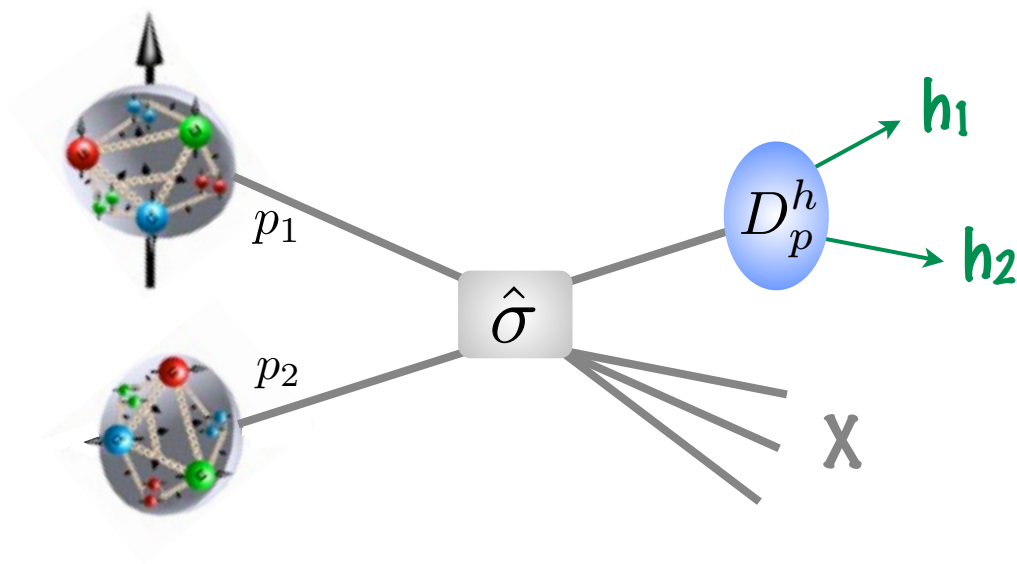
Final State Interaction
TRANSVERSITY \times COLLINS



Transversity x IFF

Interference Fragmentation:

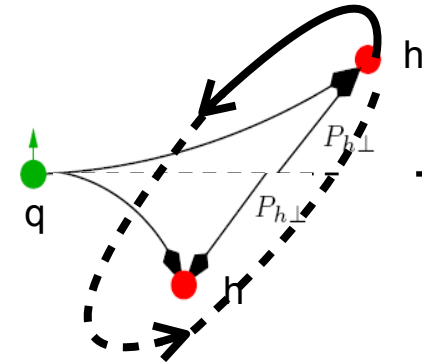
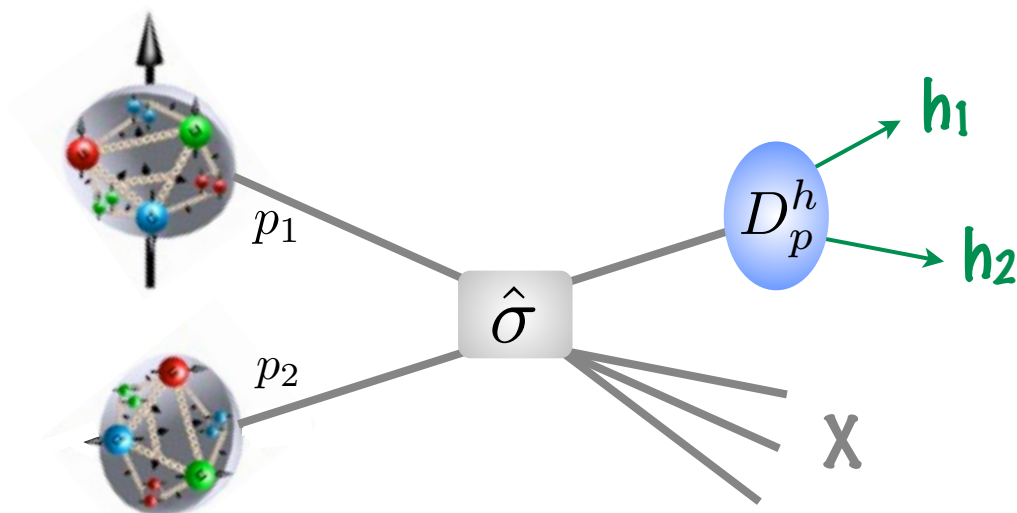
left-right asymmetry from correlations between quark transverse spin and relative orbital angular momentum of the hadron pair



Transversity x IFF

Interference Fragmentation:

left-right asymmetry from correlations between quark transverse spin and relative orbital angular momentum of the hadron pair



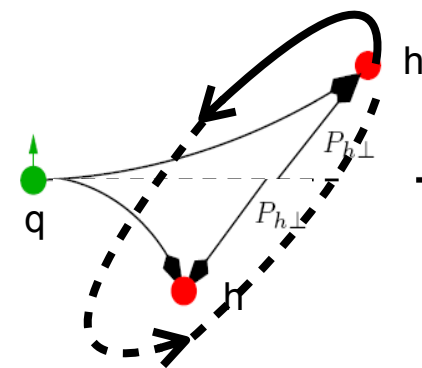
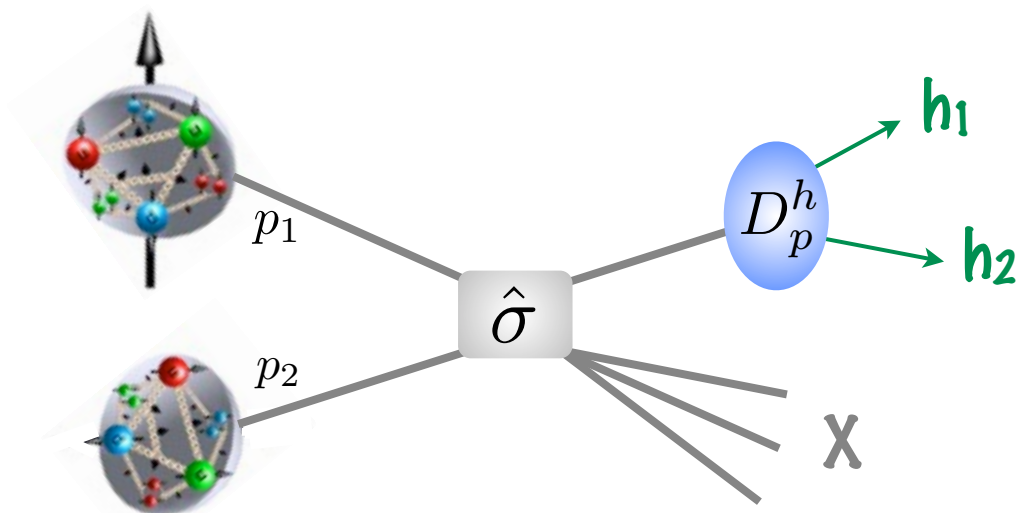
**Collinear,
no TMD!**



Transversity x IFF

Interference Fragmentation:

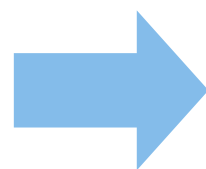
left-right asymmetry from correlations between quark transverse spin and relative orbital angular momentum of the hadron pair



**Collinear,
no TMD!**

Collinear factorization

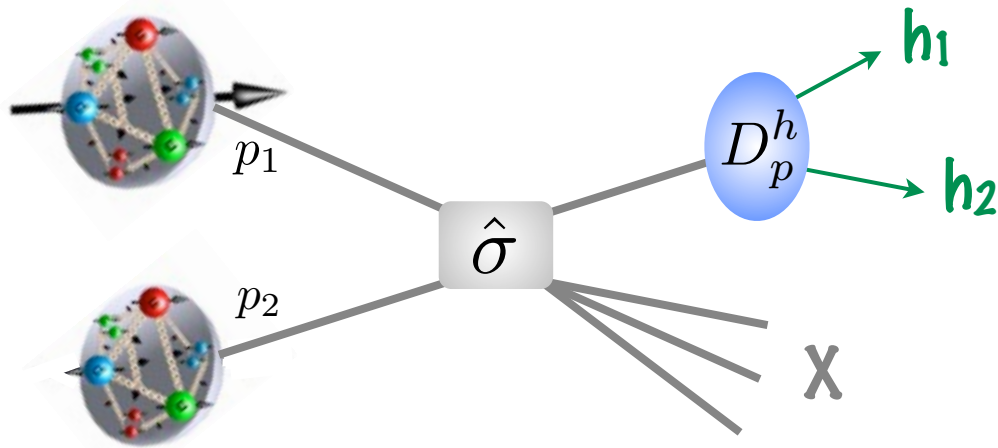
Independent access to
transversity



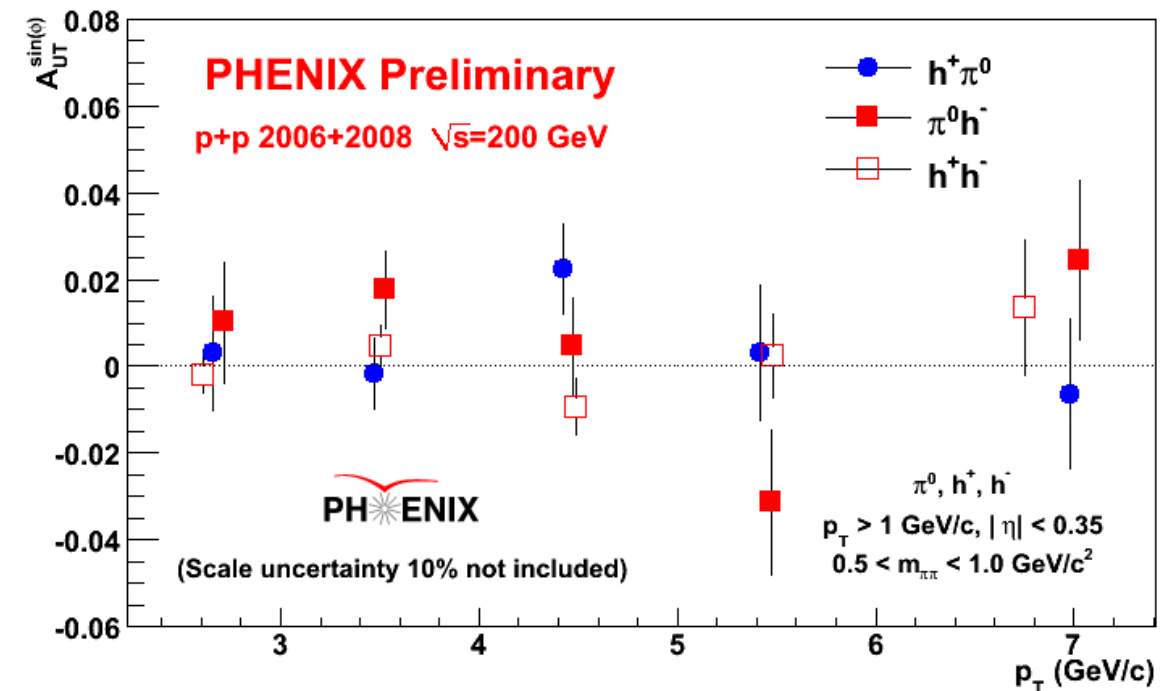
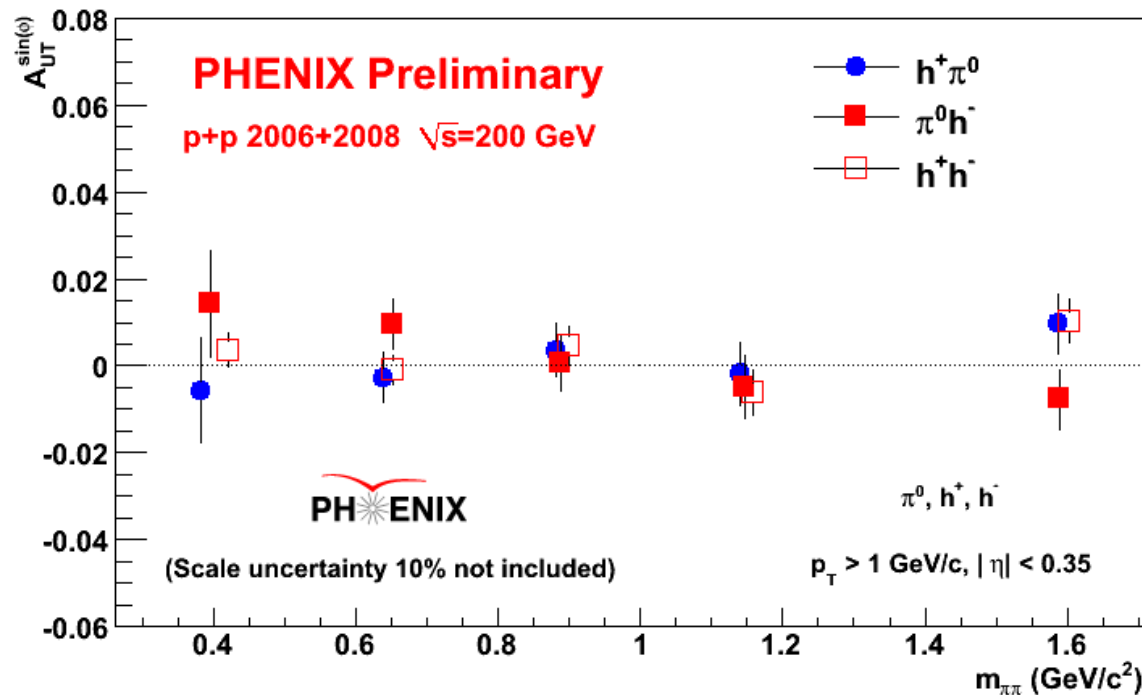
Measure of TMD
factorization breaking in
pp => hadrons reaction



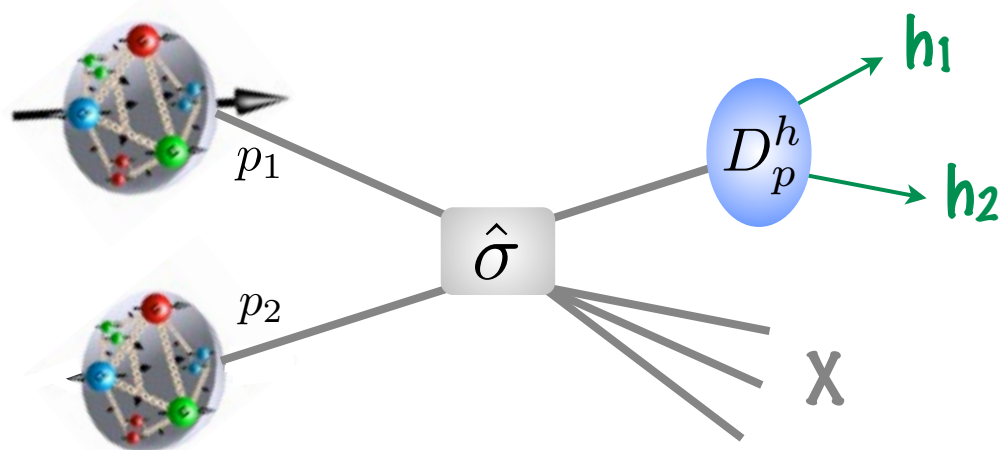
Transversality x IFF @ midrapidity



$$A_N \propto h_1 \times H_1^<$$

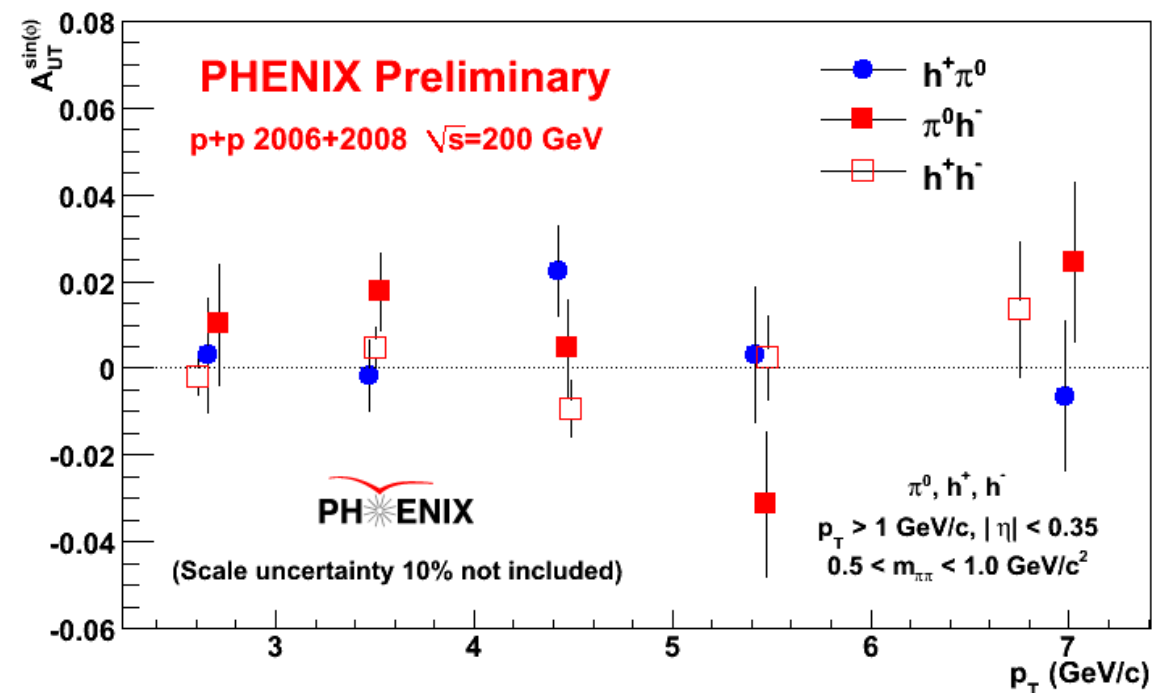
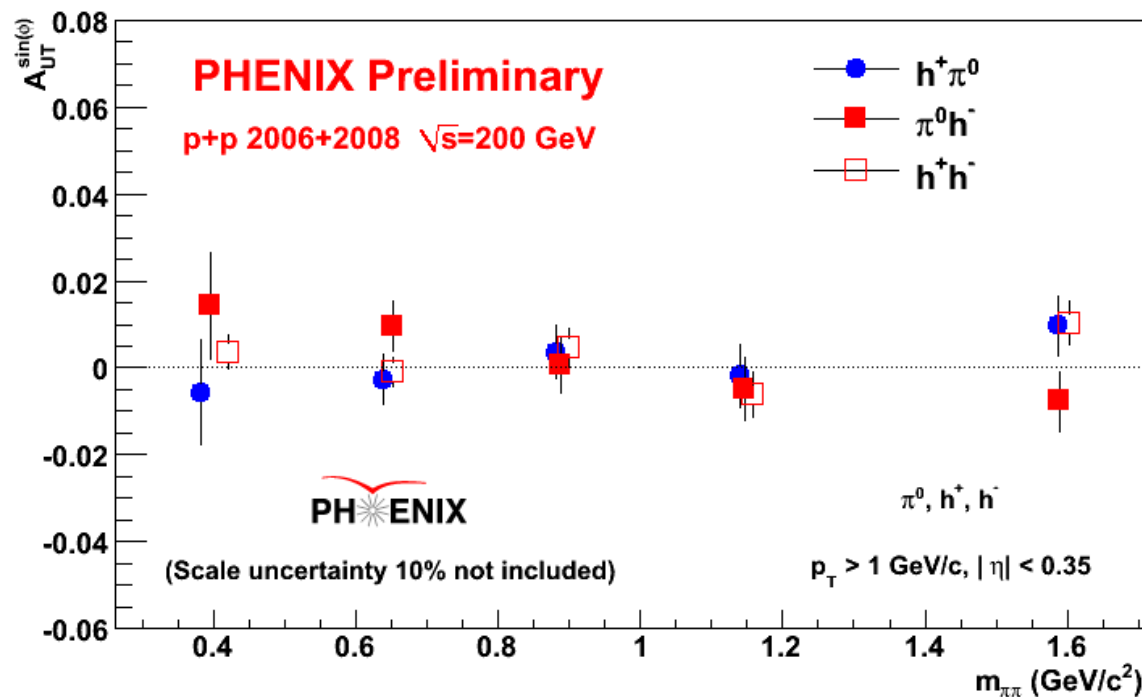


Transversity x IFF @ midrapidity

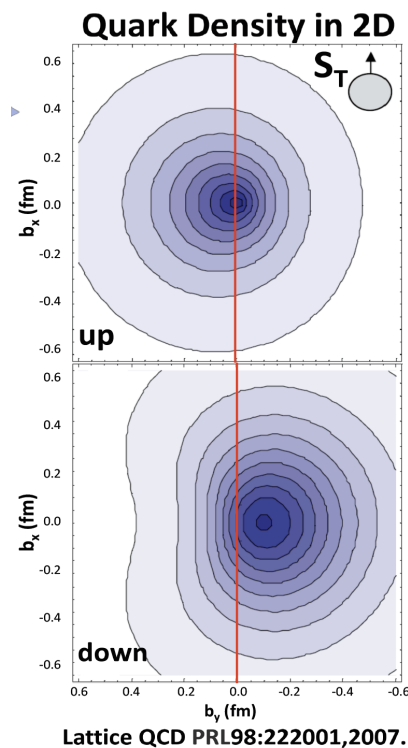
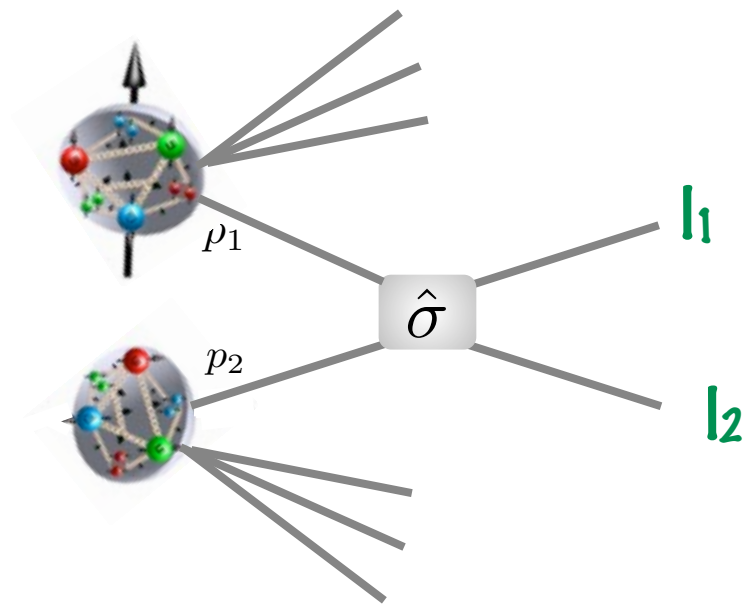


$$A_N \propto h_1 \times H_1^<$$

What about Forward rapidities?



Sivers in Drell-Yan

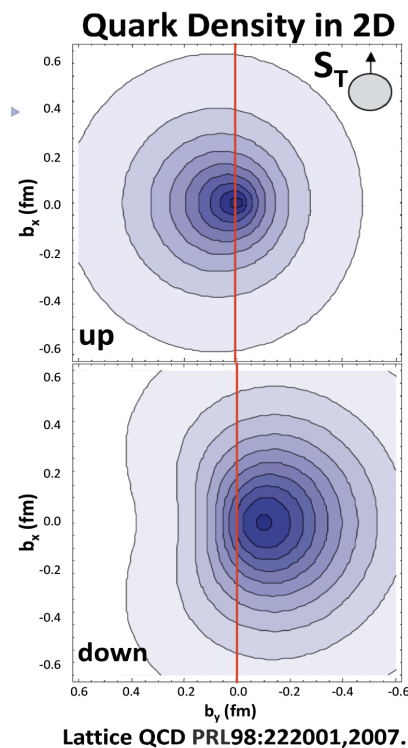
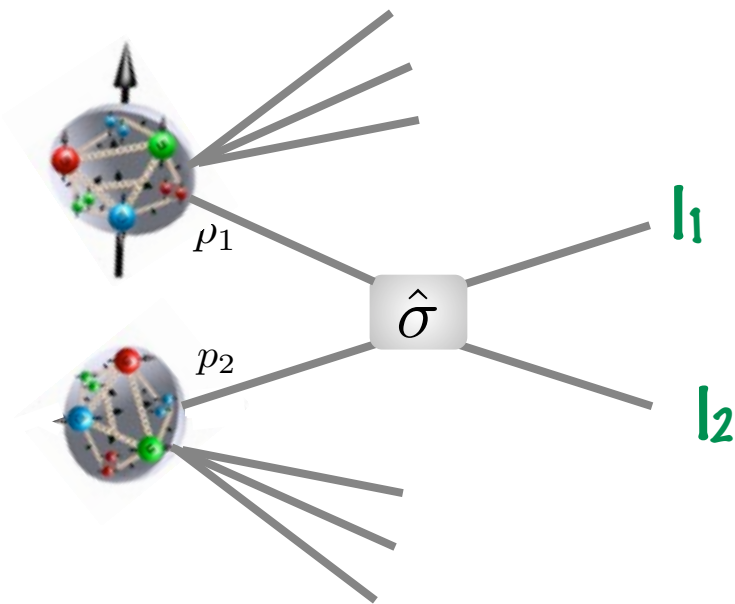


Sivers effect gives access to the spatial quark distribution, and, indirectly, on OAM



Sivers in Drell-Yan

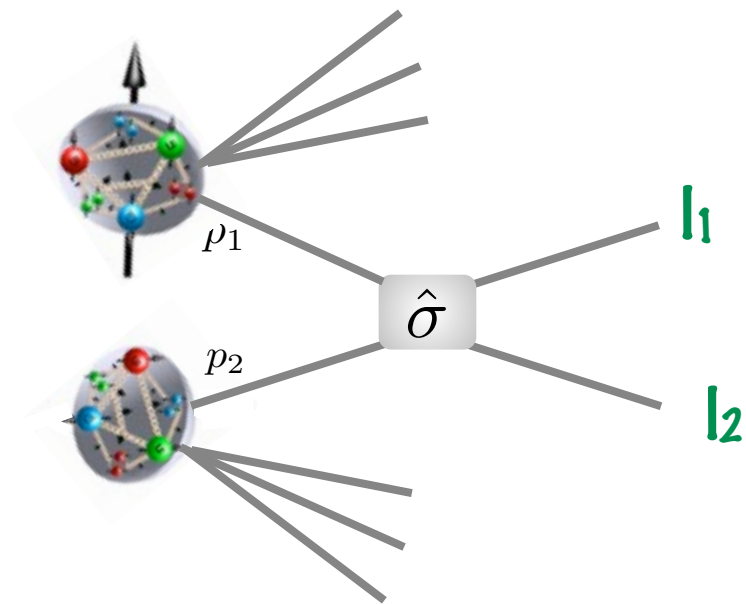
- TMD Factorization apply



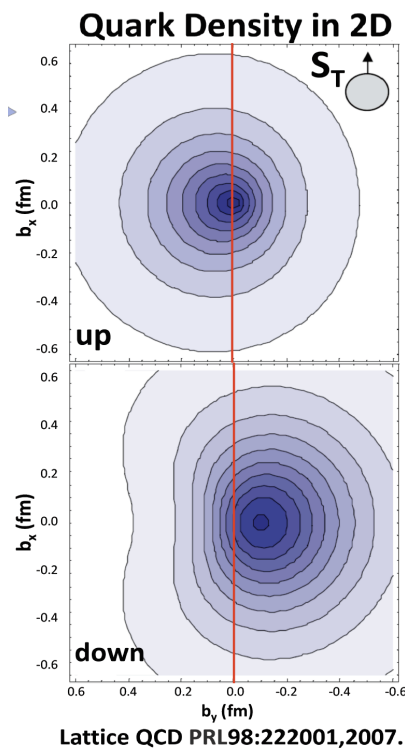
Sivers effect gives access to the spatial quark distribution, and, indirectly, on OAM



Sivers in Drell-Yan



- TMD Factorization apply
- No fragmentation

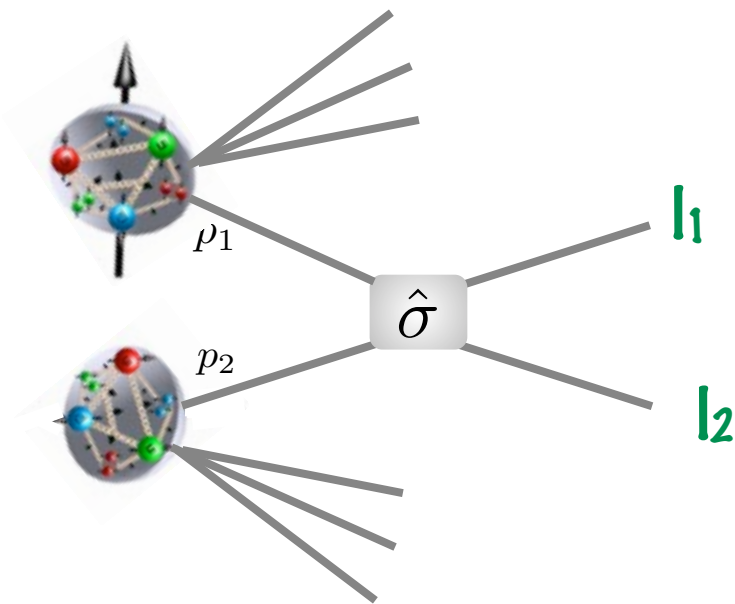


Sivers effect gives access to the spatial quark distribution, and, indirectly, on OAM



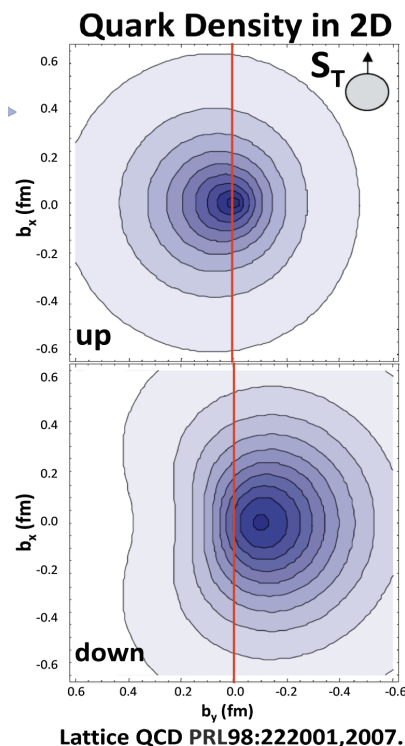
Sivers in Drell-Yan

- TMD Factorization apply
 - No fragmentation
- Important TMD framework test

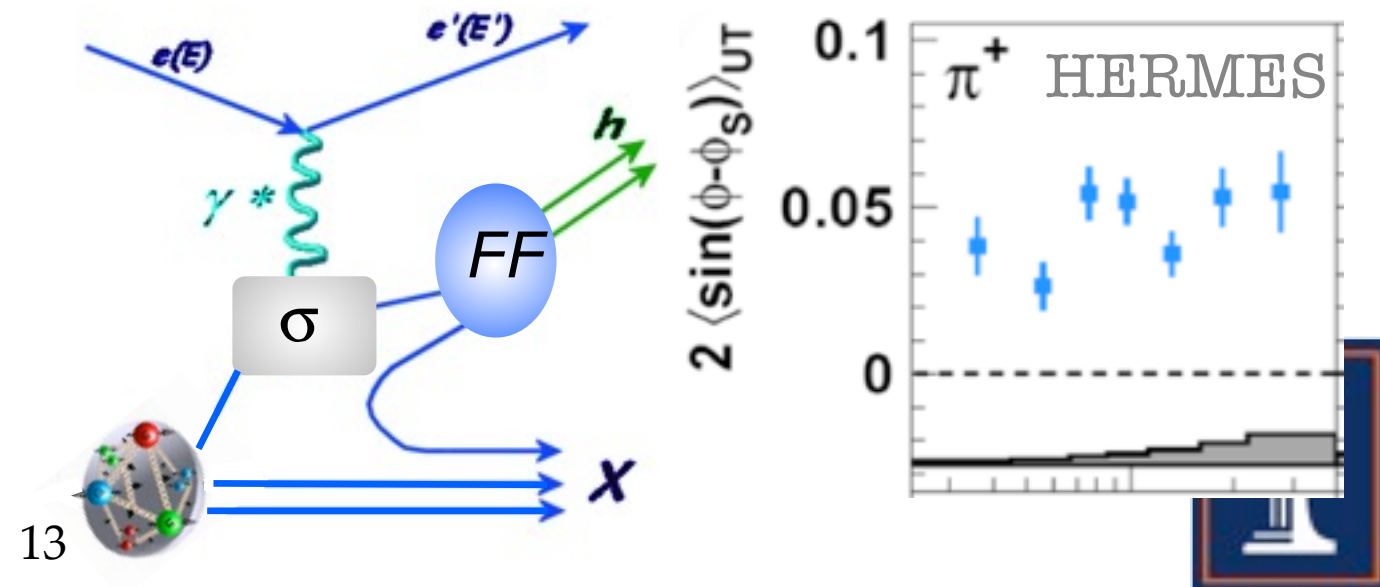


$$\text{TSSA}_{\text{SIVERS}}^{\text{DY}} = - \text{TSSA}_{\text{SIVERS}}^{\text{SIDIS}}$$

Sivers effect gives access to the spatial quark distribution, and, indirectly, on OAM



SIDIS



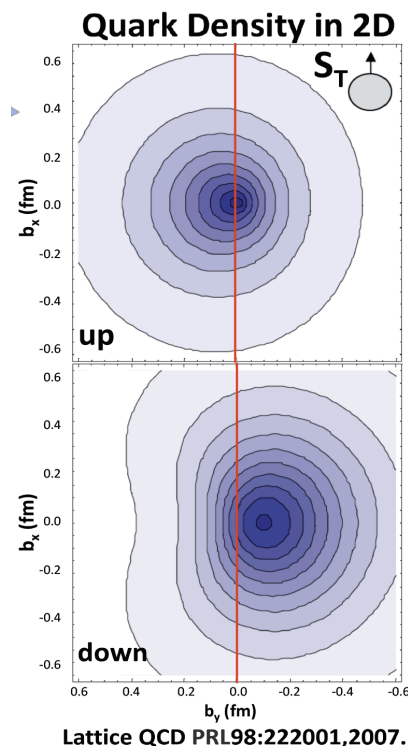
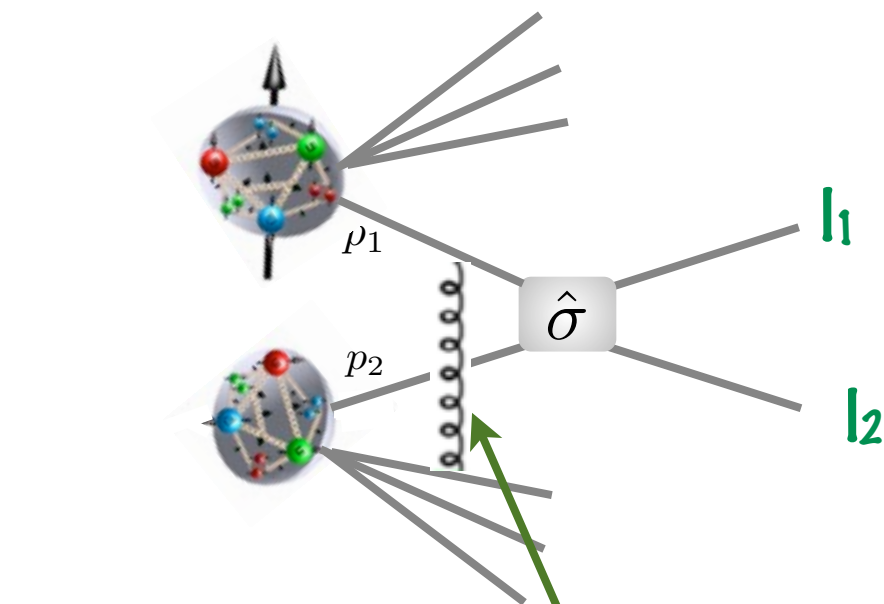
Sivers in Drell-Yan

- TMD Factorization apply
 - No fragmentation
- Important TMD framework test

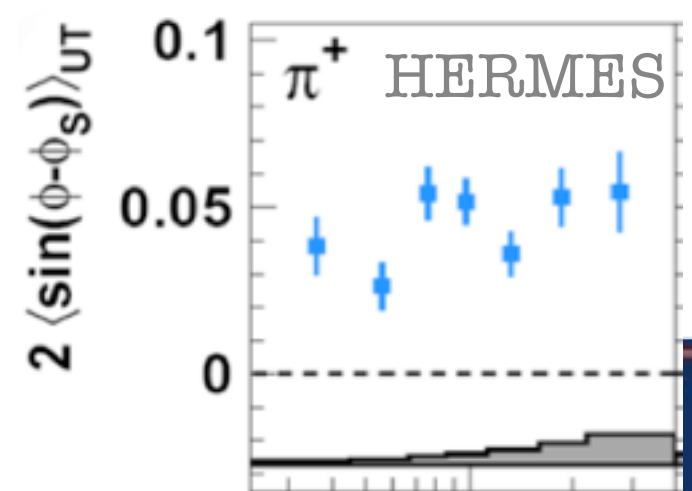
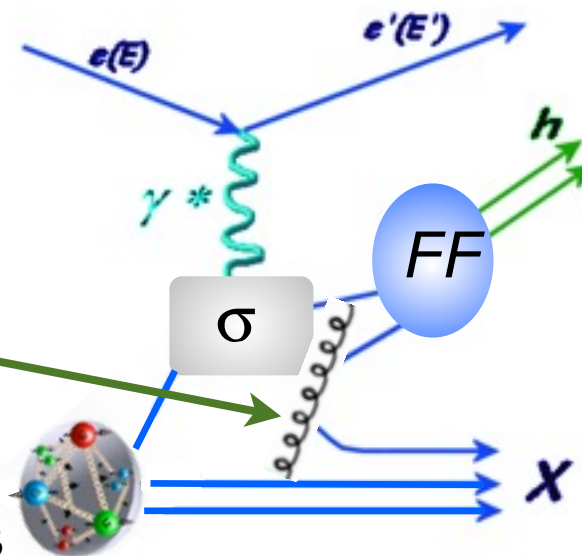
$$\text{TSSA}_{\text{SIVERS}}^{\text{DY}} = - \text{TSSA}_{\text{SIVERS}}^{\text{SIDIS}}$$

Sivers effect gives access to the spatial quark distribution, and, indirectly, on OAM

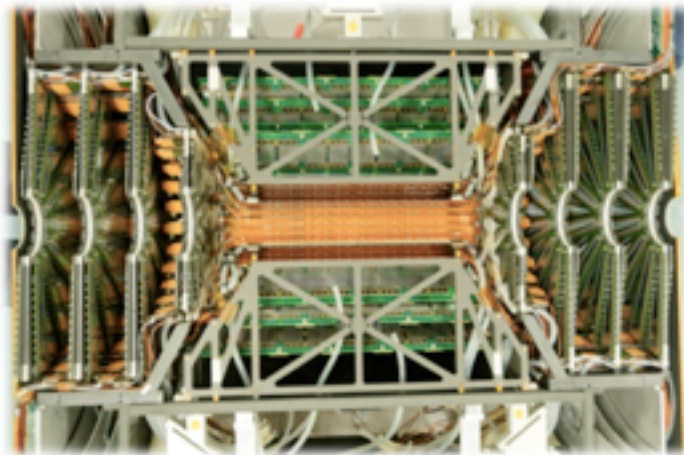
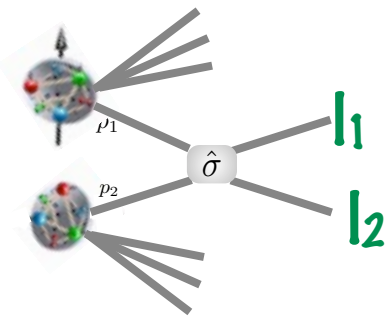
naive T-odd function



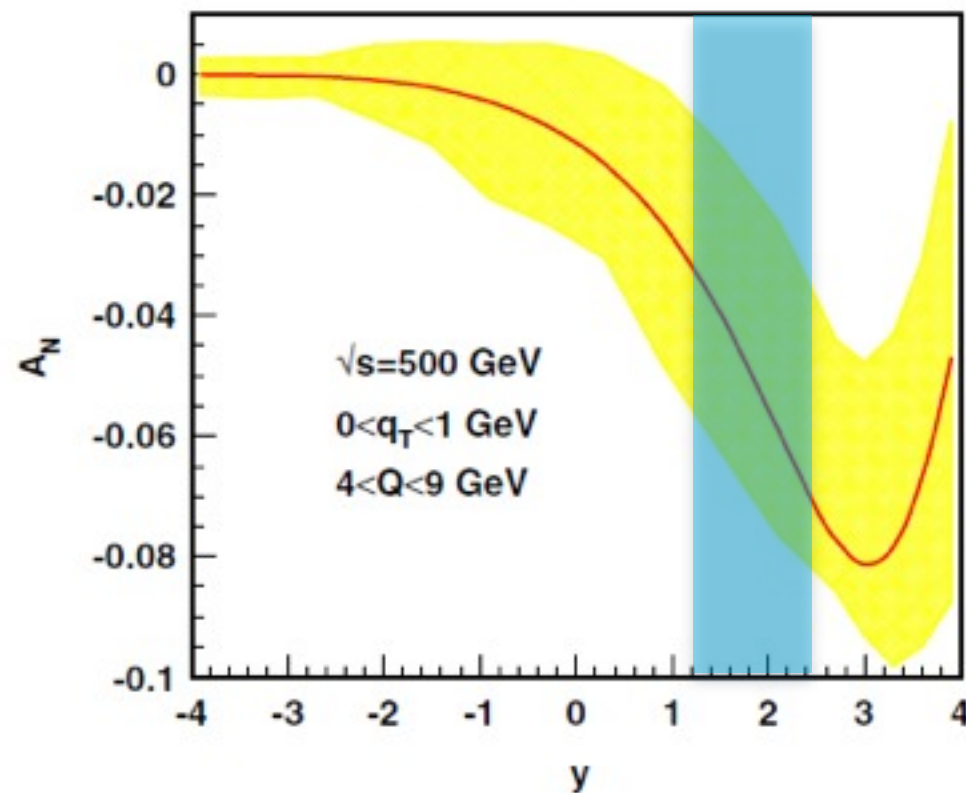
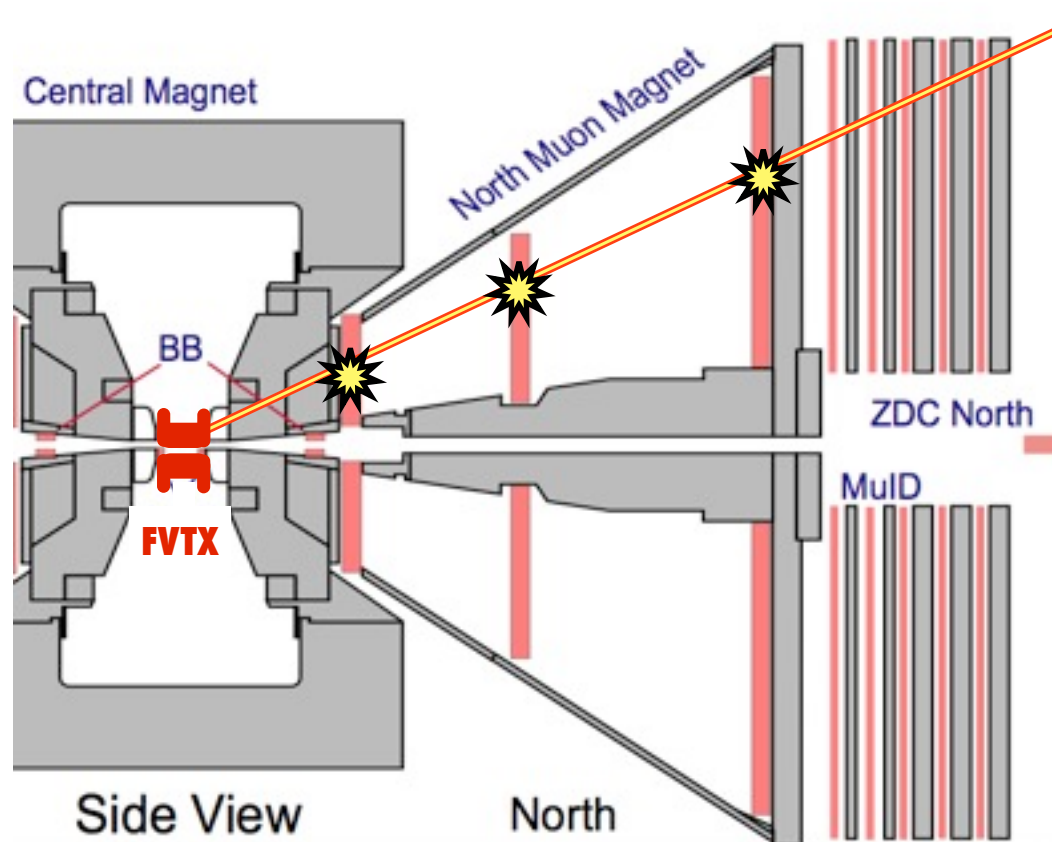
SIDIS



Sivers in Drell-Yan



Feasibility studies detecting di-muons with the new FVTX and the existing PHENIX muon arms ongoing



Present muon arm acceptance



Many open questions

- Which effect generates those large transverse asymmetries?
- Can we access the initial state interaction (Sivers) and final state Interaction (Transversity x Collins) separately in hadron reaction?
- How is the factorization broken in hadron reactions?
- What asymmetries are generated by Transversity times IFF in forward direction?
- Does the Sivers effect generate opposite sign in SIDIS and DY, and which is its kinematic mapping?



Many open questions

- Which effect generates those large transverse

- **Forward** te interaction (Sivers) and ately

initial su
in hadr

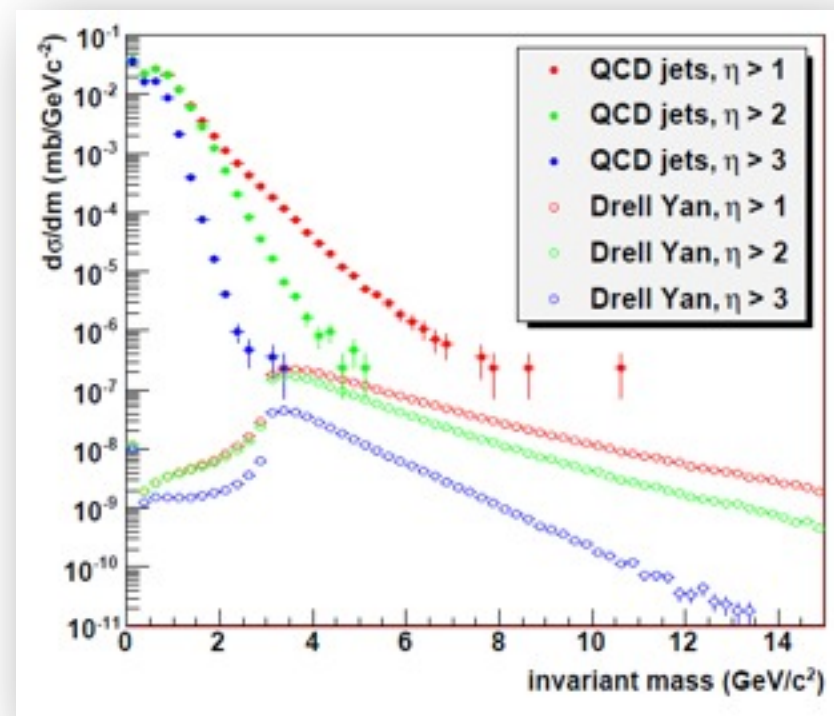
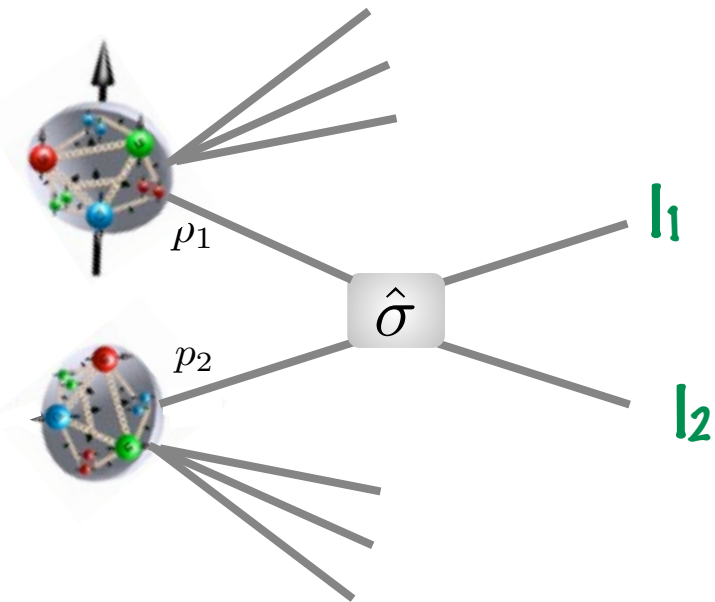
- How is
- What a nes
IFF in forward an collision.



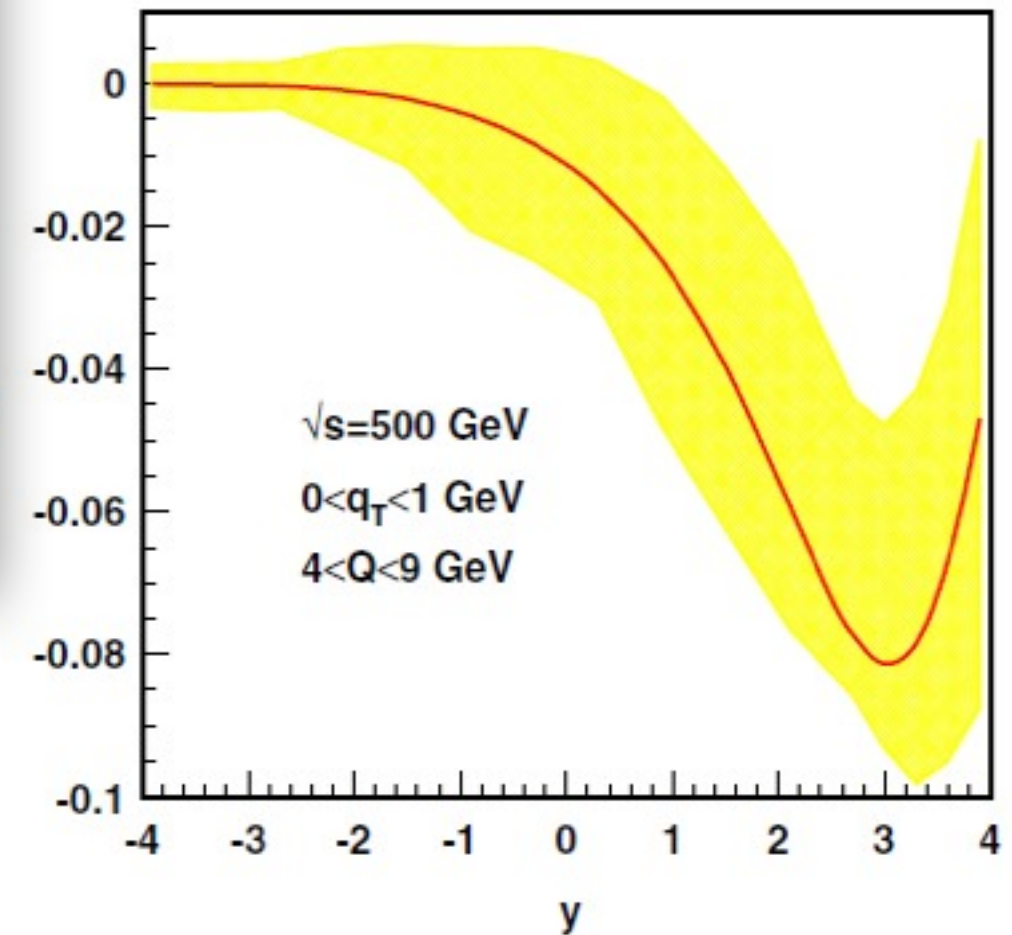
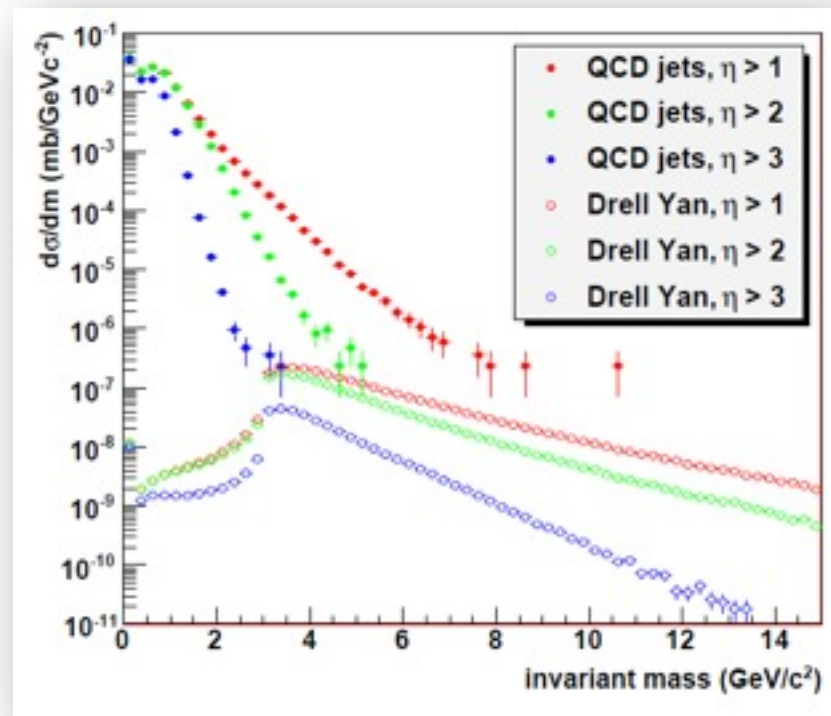
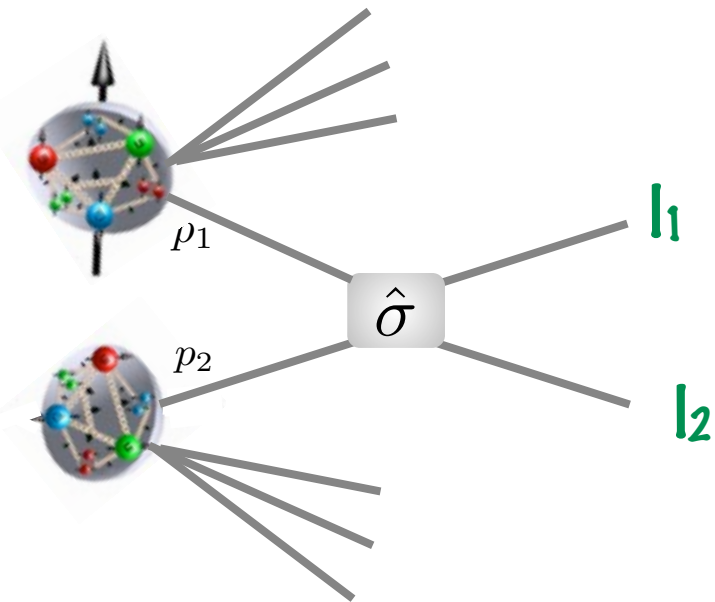
- Does the Sivers effect generate opposite sign in SIDIS and DY, and which is its kinematic mapping?



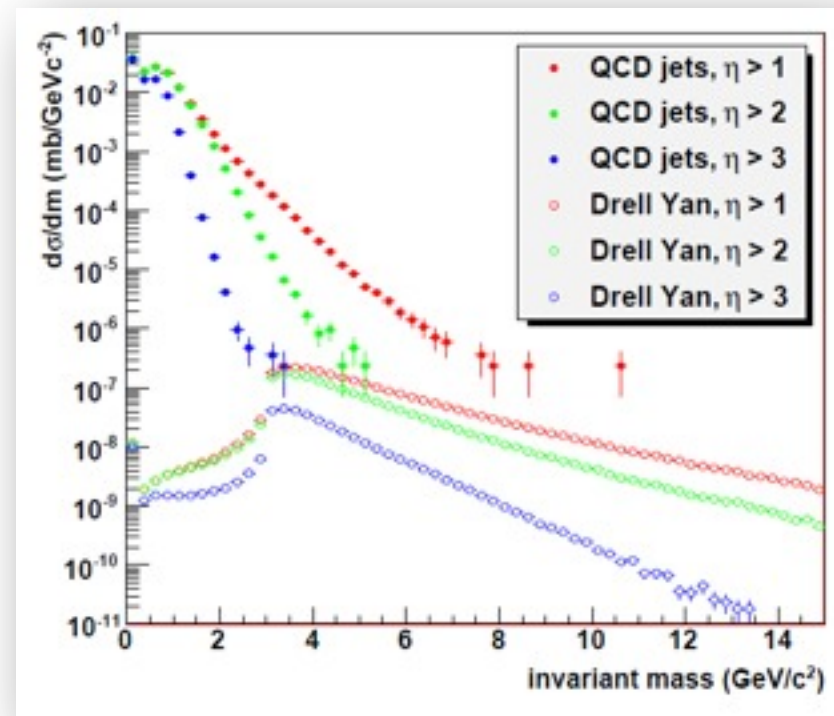
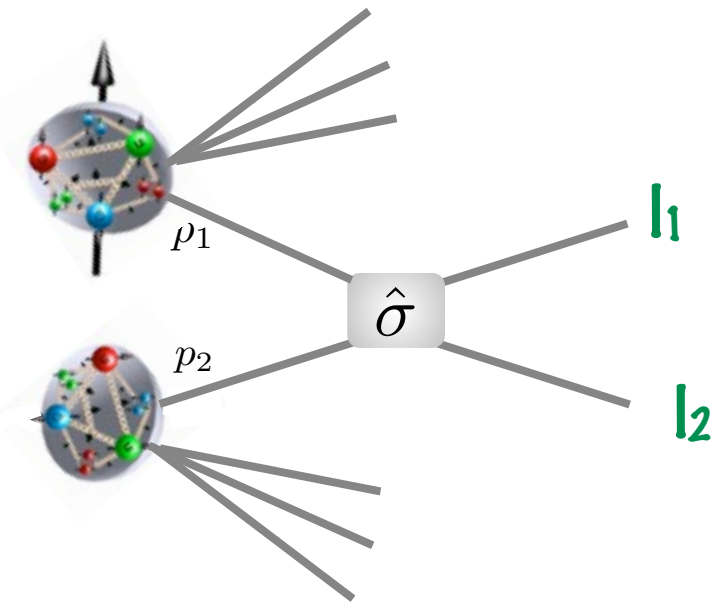
Sivers in Drell-Yan



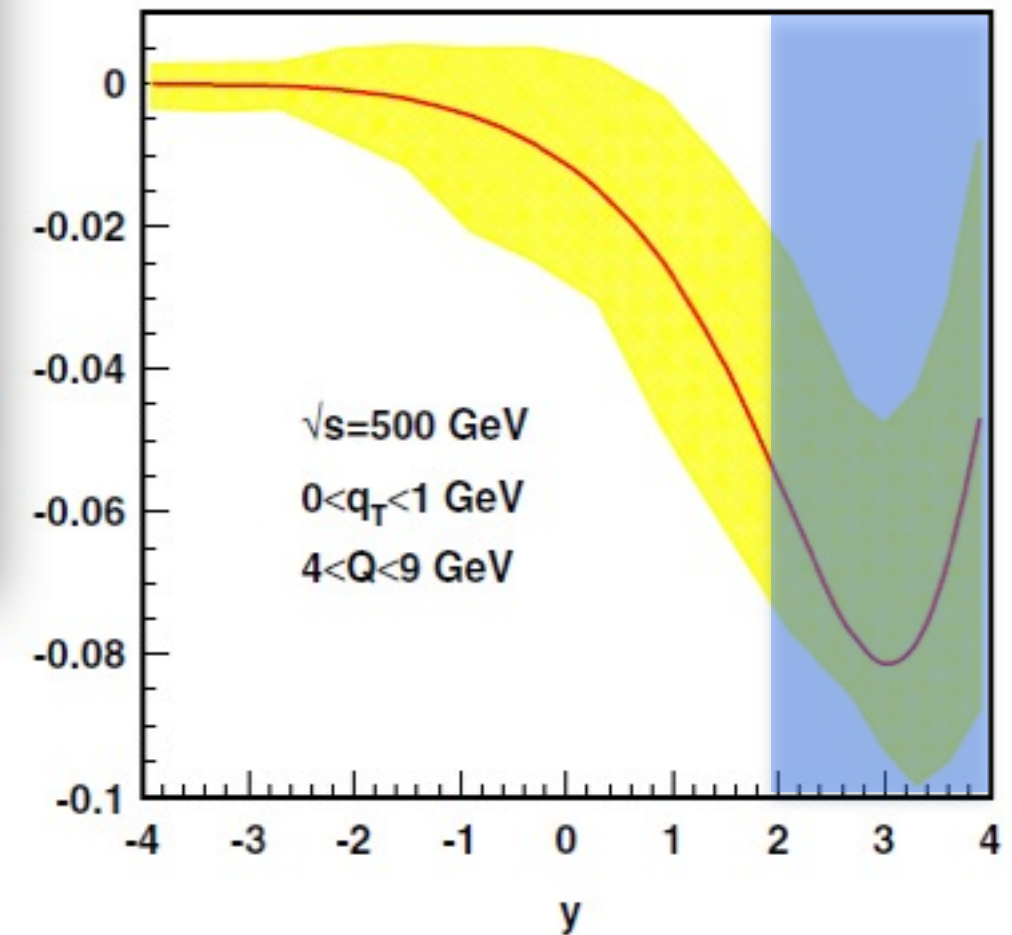
Sivers in Drell-Yan



Sivers in Drell-Yan



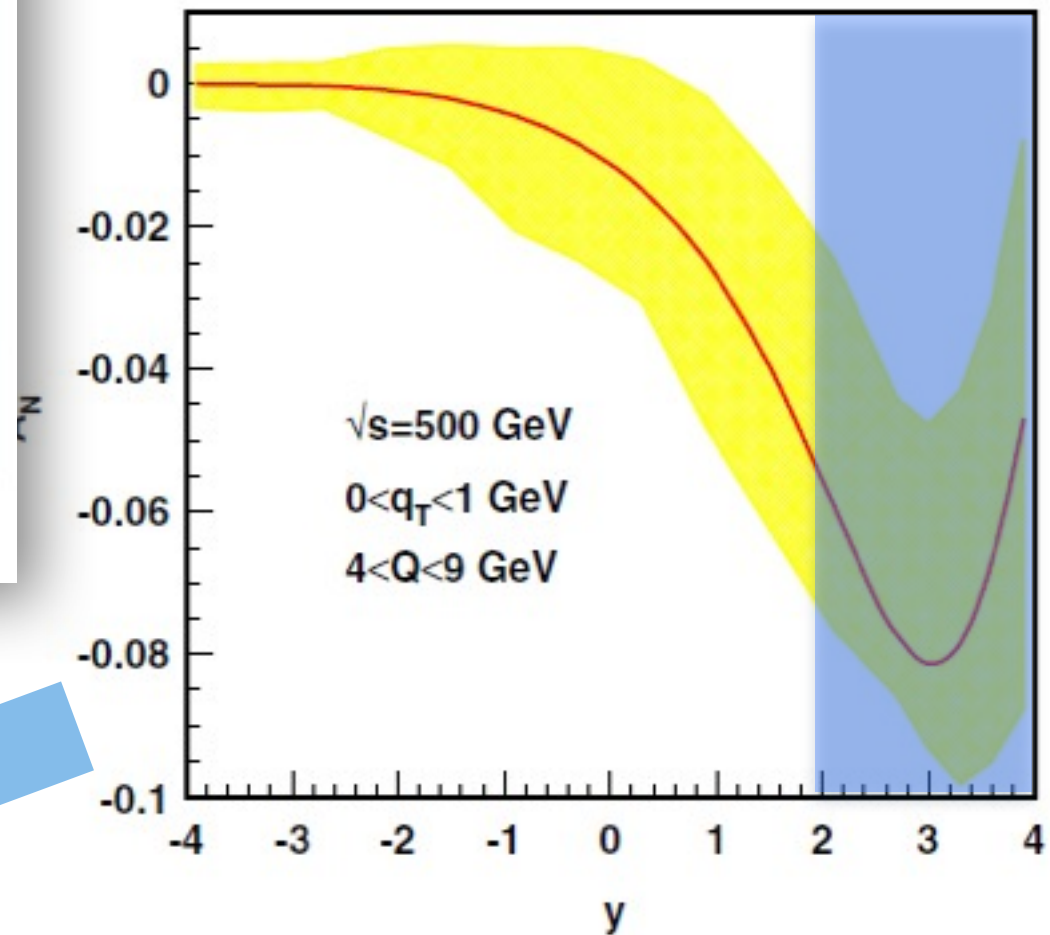
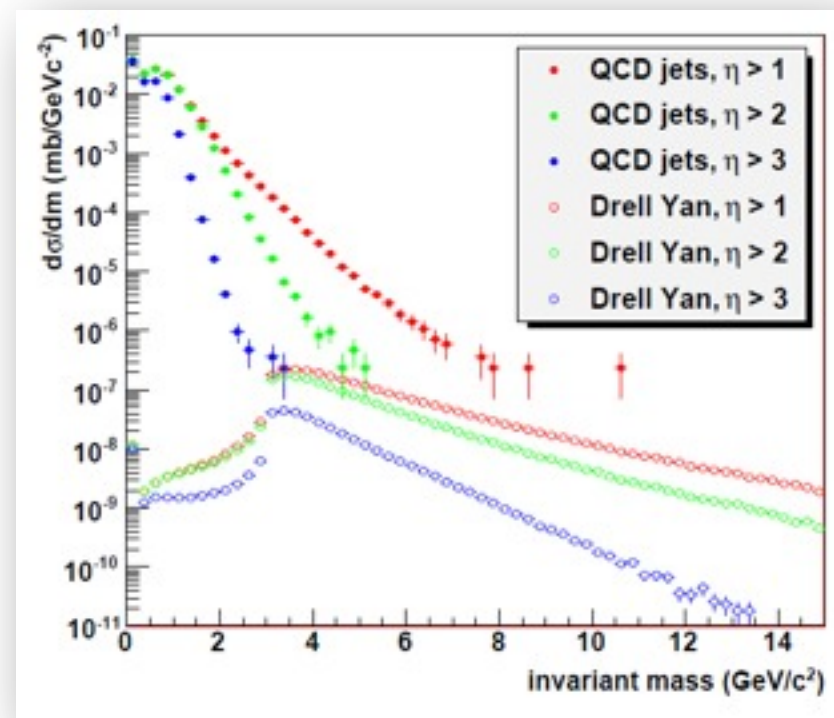
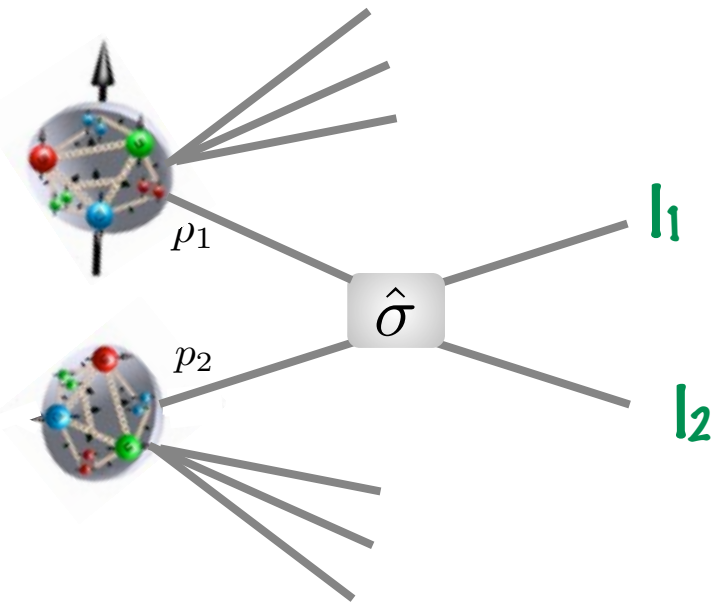
sPHENIX



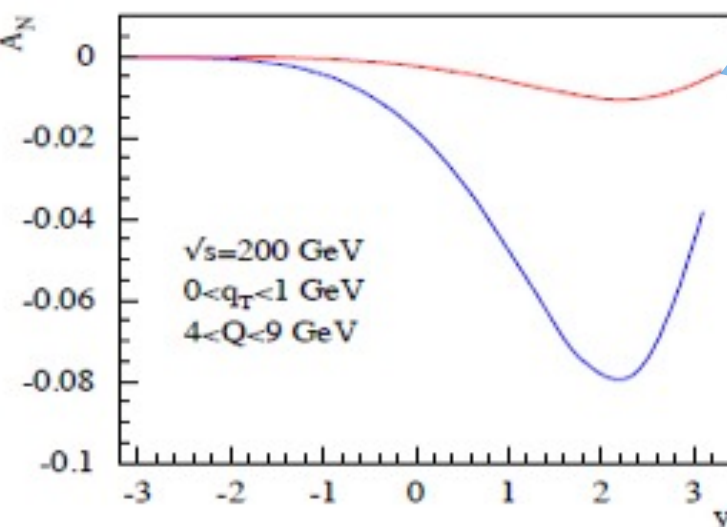
Sivers in Drell-Yan



sPHENIX



Sivers evolution



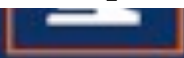
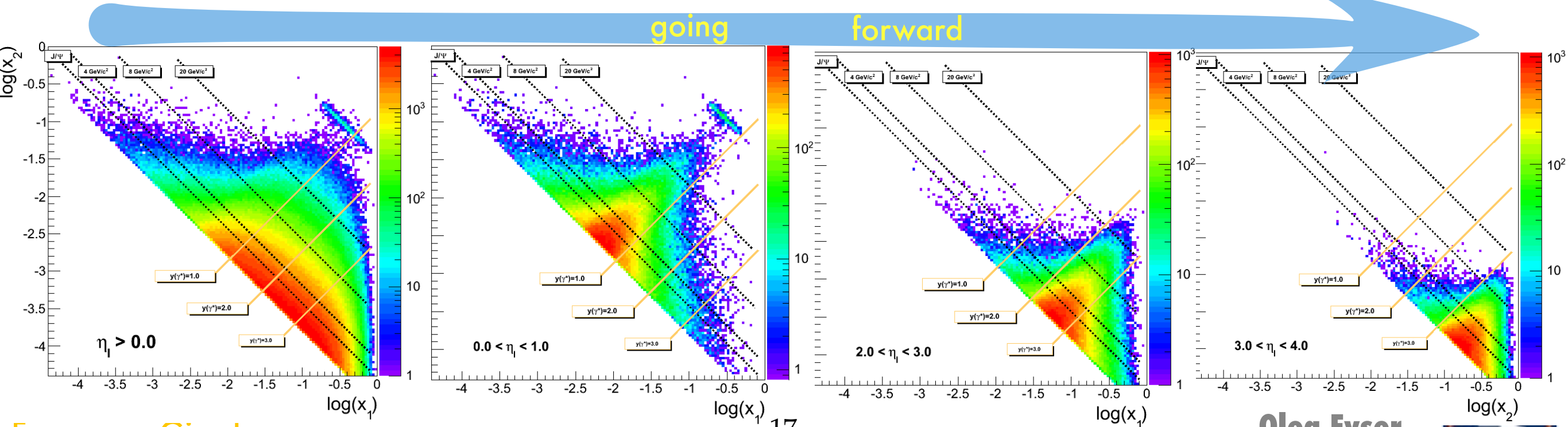
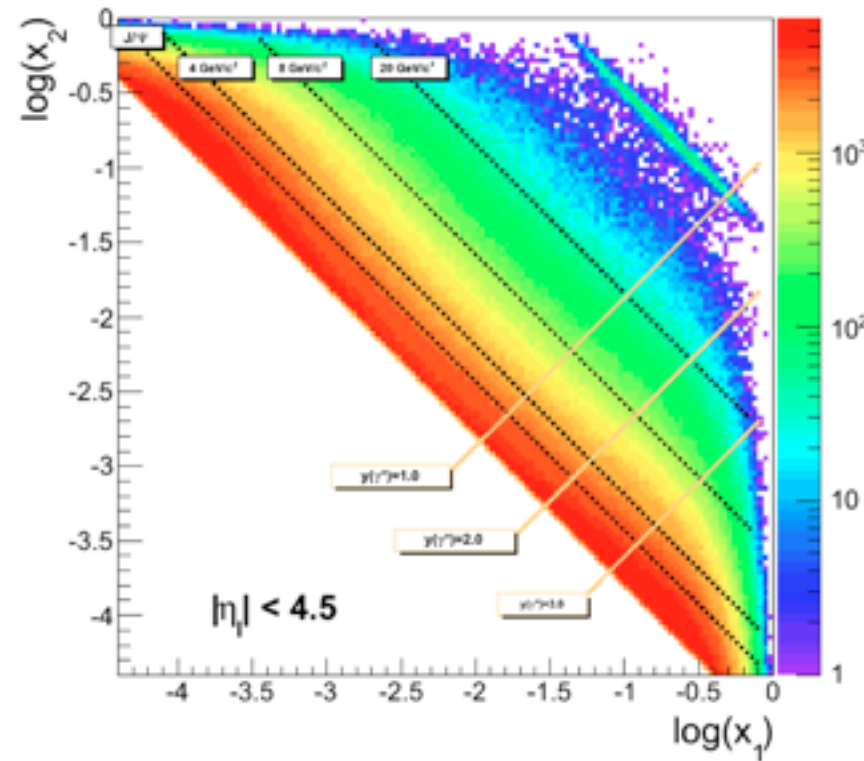
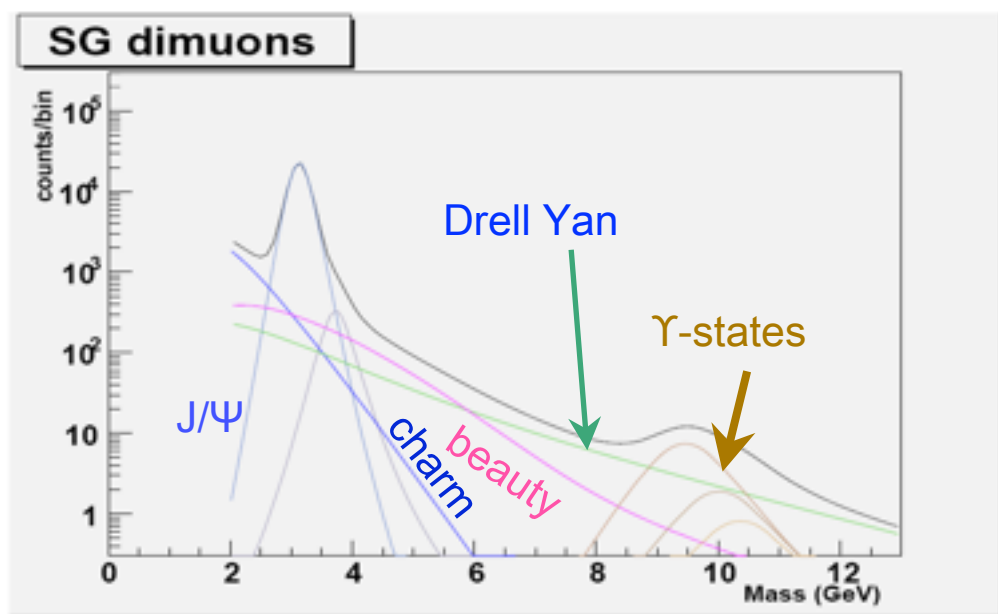
Will provide quantitative information on Sivers evolution



S. Mert Aybat, Alexei Prokudin, and Ted C. Rogers.
arXiv:1112.4423 [hep-ph]

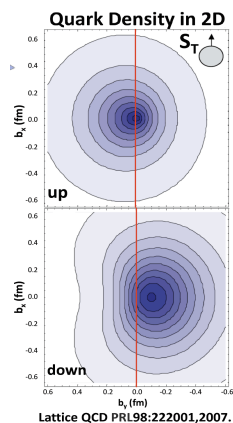
Zhong-Bo Kang and Jian-Wei Qiu.
Phys. Lett. B 713, 273 (2012)

Mapping the Sivers mechanism

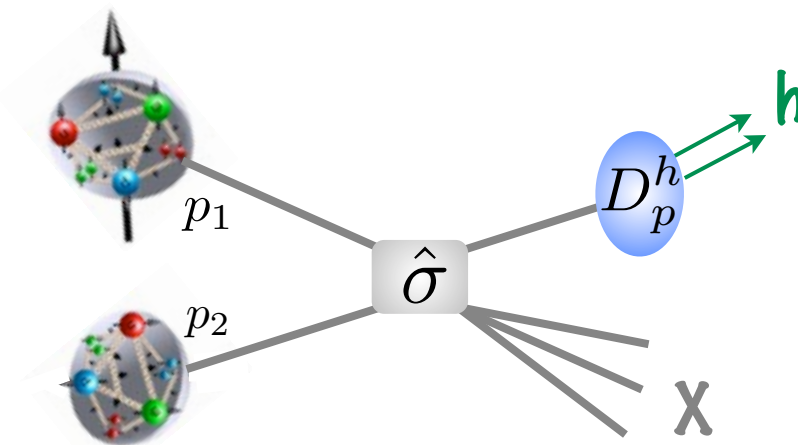


Sivers vs. Collins

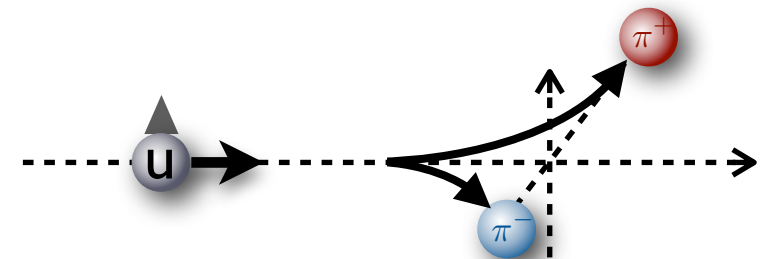
Sivers mechanism



+ FSI + ISI

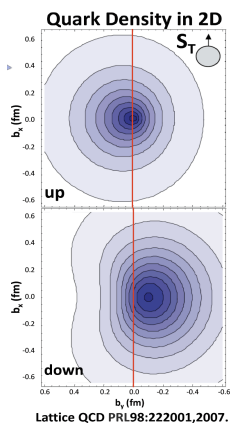


Collins mechanism

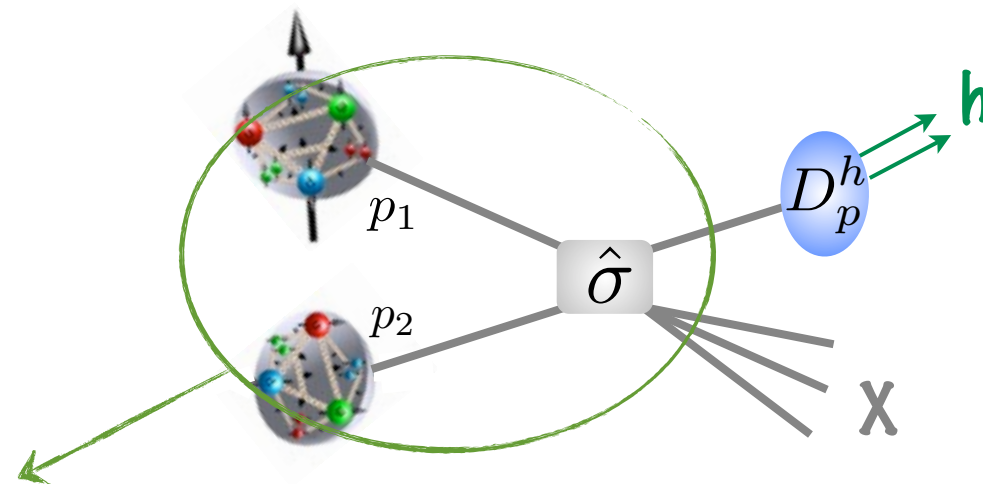


Sivers vs. Collins

Sivers mechanism

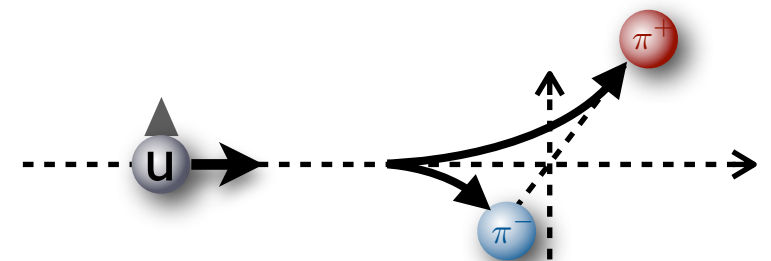


+ FSI + ISI



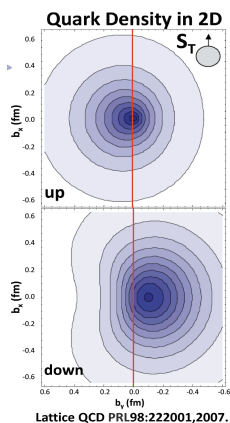
determines the direction of the outgoing quark
 >> the direction of the jet

Collins mechanism

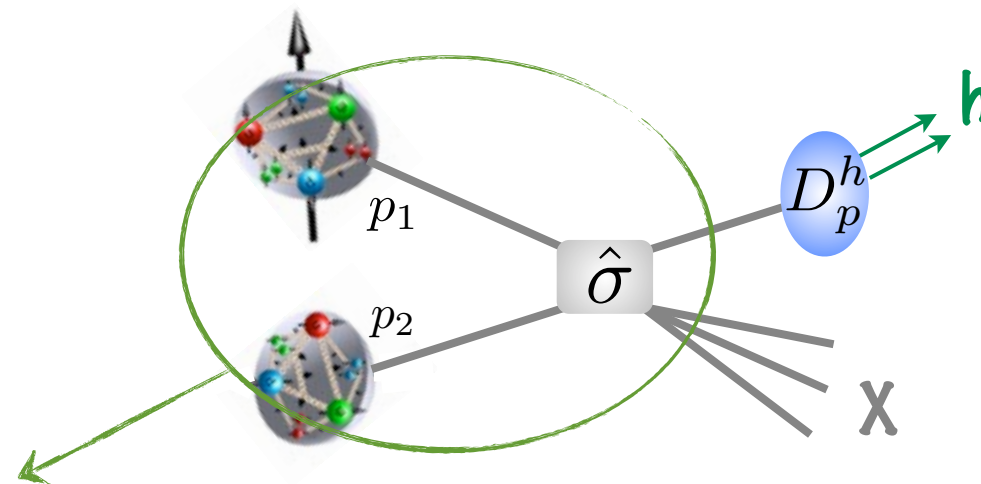


Sivers vs. Collins

Sivers mechanism

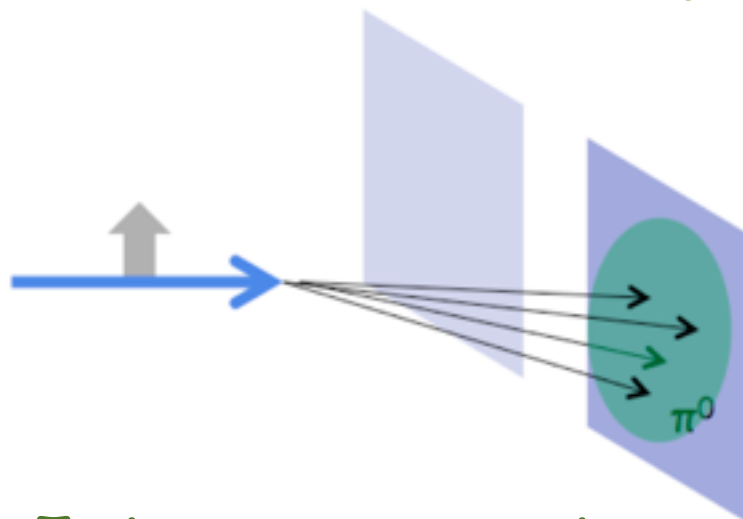


+ FSI + ISI



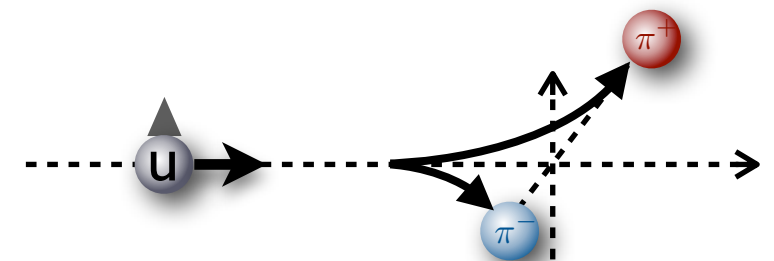
determines the direction of the outgoing quark

>> the direction of the jet



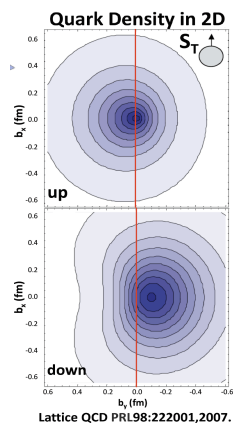
Jet asymmetry

Collins mechanism



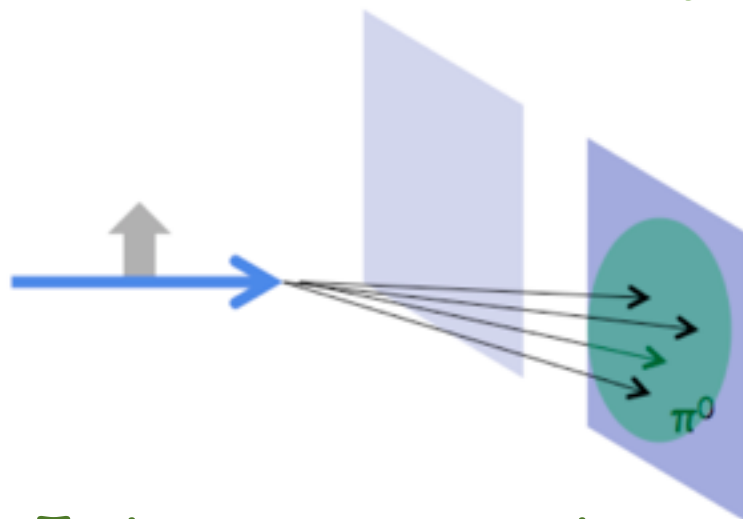
Sivers vs. Collins

Sivers mechanism



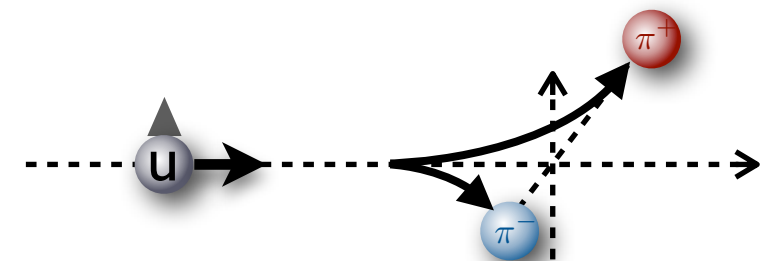
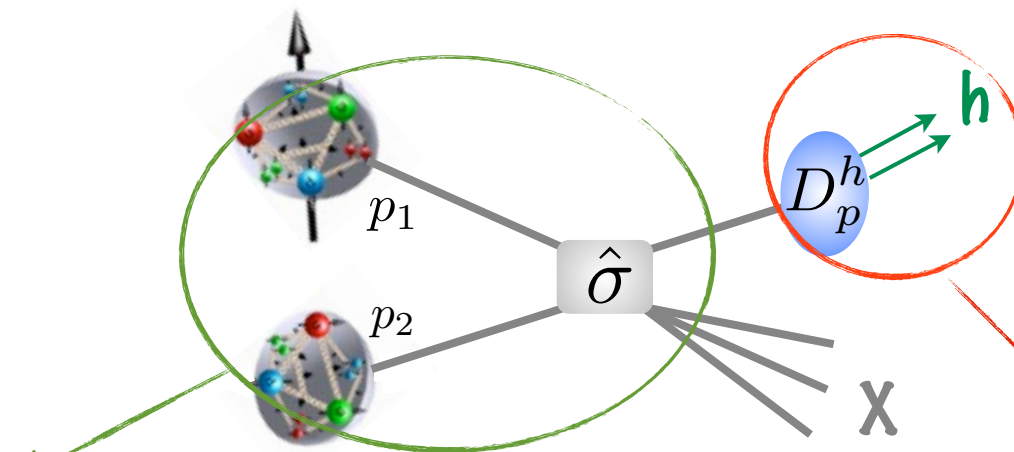
+ FSI + ISI

determines the direction of the outgoing quark
 >> the direction of the jet



Jet asymmetry

Collins mechanism

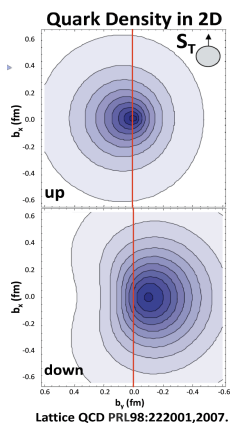


determines the
 hadron distribution within the jet



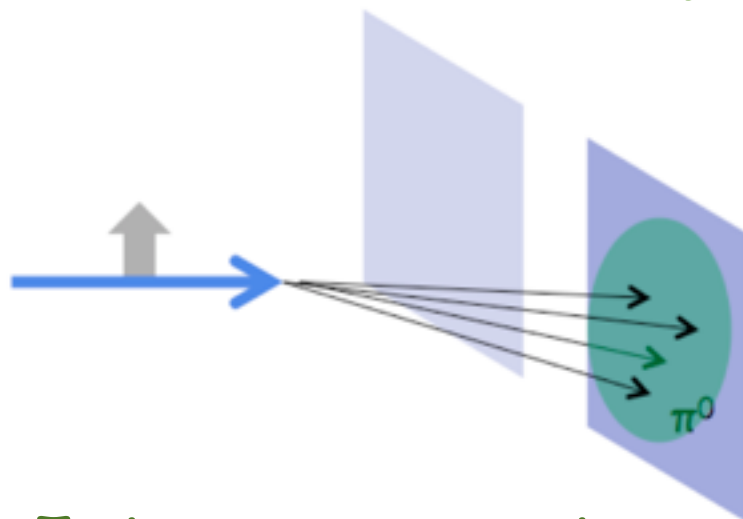
Sivers vs. Collins

Sivers mechanism



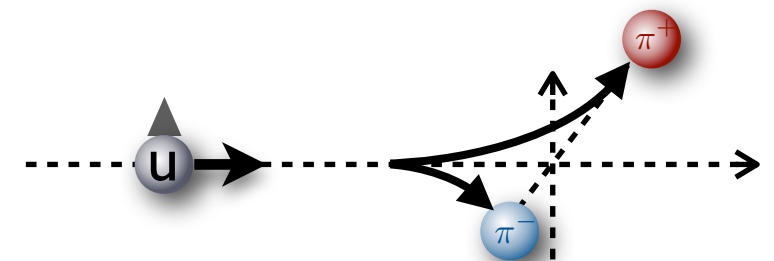
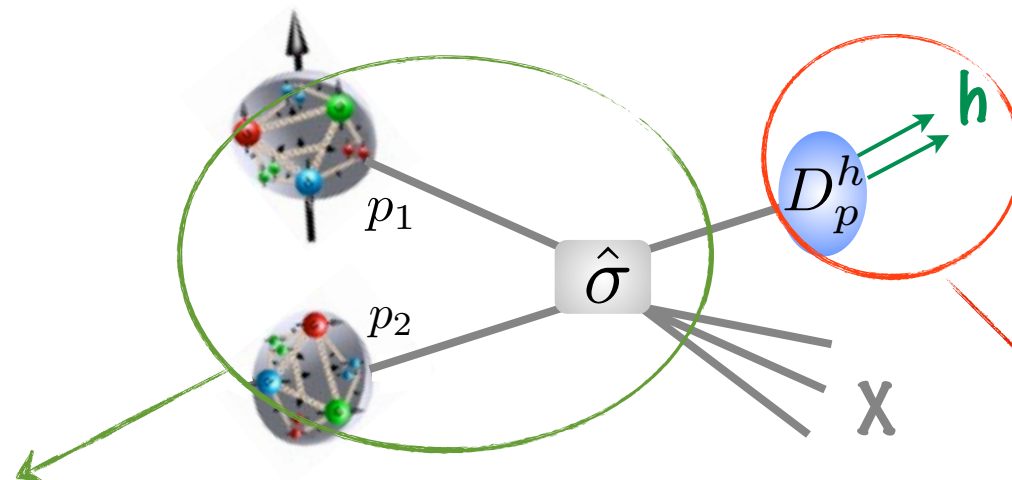
+ FSI + ISI

determines the direction of the outgoing quark
 >> the direction of the jet

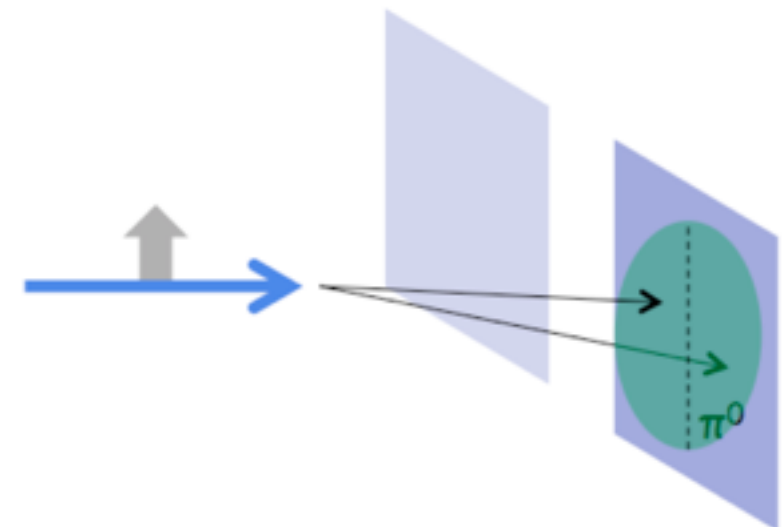


Jet asymmetry

Collins mechanism



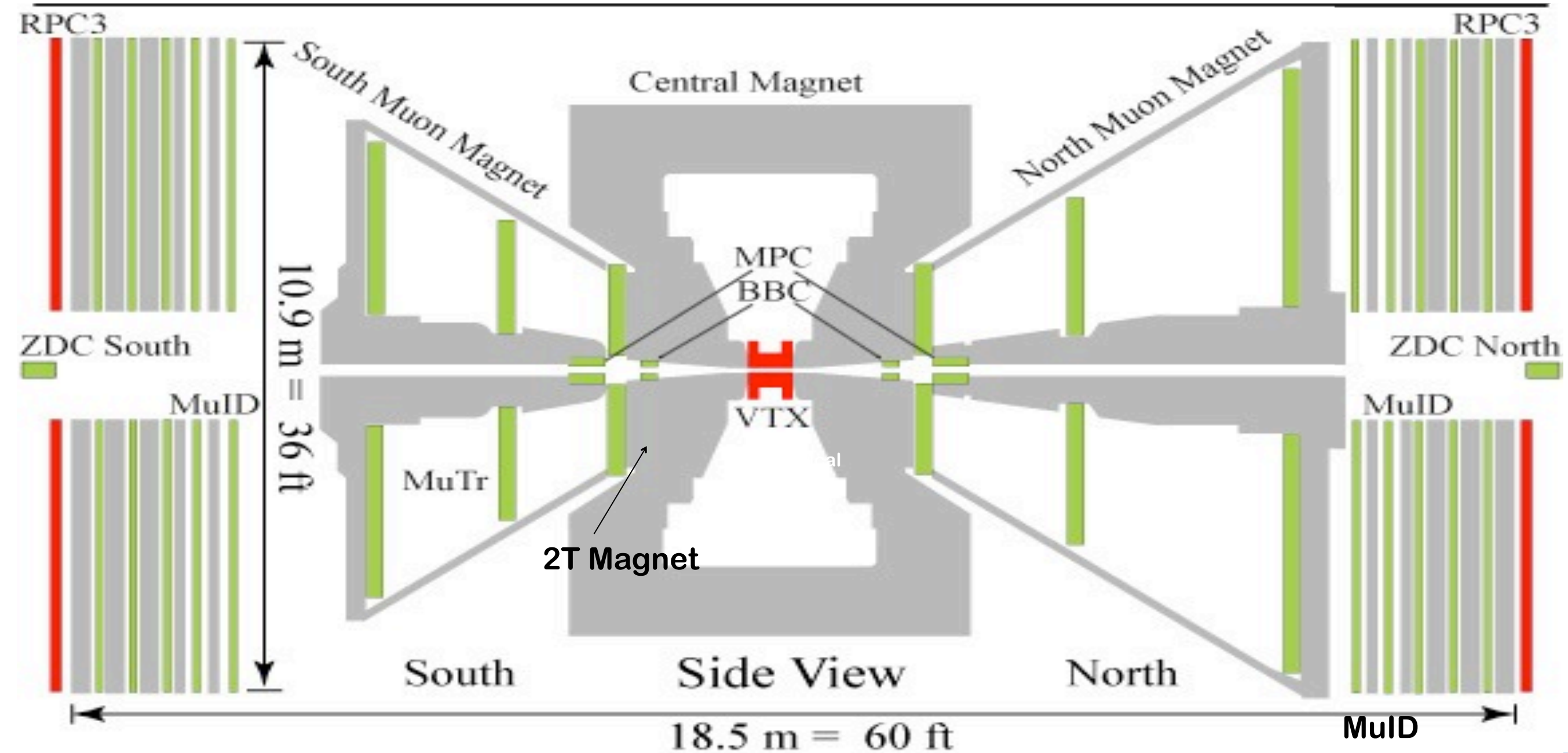
determines the
 hadron distribution within the jet



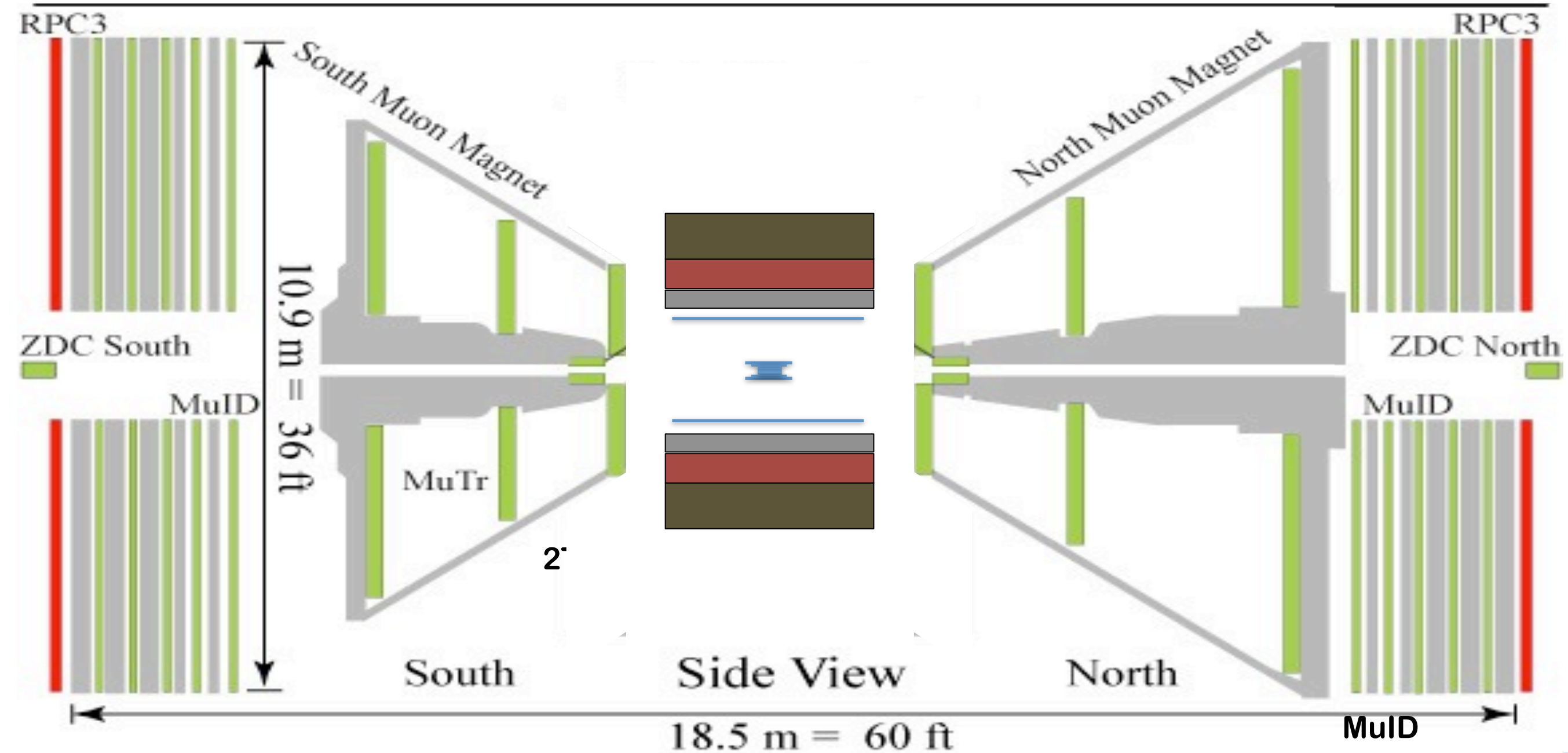
Hadron asymmetry
 within a Jet



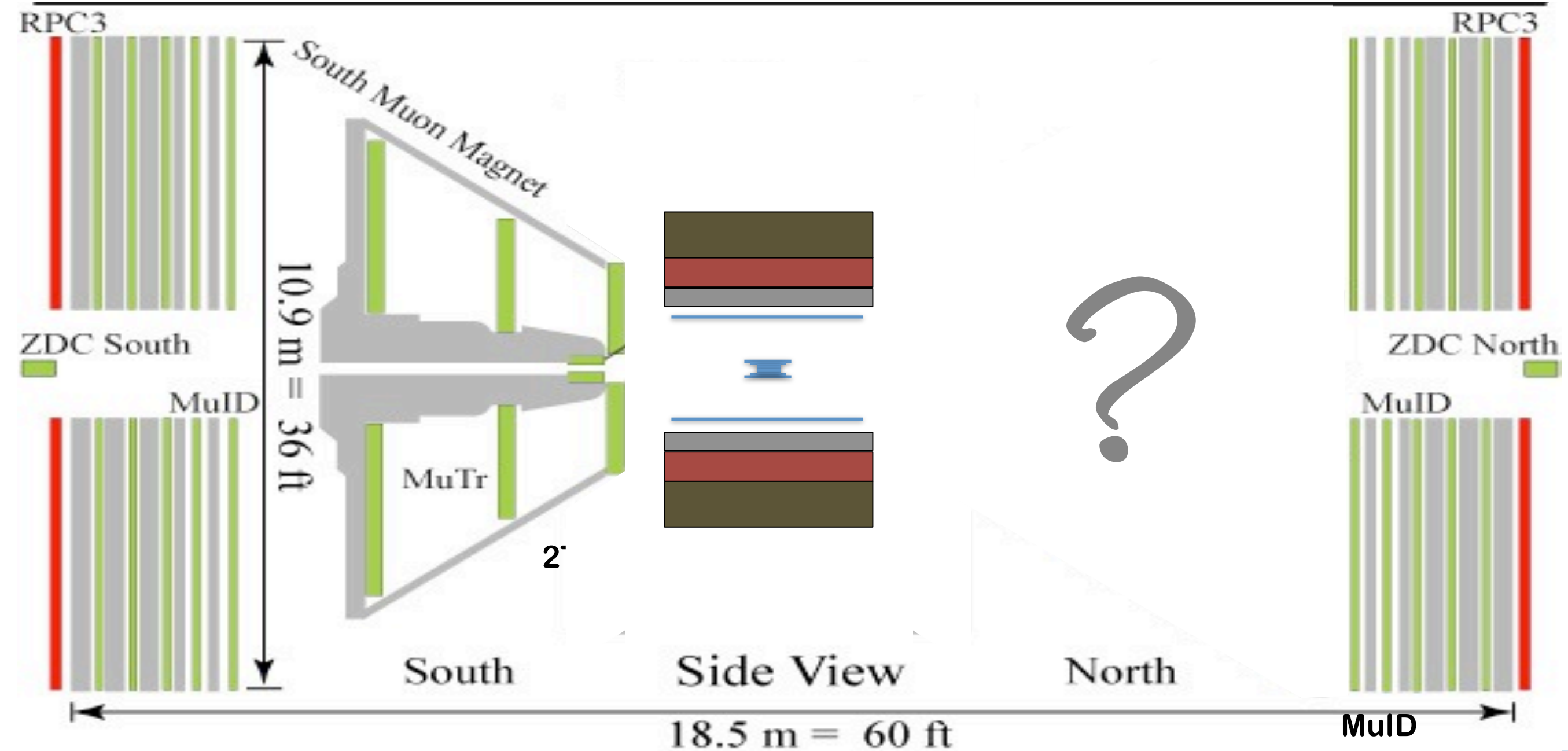
PHENIX



sPHENIX



EsPHENIX

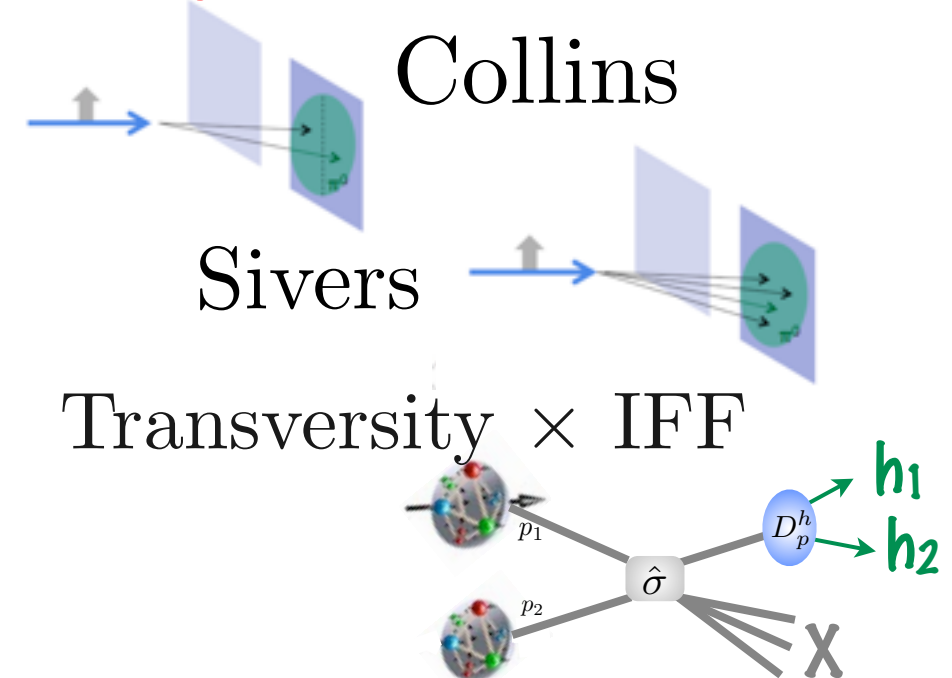
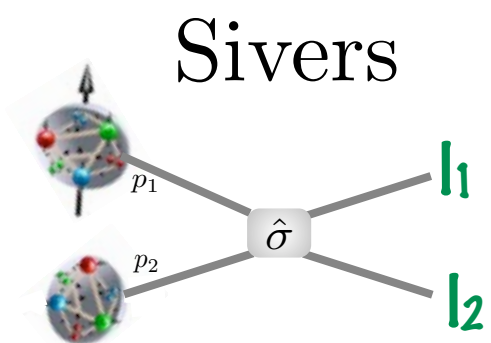


Major physics interests



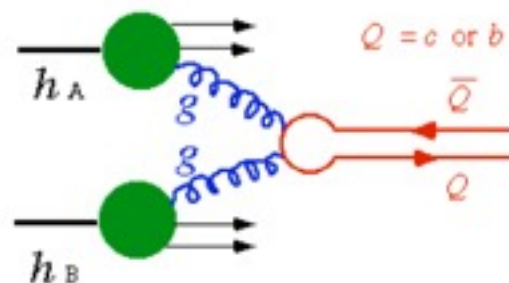
Drell-Yan

$pp \rightarrow \text{jets}$



Heavy Flavors

Gluon Sivers

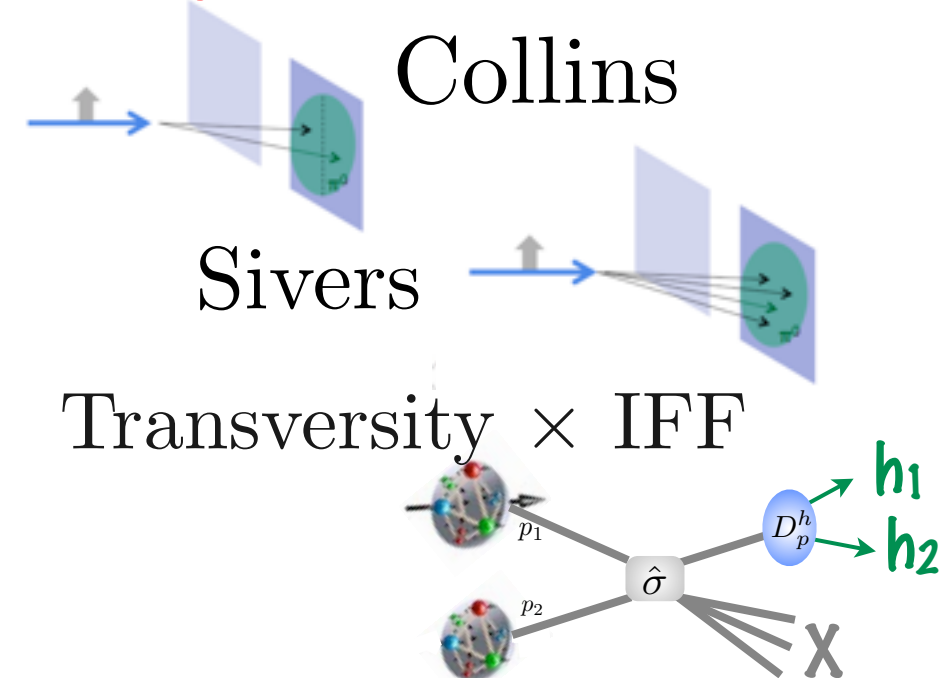
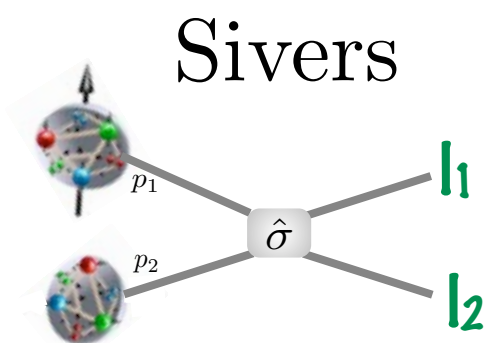


Major physics interests



Drell-Yan

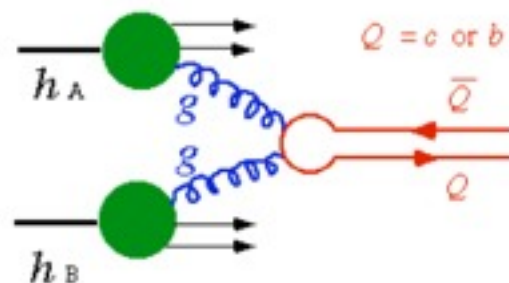
$pp \rightarrow \text{jets}$



Tracking,
Magnetic field

Heavy Flavors

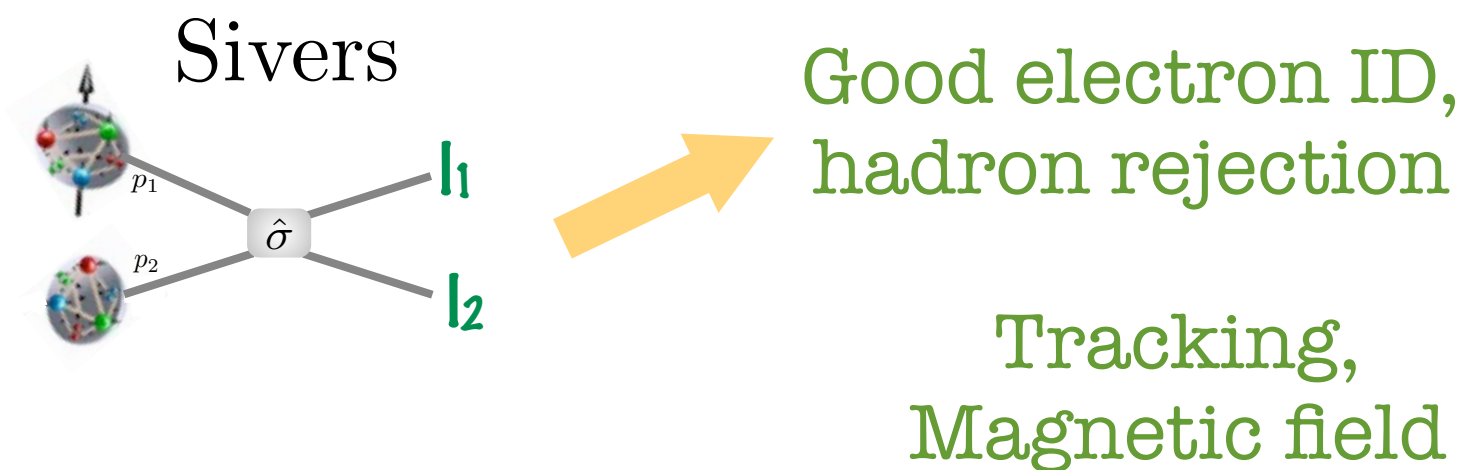
Gluon Sivers



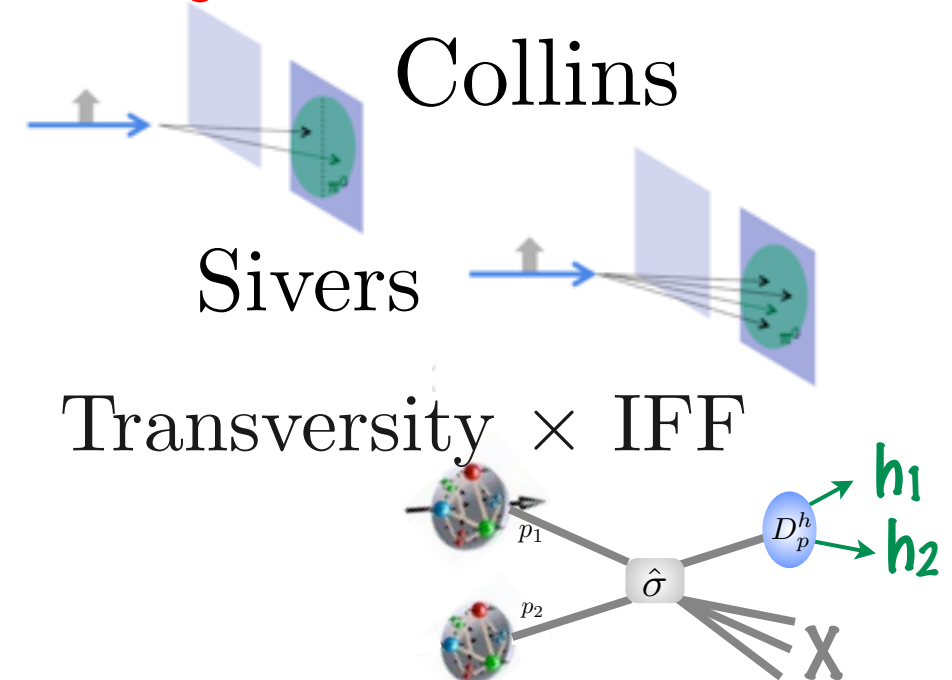
Major physics interests



Drell-Yan

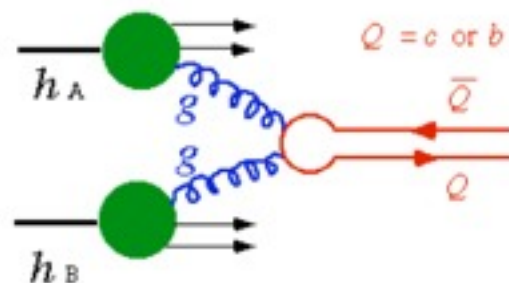


$pp \rightarrow \text{jets}$



Heavy Flavors

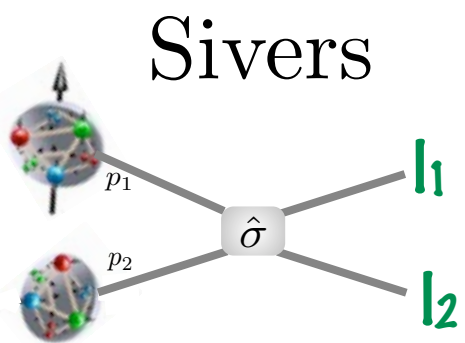
Gluon Sivers



Major physics interests



Drell-Yan

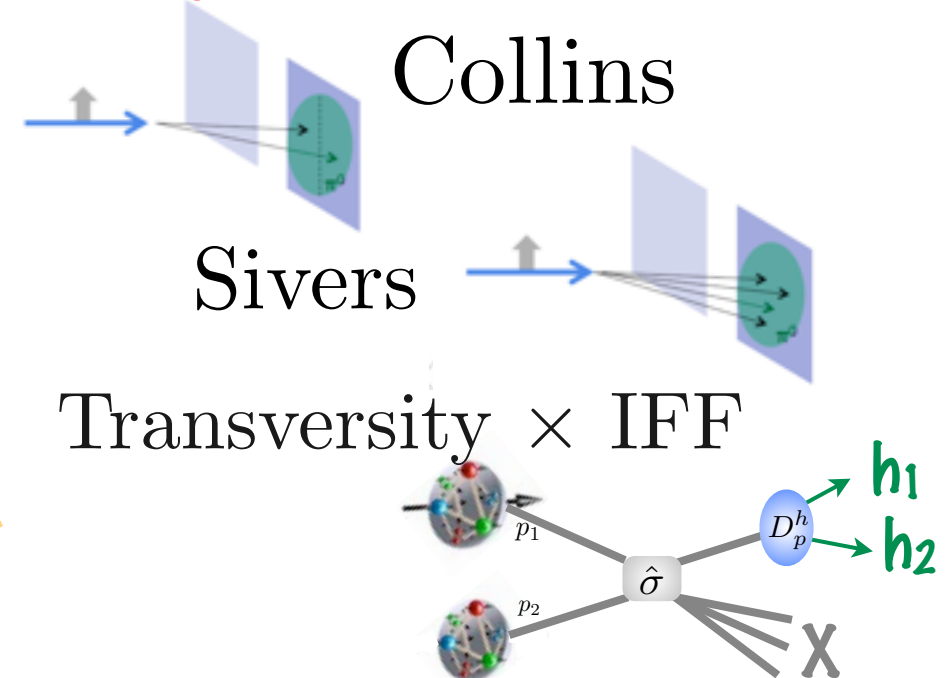


Good electron ID,
hadron rejection

Tracking,
Magnetic field

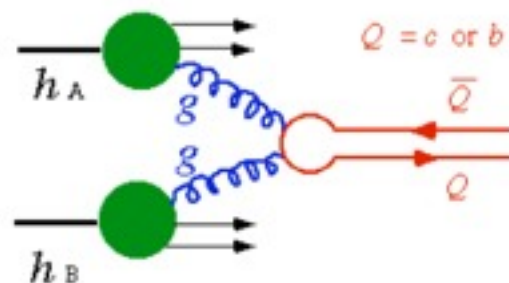
Good jet
reconstruction

$pp \rightarrow \text{jets}$



Heavy Flavours

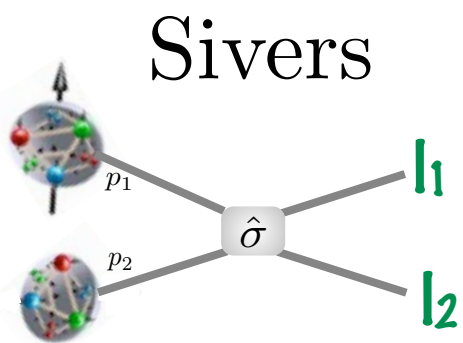
Gluon Sivers



Major physics interests



Drell-Yan

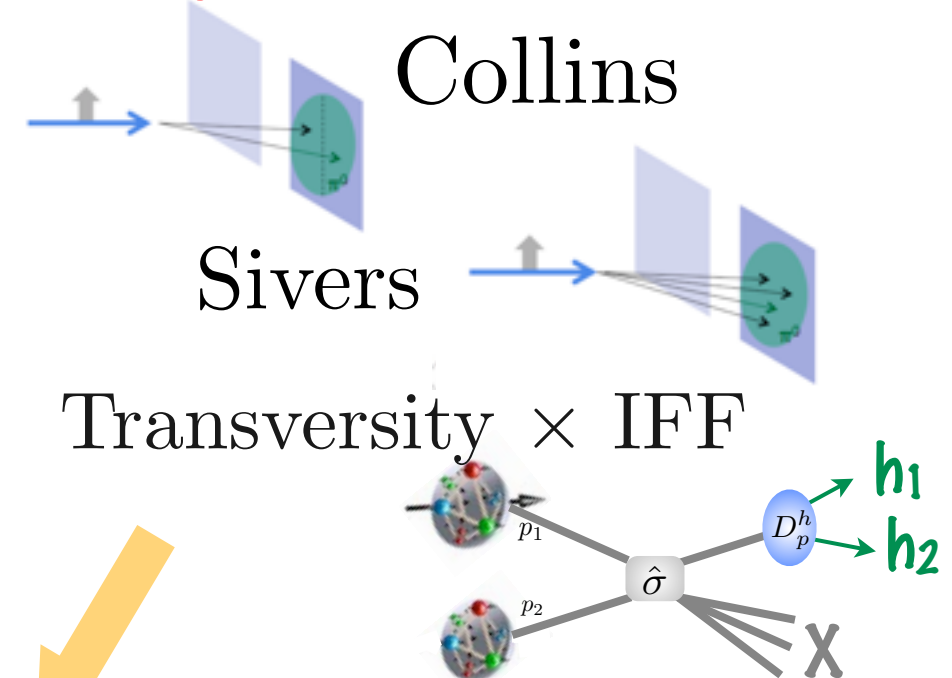


Good electron ID,
hadron rejection

Tracking,
Magnetic field

Good jet
reconstruction

$pp \rightarrow \text{jets}$

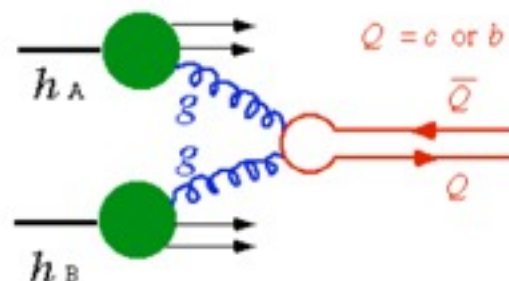


Transversity \times IFF

Good hadron ID

Heavy Flavors

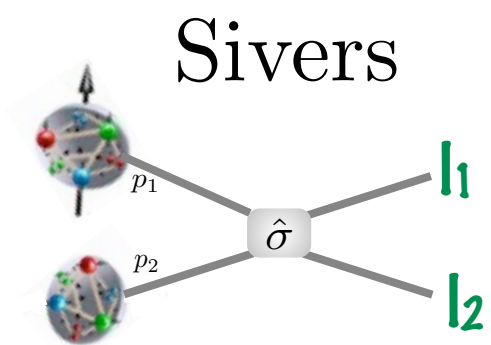
Gluon Sivers



Major physics interests



Drell-Yan



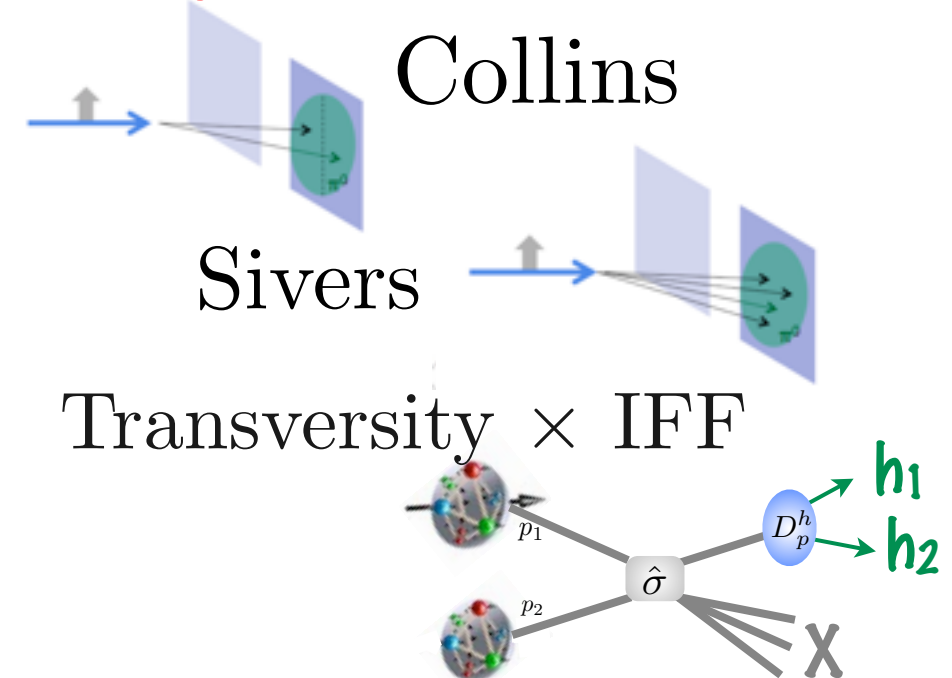
Heavy Ion ID

Good electron ID,
hadron rejection

Tracking,
Magnetic field

Good jet
reconstruction

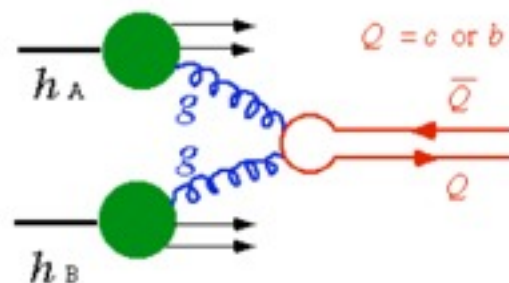
$pp \rightarrow \text{jets}$



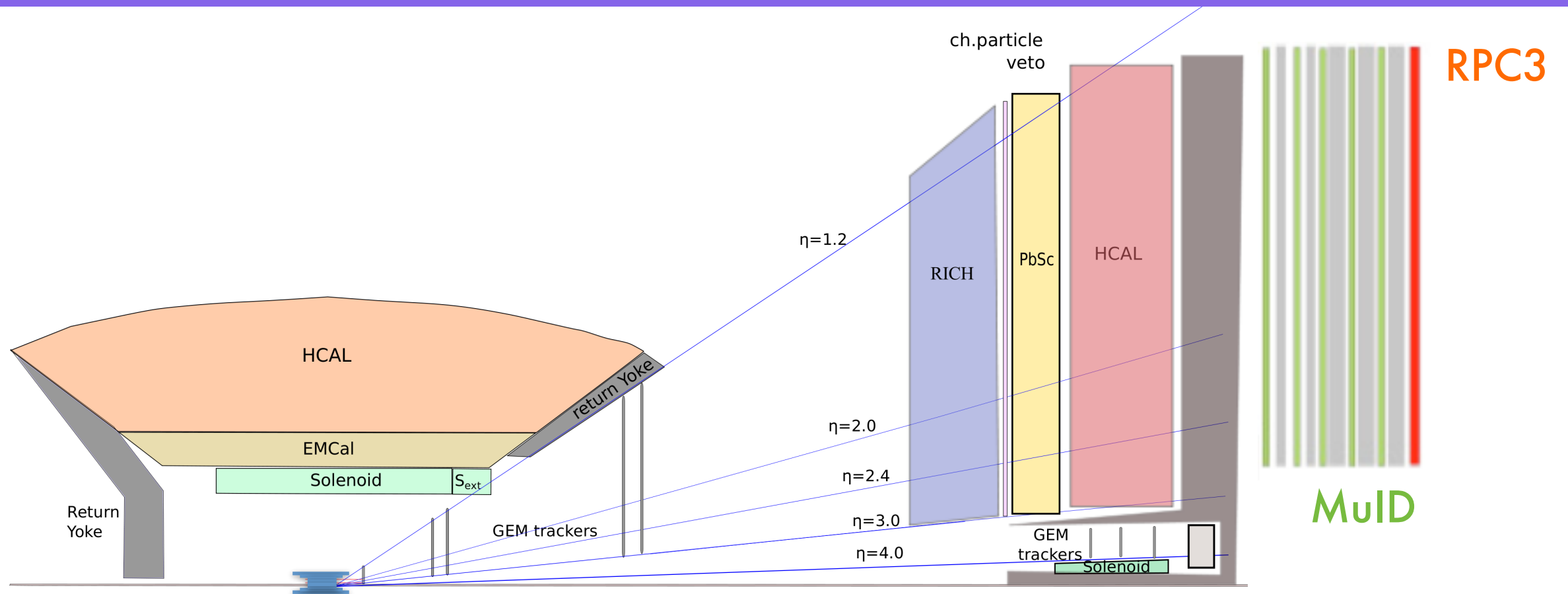
Good hadron ID

Heavy Flavors

Gluon Sivers



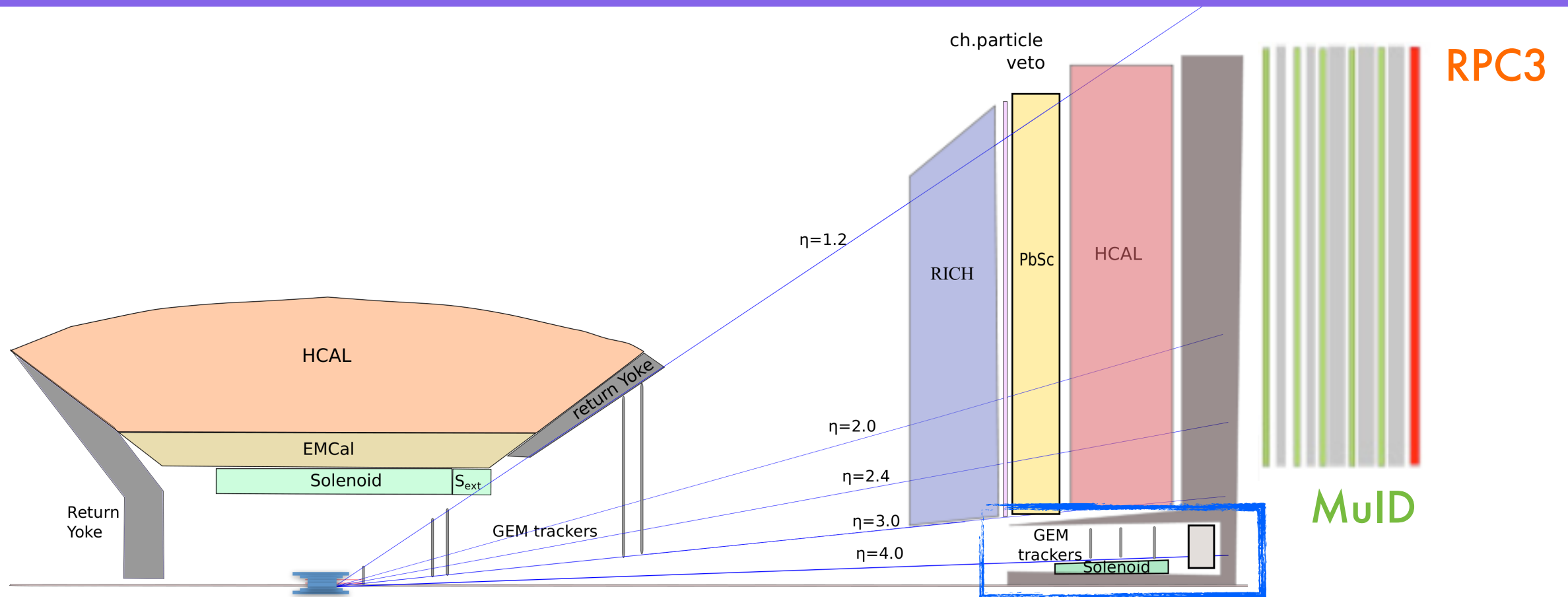
Detector concept



- Forward section $1.2 < \eta < 3$



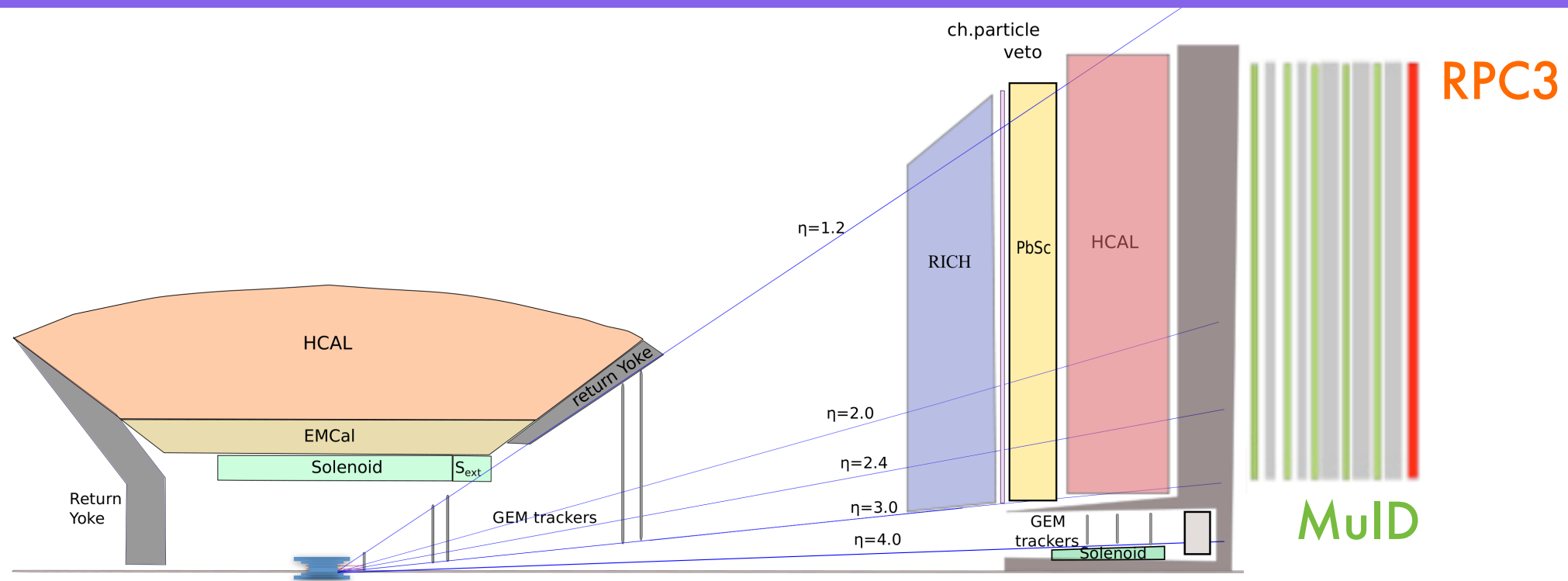
Detector concept



- Forward section $1.2 < \eta < 3$
- Very forward section $3 < \eta < 4$



Detector concept



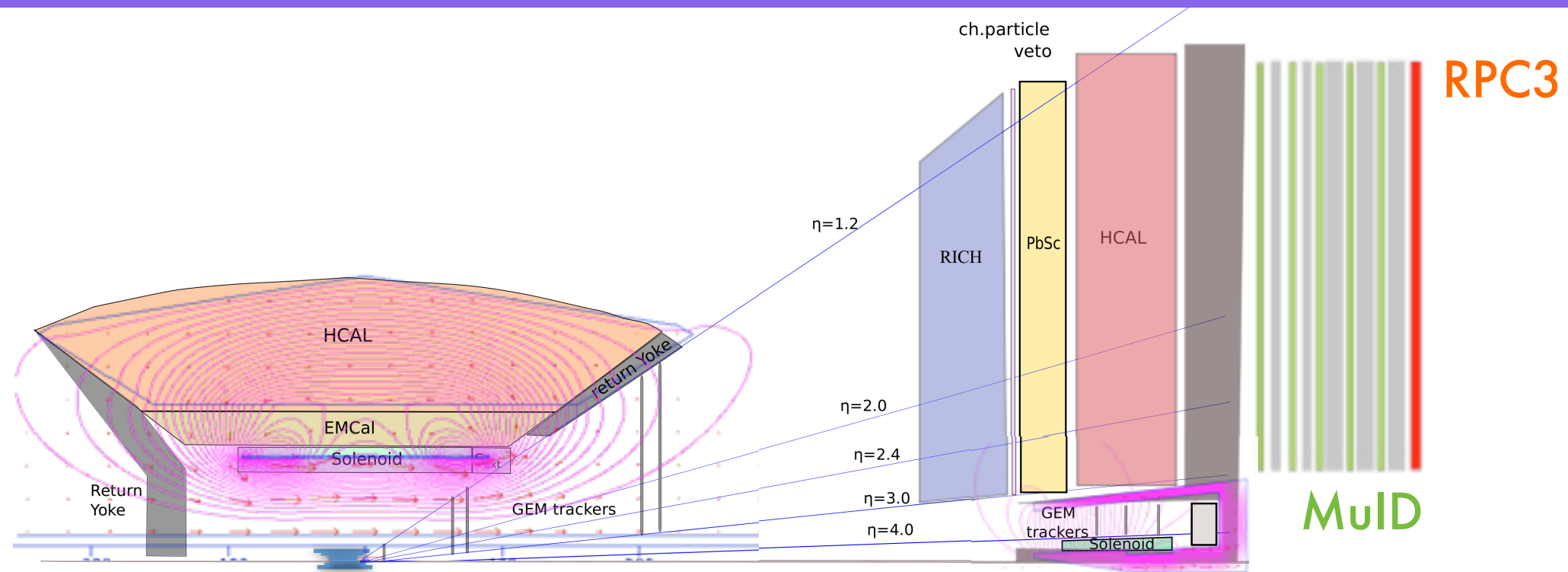
Tracking:

- Existing FVTX for vertex reconstruction and background rejection
- Diamond pixel and GEM trackers for the Forward region
- GEM trackers for the Very Forward region

Los Alamos, RBRC



Detector concept



Tracking:

- Existing FVTX for vertex reconstruction and background rejection
- Diamond pixel and GEM trackers for the Forward region
- GEM trackers for the Very Forward region

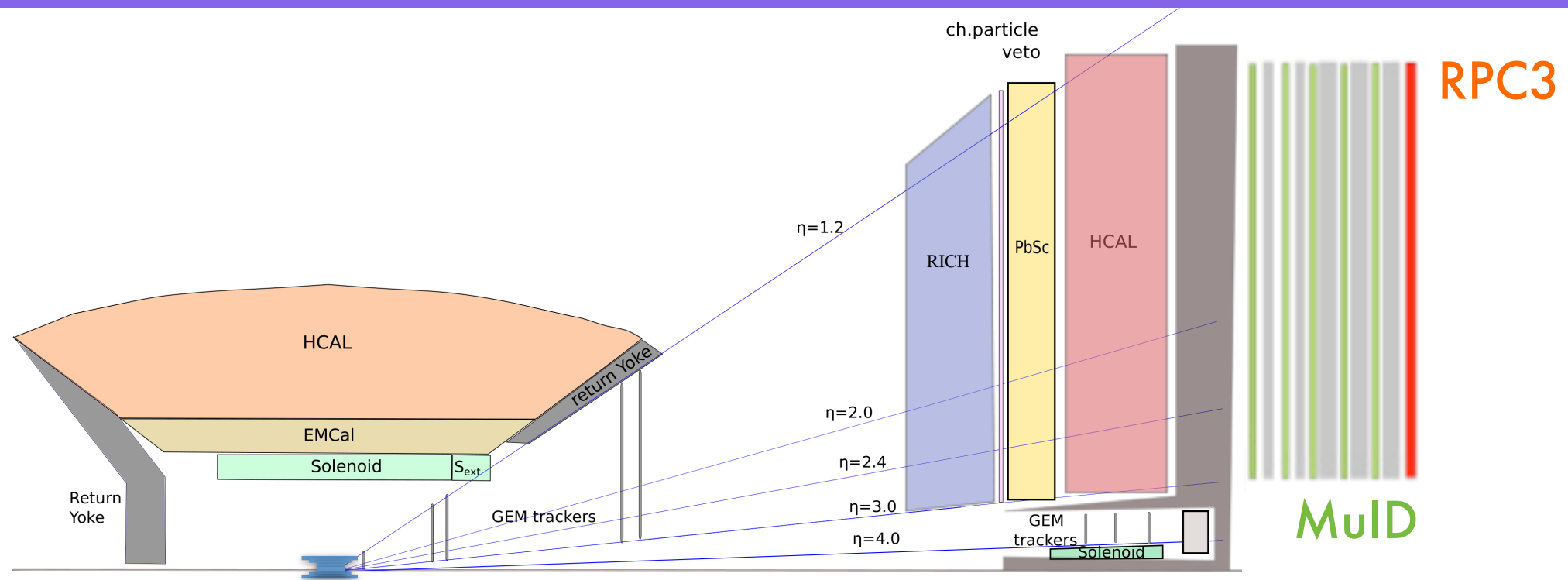
Los Alamos, RBRC

Magnetic fields:

- Forward region: extension of the central 2T solenoid
- Very forward region: additional solenoid



Detector concept

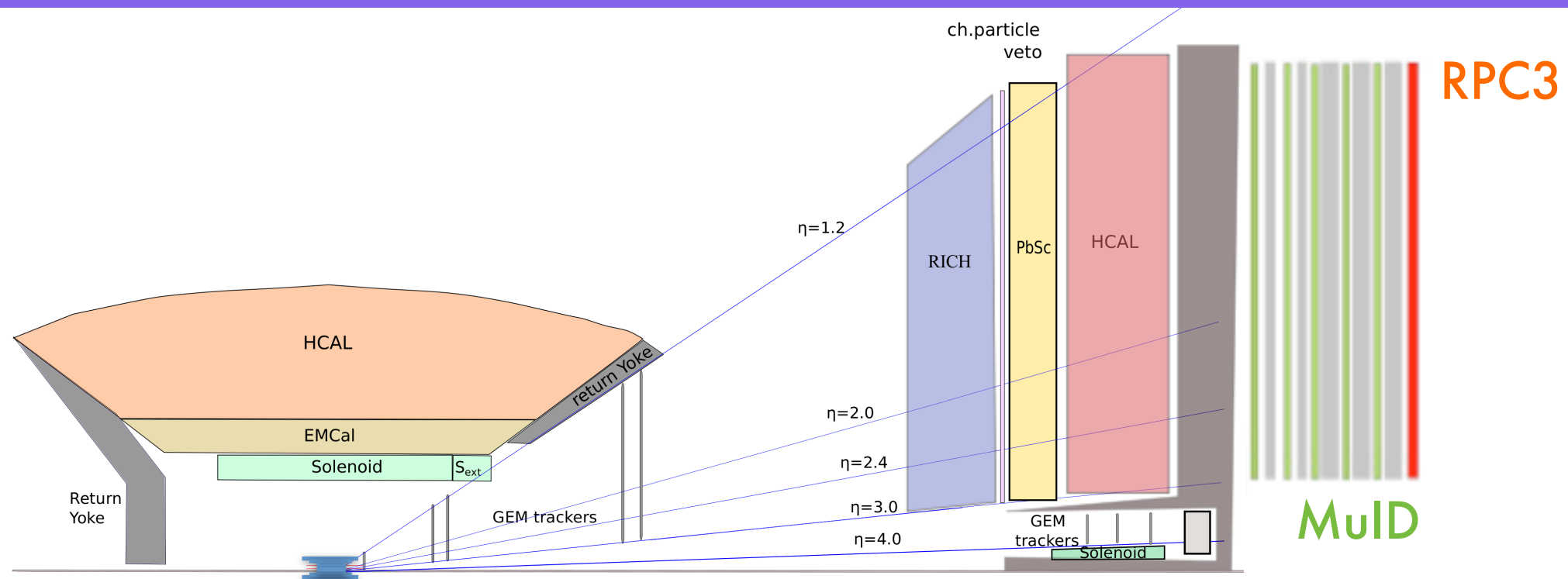


Jet reconstruction: **UIUC**

- Hadronic Calorimeter



Detector concept



Jet reconstruction: **UIUC**

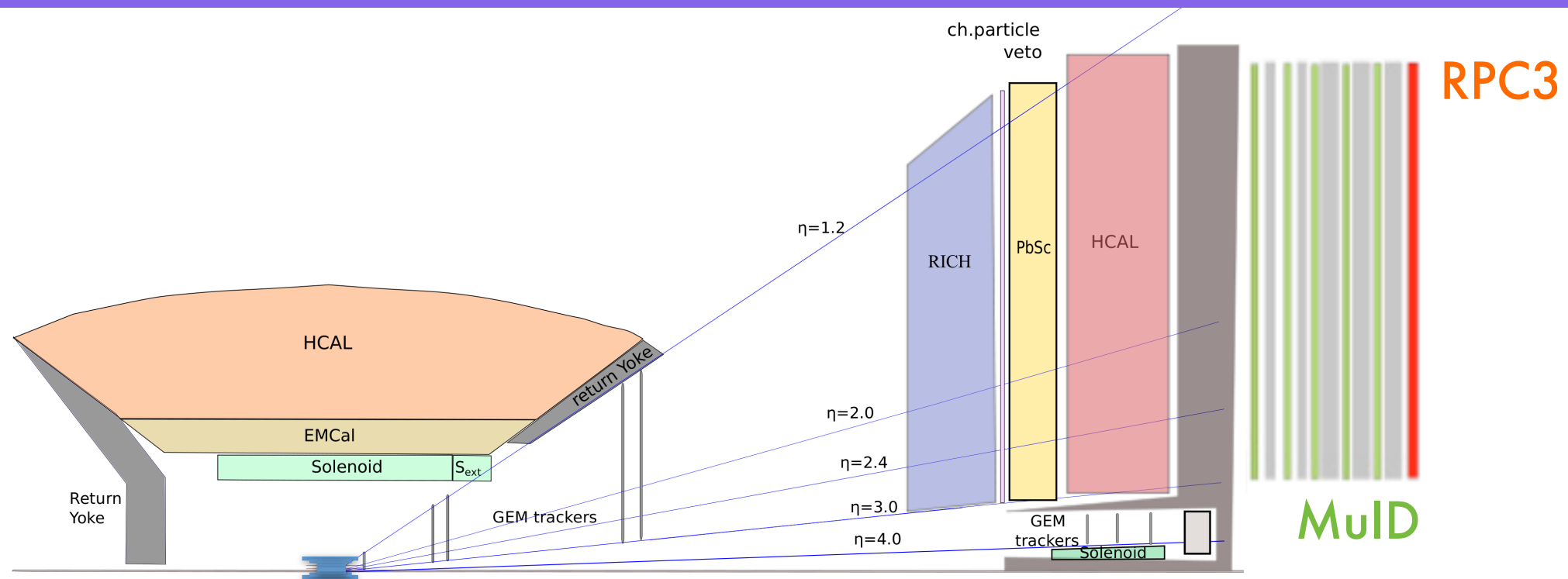
- Hadronic Calorimeter

Hadron ID **Stony Brook**

- Dual radiator Ring Imaging Cherenkov



Detector concept



Jet reconstruction: **UIUC**

- Hadronic Calorimeter

Hadron ID **Stony Brook**

- Dual radiator Ring Imaging Cherenkov

Electron ID

RBRC, ISU

- Preshower

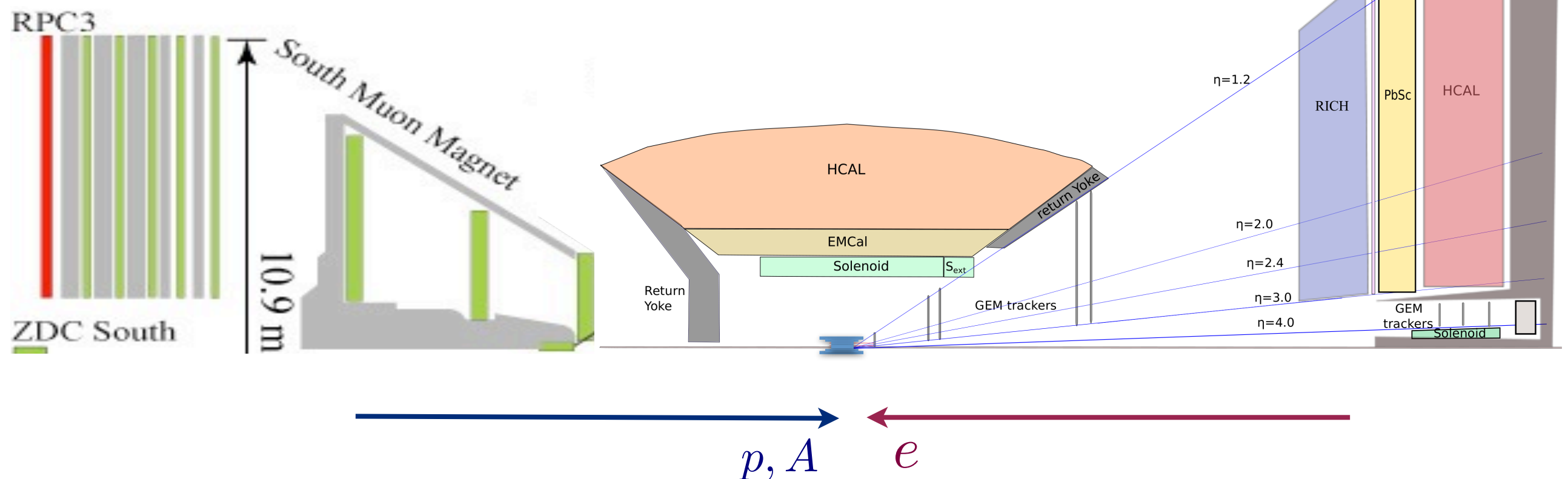
- EM-Calorimeter :

- Forward region: restacking of existing central Phenix Calo ($5.5 \times 5.5 \text{ cm}^2$)
- Very Forward region: restacking of the MPC-EX ($2.2 \times 2.2 \text{ cm}^2$)



ePHENIX

Allow measurements of inclusive, semi-inclusive and exclusive DIS

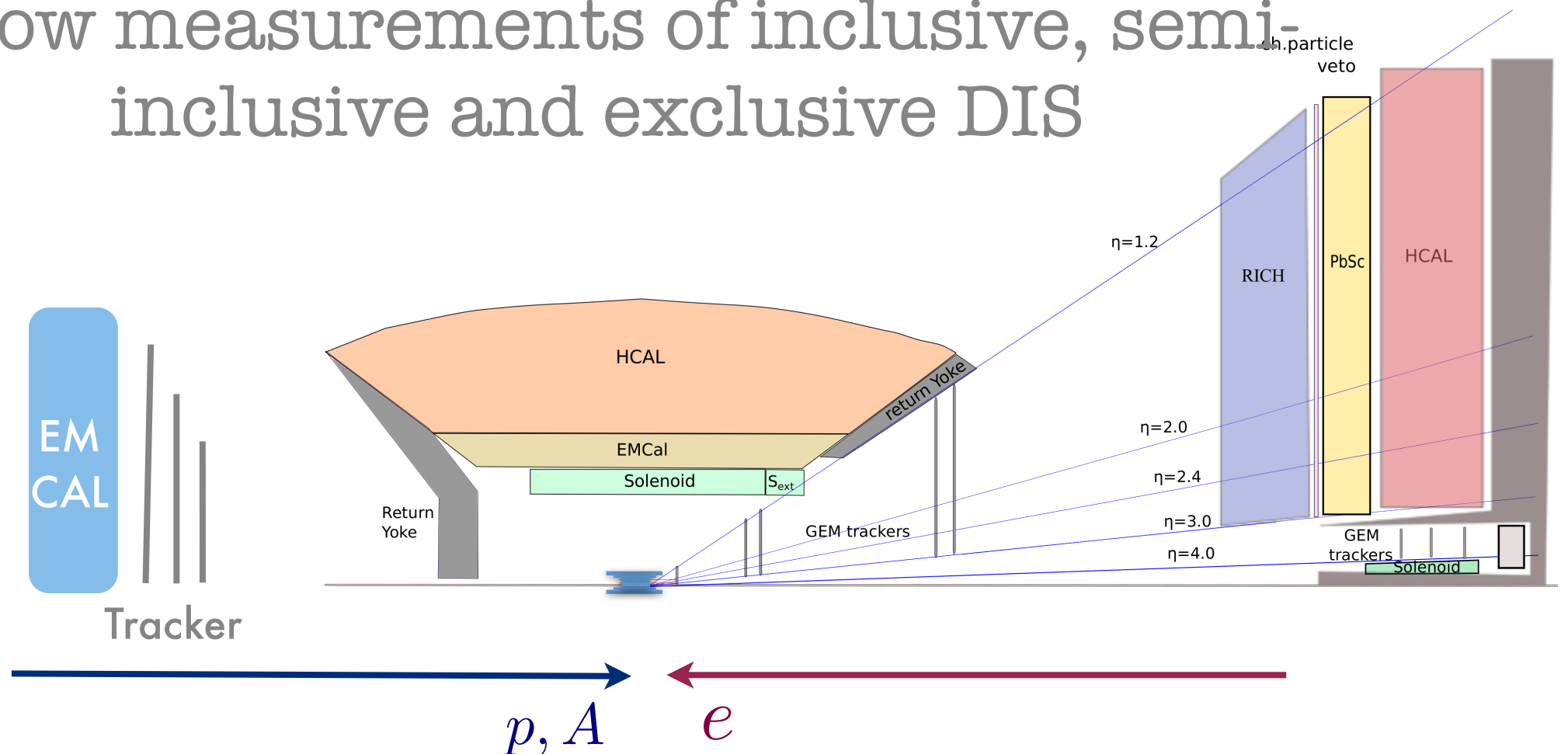


- The Forward sPHENIX spectrometer is being designed to be easily upgraded to a ePHENIX detector



ePHENIX

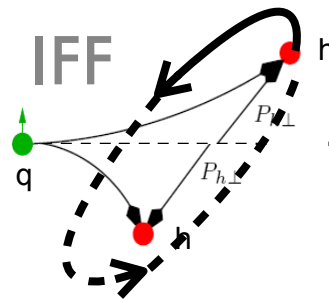
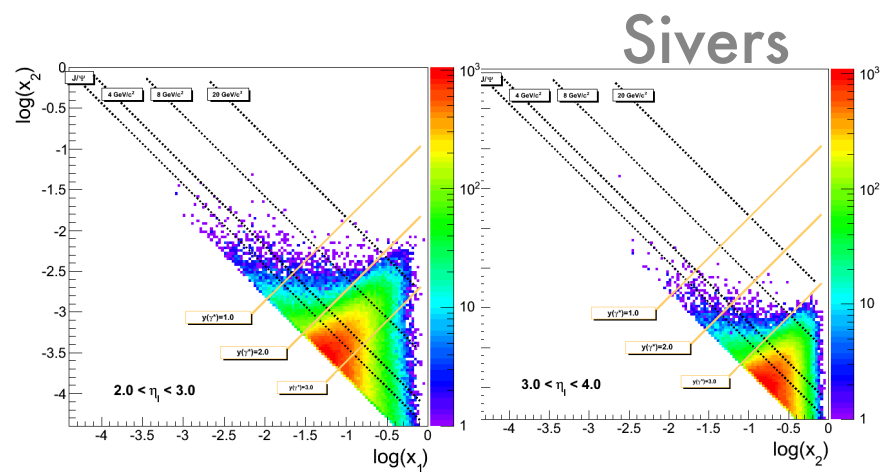
Allow measurements of inclusive, semi-inclusive and exclusive DIS



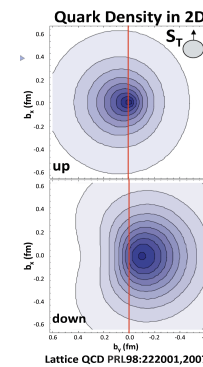
- The Forward sPHENIX spectrometer is being designed to be easily upgraded to a ePHENIX detector
- To detect the scattered electron, an additional tracking system and an EM-Calorimeter will replace the existing South muon arm



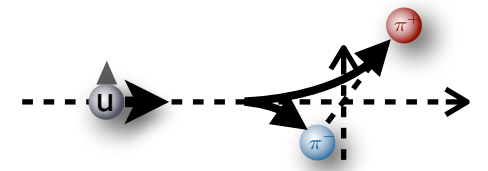
Outlook



Sivers



Collins



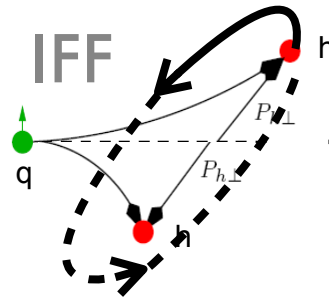
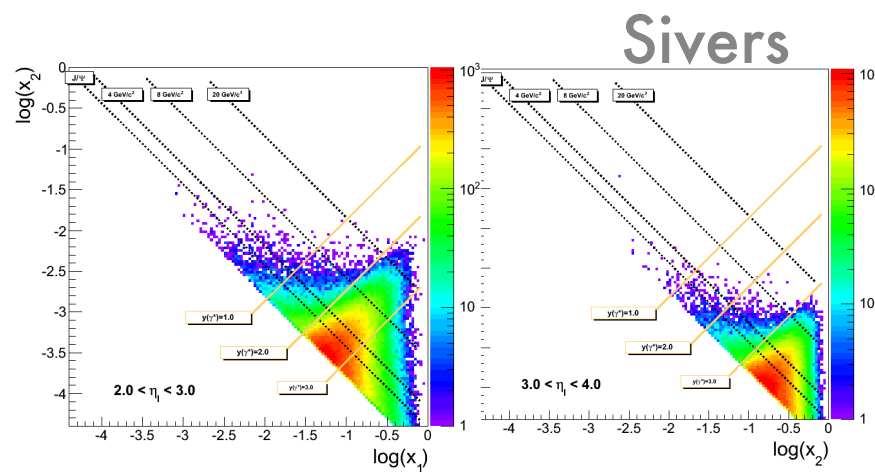
Boer-Mulders

Sea Transversity

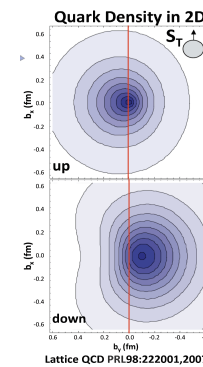
Gluon Sivers



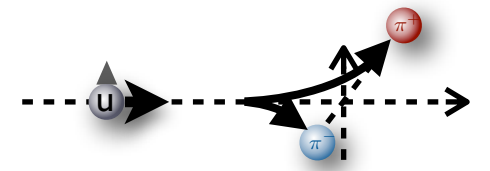
Outlook



Sivers



Collins



Boer-Mulders

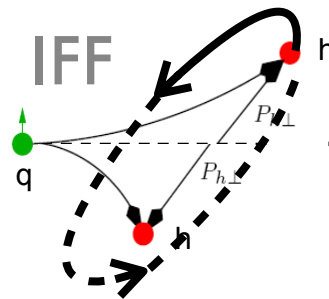
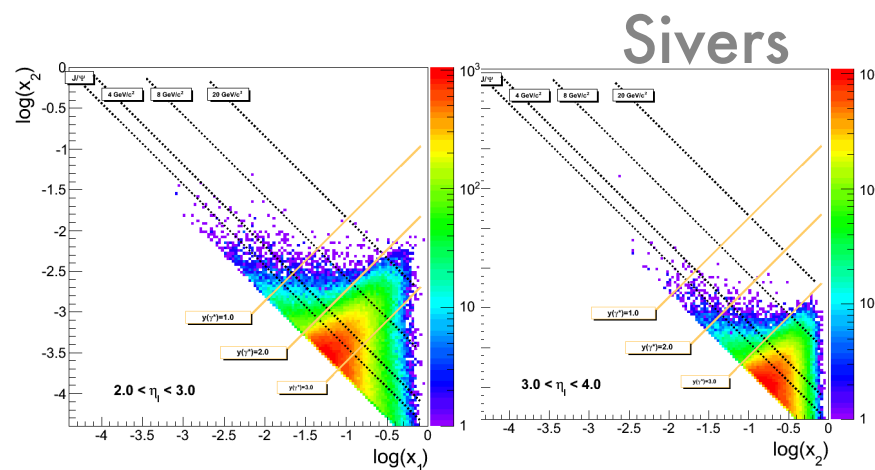
Sea Transversity

Gluon Sivers

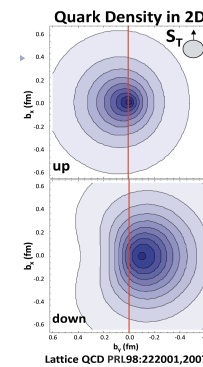
- Detector detailed simulations in progress
- Dedicated R&Ds to start soon
- Funding possibilities under investigation



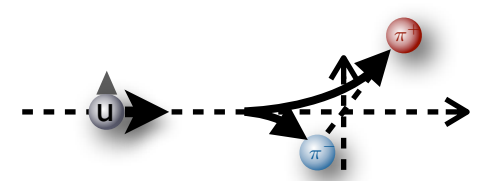
Outlook



Sivers



Collins



Boer-Mulders

Sea Transversity

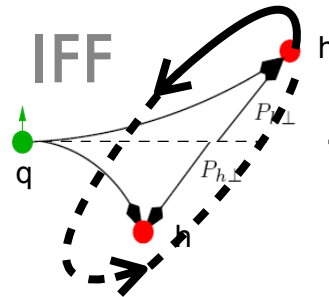
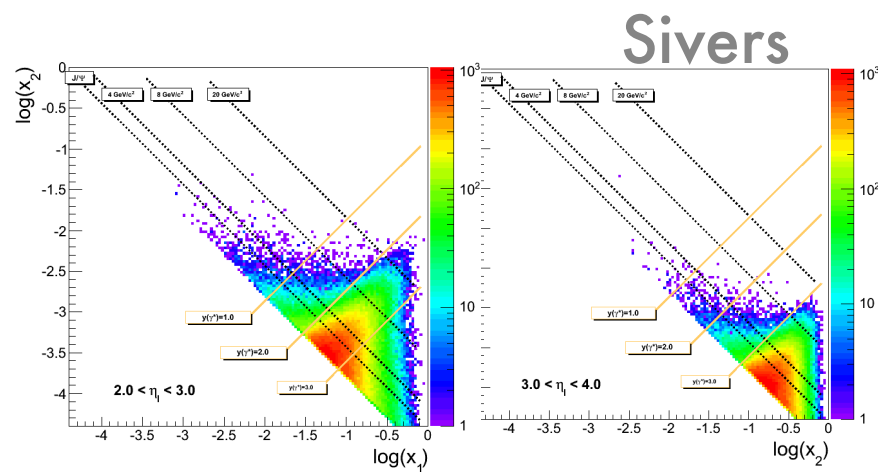
Gluon Sivers

- Detector detailed simulations in progress
- Dedicated R&Ds to start soon
- Funding possibilities under investigation

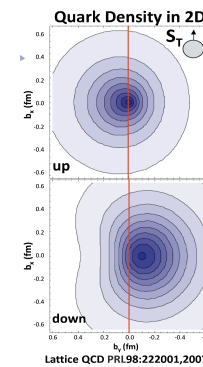
More collaborators welcome to join this interesting new project!



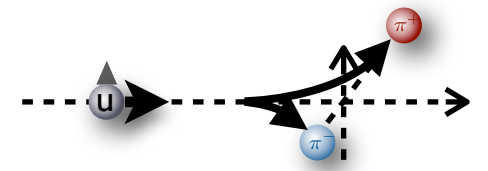
Outlook



Sivers



Collins



Boer-Mulders

Sea Transversity

Gluon Sivers

Thank you!

- Detector detailed simulations in progress
- Dedicated R&D to catch the good
- Funding possibilities under investigation

More collaborators welcome to join this interesting new project!

